United States Special Operations Command



Facility Clearance Process

The Government Sponsorship

John "Troy" Fredette Industrial Security Program Manager (813) 826-4333, DSN 299-4333

NIPRNet: john.fredette@socom.mil

SIPRNet: john.fredette@socom.smil.mil

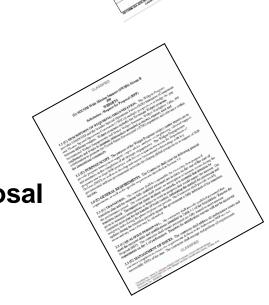


The Government Sponsorship Methods of Sponsorship

Vendor is Nominated by a Prime Contractor Currently Supporting a USSOCOM Effort

Vendor Provides a Product or Service that Supports a USSOCOM Mission Need

Vendor Requires Classified Access IOT Respond to a Classified Request for Proposal (RFP) or Solicitation





The Government Sponsorship FCL Sponsorship Nomination

- Process Begins with FCL Nomination Completed by the Responsible COR/PM
 - ✓ Identification of the USSOCOM <u>Mission</u> <u>Need</u> Supported
 - ✓ Level of Classified Access Required
 - ✓ Verification of Company...
 - Organization and Location
 - Reputation & Integrity
 - Lack of FOCI Issues
 - CAGE Code Status*
 - KMP & FSO PCL Status
 - Understanding of DSS FCL Processing Requirements



UNITED STATES SPECIAL OPERATIONS COMMAND

7701 TAMPA POINT BLVD. MACDILL AIR FORCE BASE, FLORIDA 33621-5323

Office Symbol of the USSOCOM Sponsor

Date

MEMORANDUM FOR HQ USSOCOM/SOCS-Z-SM-I

SUBJECT: Prime Contractor Facility Clearance (FCL) Sponsorship Nomination: <u>Provide Legal Name of Company</u>

- IAW DoD 5220.22-M, DoD National Industrial Security Program Operating Manual, the nominated company has completed the following necessary pre-requisites in preparation for U.S. Government Sponsorship of a Facility Clearance
- a. The company has completed the requisite evaluations as part of source selection and is deemed as the most eligible candidate to satisfy the following HQ USSOCOM mission need: <u>Provide Brief Unclassified Description</u> of the Mission Need.
- b. In satisfying the above mission need, the company requires access to classified material up to and including: CONFIDENTIAL, SECRET or TOP SECRET in execution of this effort.
- c. The company is organized and exists under the laws of any of the fifty states, the District of Columbia, or Puerto Rico, and is located in the United States or its territorial areas.
- d. The company has a reputation for integrity and lawful conduct in its business dealings and the company, to include its Key Management Personnel are not barred from participating in U.S. Government contracts.
- e. The company is not under Foreign Ownership, Control or Influence (FOCI) to such a degree that the granting of a Facility Clearance would be inconsistent with national interests.
- In preparation for this sponsorship, the company has either completed or initiated the following requirements for Facility Clearance Processing.
- a. Obtained the following Commercial and Government Entity (CAGE) Code: <u>Identify CAGE Code</u>. If the CAGE Code has yet to be obtained, the company has submitted the necessary System for Award Management (SAM) registration or forwarded the required DD FM 2501, <u>Request for Assignment of a CAGE Code</u>, to Defense Logistics Agency on: <u>Provide Date of Registration or Date of DD FM 2501 Submission</u>.
- b. Identified Key Management Personnel and appointed a U.S. citizen employee as a Facility Security Officer (FSO) for the processing of Personnel Security Clearances. Designated personnel currently hold active U.S. security clearances necessary to satisfy the necessary Facility Clearance level of this effort identified in Paragraph 1.b. If designated personnel do not have active security clearance, the individuals have completed a draft copy of the SF 86, Questionnaire for National Security Positions, and are prepared to submit on the direction of Defense Security Service via the Electronic Questionnaire for Investigative Processing (e-QIPs).
- c. Reviewed and understand the requirements as outlined in the Defense Security Service's Facility Clearance Handbook
- Point of Contact for this request is: Provide Name of Responsible Contracting Officer's Representative/ Program Manager, Office Symbol, Phone Number and E-mail.

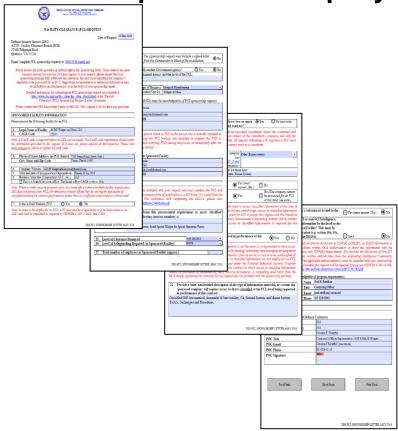
Signature Block of Sponsor



The Government Sponsorship The FCL Sponsorship Letter

Submitting Complete & Accurate FCL Sponsorship Requests Requires a Collaborative Effort between SOCOM and the Sponsored Company

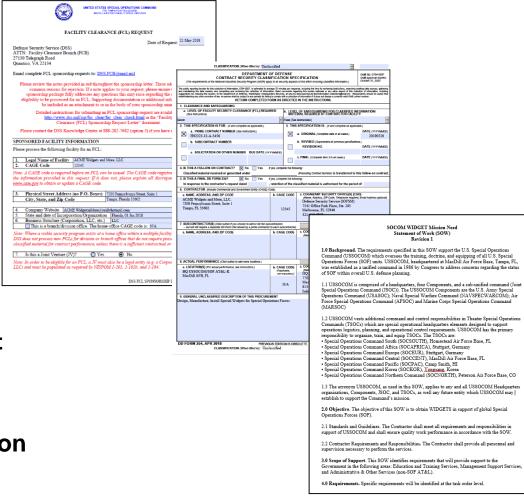
- > Will Require Sponsored Company's...
 - ✓ Legal Name
 - ✓ CAGE Code
 - ✓ Address
 - √ Website
 - ✓ State/Date of Incorporation
 - ✓ Business Structure (Corp, LLC, etc.)
 - √ FSO Contact Info
 - ✓ Alternate POC Info



Inconsistencies in the Request at any Point in the FCL Process May Result in the Discontinuation of the Processing of the Facility Clearance SOCS

The Government Sponsorship Packaging & Submitting the FCL Request

- The FCL Request Sponsorship Package Includes...
 - ✓ Sponsorship via the National Industrial Security System
 - ✓ DD FM 254, Contract Security Classification Specification
 - ✓ Associated Work Performance Description Document
 - Cooperative Research & Development Agreement
 - Performance Work Statement
 - Statement of Work
 - Request for Proposal/Solitation





The Government Sponsorship Company Actions After Submission



Defense Security Service

PARTNERING WITH INDUSTRY TO PROTECT NATIONAL SECURITY

Search DSS

to 🔀



ot Contracting



HOME ABOUT US MISSION AREAS INFORMATION SYSTEMS CAREERS CONTACT US

INDUSTRIAL SECURITY FIELD OPERATIONS (IO)

FACILITY CLEARANCE BRANCH

HOME MISSION AREAS CRITICAL TECHNOLOGY PROTECTION Industrial Security Field Offices Facility Clearance Branch NISP Authorization Office **NISP Library** Industry Tools

Facility Clearance Branch

The Defense Security Service (DSS) Facility Clearance Branch (FCB) processes contractors for Facility Clearances (FCLs), issues FCLs, and monitors contractors' continued eligibility in the National Industrial Security Program (NISP). All FCL sponsorship requests will be submitted through the National Industrial Security System (NISS), the system of record for NISP. Please submit all FCL requests to NISS here.

Incomplete and inaccurate facility sponsorship packages will be rejected, which will cause delays in obtaining the facility clearance. For all FCL-related questions, or status updates on your FCL sponsorship submission, contact the DSS Knowledge Center at 888-282-7682 and select option #3.

This site provides answers to frequently asked questions cleared contractors, U.S. Gov Agencies, and U.S. Prime Contractors have regarding the FCL process. Reviewing the many of the common errors that delay FCL packages.

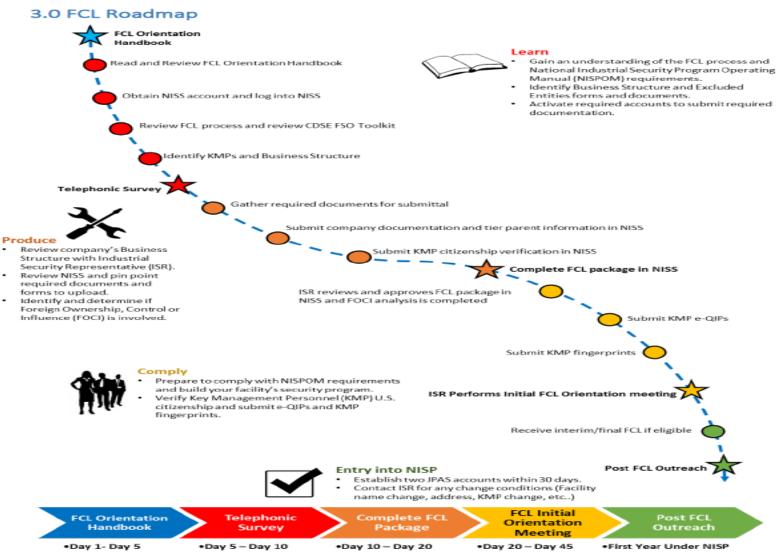
- FCL Process Orientation Transcript and Handbook
- Checklist for a New Facility Clearance
- eQIP and Electronic Fingerprint Guide for In-Process Facilities
- Small Business Guide Facility Clearance Process pamphlet

No FEAR Act Accessibility Statement

https://www.dss.mil/Mission-Areas/Critical-Technology-Protection/Industrial-Security-Field-Operations-IO/Facility-Clearance-Branch/

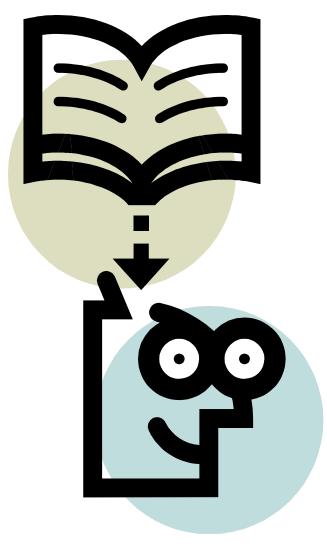


The Government Sponsorship Above All...Meet DSS Timelines





(U) QUESTIONS?





The Government Sponsorship USSOCOM Industrial Security

John "Troy" Fredette Industrial Security Program Manager

(813) 826-4333, DSN 299-4333

NIPRNet: john.fredette@socom.mil

SIPRNet: john.fredette@socom.smil.mil