



# Department of Defense Consolidated Adjudications Facility



**National Defense Industrial (NDIA)  
And The Aerospace Industries Association (AIA)**

**Edward Fish, Director**

**22-24 May, 2017**



# AGENDA

- Mission
- CAF Transformation
- Industry Workload & IRTPA
- Initiatives
- Psychological Evaluation Process
- Questions



# MISSION





# DoD CAF MISSION

- **Mission:** To determine security clearance eligibility of non-Intelligence Agency DoD personnel occupying sensitive positions and/or requiring access to classified material including Sensitive Compartmented Information (SCI). These determinations involve all military service members, applicants, civilian employees, and consultants affiliated with the Department of Defense, to include DoD personnel at the White House and contractor personnel under the National Industrial Security Program (NISP). The DoD CAF also adjudicates security clearance eligibility for staff of the United States Senate and House of Representatives, the Congressional Budget Office, the United States Capitol Police and staff of the Supreme Court of the United States. Additionally, the DoD CAF renders favorable adjudicative determinations for employment suitability of DoD civilian employees and Common Access Card (CAC) or Fitness eligibility of non-cleared DoD contractors.

- **DoD CAF Lines of Business:**

- Execute Adjudicative Determinations
- Conduct Operational Support
- Mission Support

- **3 x Lines of Business**
  - 12 Lines of Services

## **DoD CAF Portfolio:**

- ~ 96% of DoD
- ~ 84% of cleared personnel in the Federal government
- ~ 34% of Suitability/HSPD-12 Federal government wide determinations executed by the DoD CAF
- Average annual caseload of ~750K
- ~ 900 offices submitting HSPD-12 and Suitability investigations
- 1.1M personnel security actions in 2016
- Supporting Worldwide:
  - + ~ 43,000 Security Officers
  - + ~ 4M Affiliated Personnel



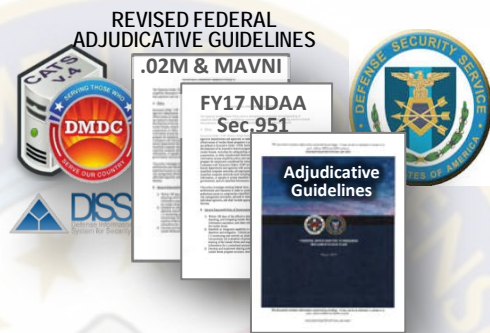
# TRANSFORMATION





# DOD CAF EVOLUTION

## Improved Tools, Changing Requirements & Mission Expansion



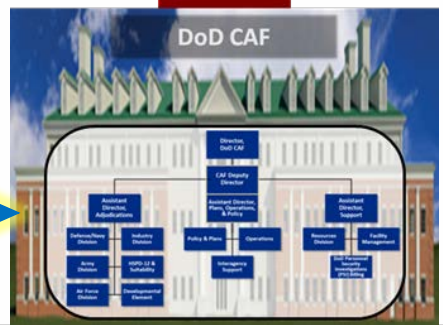
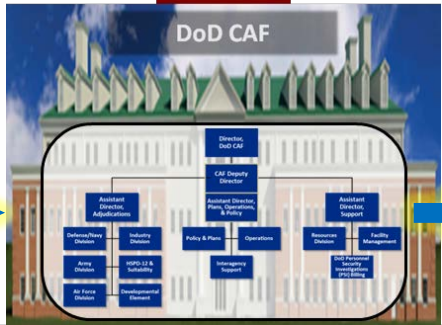
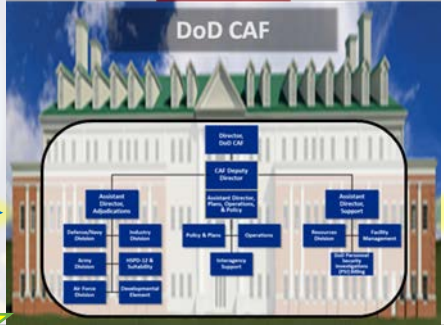
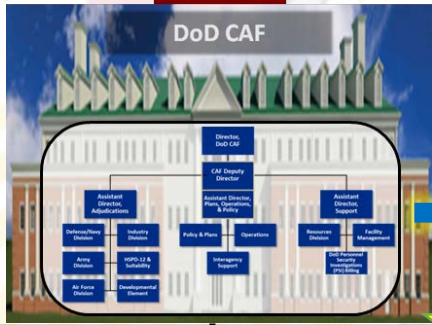
REVISED QUALITY TOOLS & FOC OF INVESTIGATIVE PRODUCT



MORE FREQUENT VETTING & IMPROVED AUTOMATED CHECKS



NBIS IT FOC: IMPROVED AUTOMATION TOOLS



**October 1, 2016**  
Delayer, implement DISS/CATS v.4, revised DoD 5200.02M, new MAVNI, new Adjudicative Guidelines & FY17 NDAA Sec. 951

**October 1, 2017**  
Implement revised Quality Assessment Reporting & FOC of FIS investigative product

**October 1, 2018**  
Process CE on 1M cleared personnel & utilize ARC results in lieu of NBIB product

**October 1, 2019**  
CAF integration & utilization of NBIS IT systems and efficiencies

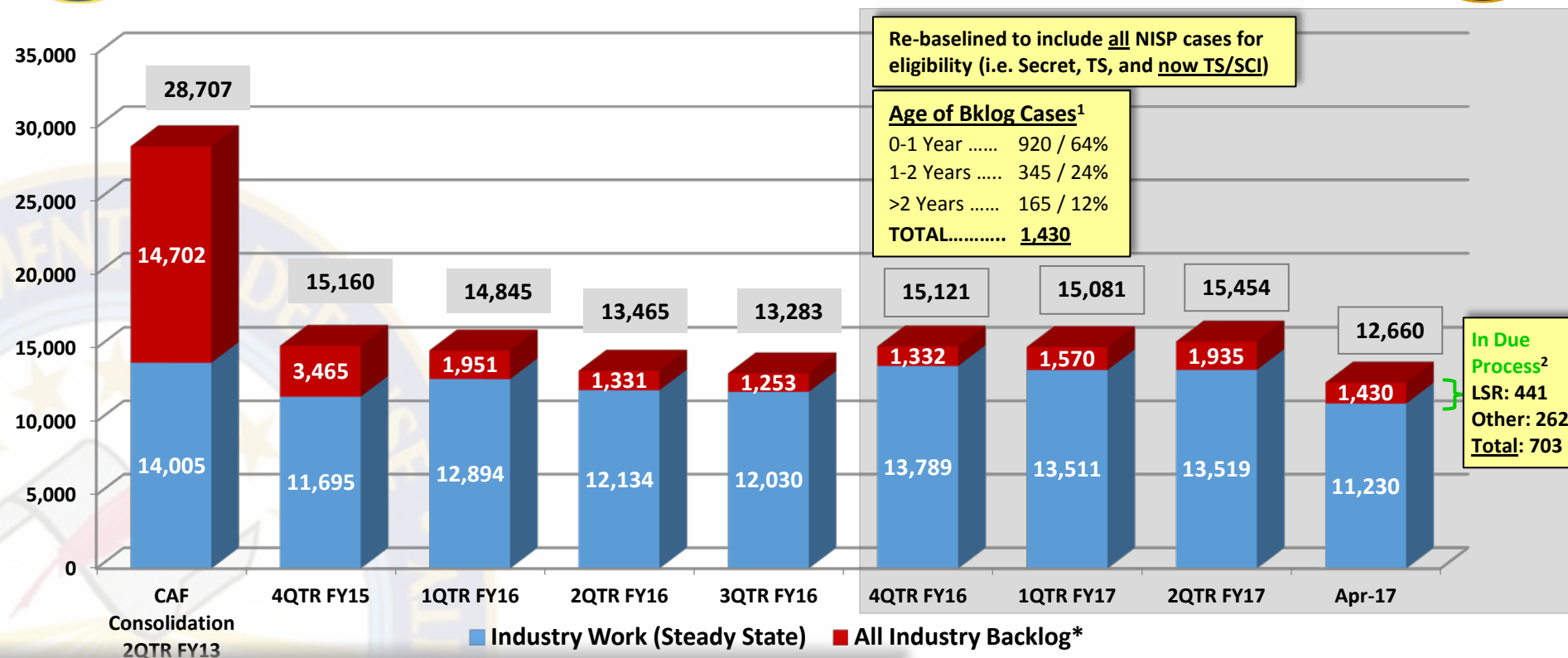


# NISP WORKLOAD & TIMELINESS





# NISP CASES PENDING ADJUDICATION



- >90% of historic backlog eliminated since 2013
- Recent strong decreases in both Steady-State and Backlog
- Flow to/from DoHA of LSR's working very well; recent rise of such cases due to CAF surge in "suspense" cases
- Backlog to be eliminated not earlier than FY2018

Month	NISP Backlog	FY 16 NISP Receipt*	Backlog % of Total NISP
October 13	13,515		7.4%
April 17	1,430		0.8%
	-12,085	~ 183,000	

\* Includes Personal Security Investigations, Incident Reports, Reconsiderations, etc.

OPR: CAF Metrics Team  
<sup>1</sup> Age based on date case received at the DoD CAF  
<sup>2</sup> Data as of 25 Apr 17

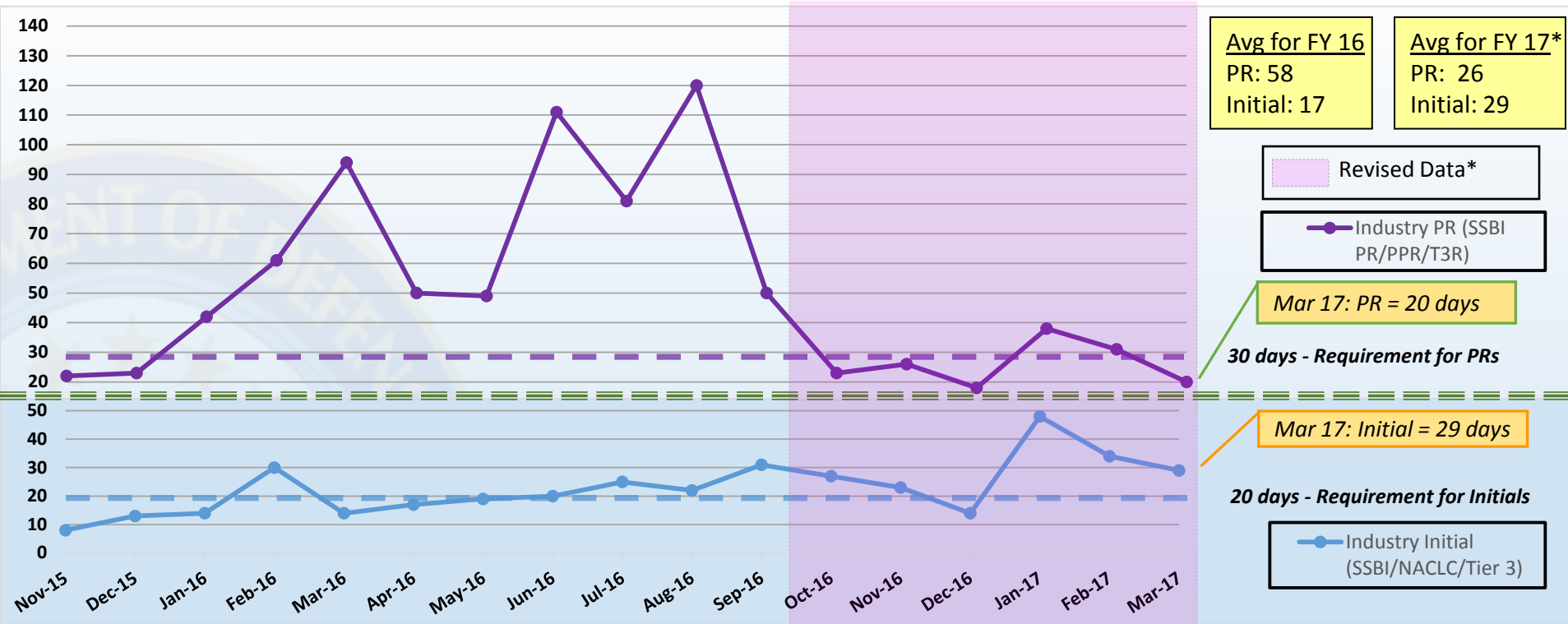




# INDUSTRY

## Intelligence Reform and Terrorism Prevention Act Performance

(Based on OPM Reporting from Nov 15 – Mar 17)



- Increase in adjudication timelines (JAN-FEB 17) due to IT and eDelivery problems in DEC 16-JAN 17
- Barring any future IT challenges, expect timeliness metrics to continue trending in current positive manner through FY17/18

\* Separated non-DoD CAF cases and data applicable to other elements of the DoD (e.g. DIA, NSA, & NGA)



# TRANSFORMATION INITIATIVES



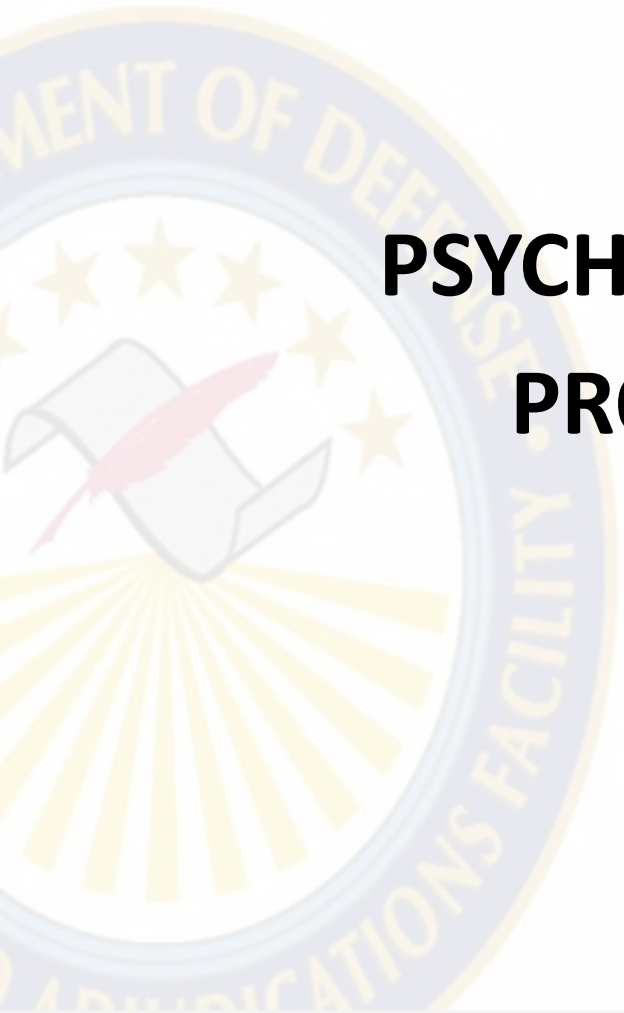


# TRANSFORMATION INITIATIVES – ON GOING

- Develop, Test, and Deploy DISS (aka CATs v4) ICW DISS PM – **FY17/18**
- Normalize DOD-wide processes (e.g., Security Officers, Adjudicators) upon single DISS Security Manager and Facility Security Officer portals – **FY17/19**
- Integration of NBIS, DSoS, and DISS requirements into single and/or interfaced set of systems – **FY17 & Beyond**
- Sustainment & Expansion of eAdjudication (Tier 1-3, at a minimum) – **FY17 & Beyond**
- Implement, expand & improve Continuous Evaluation initiative – **FY17/18 & Beyond**
- Implement new procedures for Psychological Evaluations of applicable NISP subjects – **FY17**



# **NEW PSYCHOLOGICAL EVALUATION PROCESS FOR THE NISP**





# NEW PSYCHOLOGICAL EVALUATION PROCESS FOR NISP

- Previously:
  - DOHA was determining authority on need for Psychological Evaluations
  - Executed the procedures (e.g., pay money, obtain provider) to obtain an “adjudicative-ready” evaluation ICW:
    - DISCO/DoD CAF
    - Behavioral Health Provider
    - Subjects / FSO’s
- Now:
  - DoD CAF determines need for Psychological Evaluations ICW:
    - Multiple echelons of certified adjudicators
    - CAF Psychologists (x2)
  - Executes the procedures (e.g., pay money, obtain provider) to obtain an “adjudicative-ready” evaluation ICW:
    - Broker for Psychological Evaluations
    - GOV & Industry Security Offices as/if applicable
    - Localized Psychological Evaluations providers
    - Subjects
- ~ 125 NISP-wide collateral cases pending Psychological Evaluations as of 1 May 17
- Restart of Psychological Evaluations, using new processes, imminent



# CONCLUSION & TAKEAWAYS

- NISP backlog likely to be eliminated in FY18
- Adjudications facet of end-to-end process currently working well
- DoD must monitor workload projections to ensure resources available to address future case surges (e.g. NBIB, CE, etc.)
- New NISP Psychological Evaluation process:
  - Restart imminent
  - Interrogatories to flow first; Evaluations to follow
  - Expect process to be more timely & efficient
- PSMO-I + CAF + DoHA + NISP-partners must work closely with DMDC fully identify and validate FSO Portal requirements
- **CONTACT** - NISPPAC WG (POC-Quinton Wilkes) and/or PSMO-I on way-forward for FSO Portal requirements



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**QUESTIONS???**

