Department of Defense
Consolidated Adjudications Facility

NATIONAL DEFENSE INDUSTRIAL (NDIA)
AND THE AEROSPACE INDUSTRIES ASSOCIATION (AIA)

DIRECTOR, NED FISH
DOD CONSOLIDATED ADJUDICATIONS FACILITY

November 14-16, 2016
Agenda

- Mission
- CAF Transformation
- Metrics
- Initiatives
- Questions
DoD CAF Mission

To determine security clearance eligibility of non-Intelligence Agency DoD personnel occupying sensitive positions and/or requiring access to classified material including Sensitive Compartmented Information (SCI). These determinations involve all military service members, applicants, civilian employees, and consultants affiliated with the Department of Defense, to include DoD personnel at the White House and contractor personnel under the National Industrial Security Program (NISP). The DoD CAF also adjudicates security clearance eligibility for staff of the United States Senate and House of Representatives, the Congressional Budget Office, and the United States Capitol Police. Additionally, the DoD CAF renders favorable adjudicative determinations for employment suitability of DoD civilian employees and Common Access Card (CAC) or Fitness eligibility of non-cleared DoD contractors.
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October 1, 2013

Begin integration of best practices and cultures

October 1, 2014

Normalize the culture and continue integration

October 1, 2015

Prepare for mission growth and begin final phases of integration

October 1, 2016

Consolidate PERSEC IT DoD-wide upon implementation of DISS/CATS v.4; Expand Support to CE

Support from WHS

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METRICS
Industrial Cases Pending Adjudication

- Increase due to addition of DIA SCI cases and re-baselined calculations to include all Industrial SCI cases
- Backlog to be eliminated not earlier than 4QTR FY17
- Legal Sufficiency Review (LSR) cases expected to stabilize at 200-300

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Month | NISP Backlog | FY 16 NISP Receipt* | Backlog % of Total NISP
--- | --- | --- | ---
October 13 | 13,515 | | 7.4%
October 16 | 1,560 | -11,955 | ~ 183,000

* Includes Personal Security Investigations, Incident Reports, Reconsiderations, etc. (does not include SACs)
INDUSTRY
Intelligence Reform and Terrorism Prevention Act Performance
(Based on OPM Reporting)
Jun 15-Sep 16

- Average for Tier 3 Products in FY 16: Initial: 5 days; PR: 4 days
- Anticipate continued focus on initial investigations as the priority until the backlog is eliminated and CATSv4 implementation occurs. Timelines for Periodic Reinvestigations expected to remain high through FY 17
- Spike in Jul-Sep 16 is the result of:
  - 4th Estate SCI/DIA case transfer/ingest
  - IT latency issues and challenges from legacy CATS
  - Increase in closed older cases
  - Final determinations made on backlogged cases transferred from DIA CAF (beginning on 01 Jul 16)
OPM Oversight Report for FY2016

• All Agencies National Security Initial End To End: 148 Days
  o DoD CAF: 144 Days
  o Army: 139 Days
  o Navy: 127 Days
  o Air Force: 135 Days
  o Industry: 196 Days

• Contributing Factors (Detractors):
  o Several periods of extended IT service instabilities including periods of low to no ingest contributed to increased timelines
  o During periods of low to no ingest, CAF focused on closing aged backlog cases
  o Combination of aging ingest (before receipt) and focus on aged cases (in inventory) caused increases in timeliness particularly Industry;
  o Later in the FY, CAF placed emphasis on incident reports
  o No Tier 3 cases were eAdjudicated in FY2016
  o Industry Division’s focus on Suspense Case Management and Converting NACLC Re-Opens to RSI also contributed to increased timelines
  o Acceptance of 4th Estate SCI Mission included accepting backlogged cases from DIA

• DoD CAF Adjudication Timelines:
  o Initial (All Types) – Adjudicated in 12 of 20 days
  o SSBI-PRs – Adjudicated in 27 of 30 days

• Army Only Timelines:
  o Initial (All Types) – Adjudicated in 19 of 20 days
  o SSBI-PRs – Adjudicated in 28 of 30 days

• Navy Only Timelines:
  o Initial (All Types) – Adjudicated in 5 of 20 days
  o SSBI-PRs – Adjudicated in 10 of 30 days

• Air Force Only Timelines:
  o Initial (All Types) – Adjudicated in 7 of 20 days
  o SSBI-PRs – Adjudicated in 9 of 30 days

• Industry Only Timelines:
  o Initial (All Types) – Adjudicated in 17 of 20 days
  o SSBI-PRs – Adjudicated in 58 of 30 days

"Even though we have successfully implemented T3 eAdjudication (October 2016), we anticipate the FY17 IRTPA timelines to be similar due to disruptions resulting from the planned deployment of CATSv4. Industry SSBI-PR timelines should improve with elimination of last vestiges of legacy backlog in 2017

As Of: 8 Nov 16
INITIATIVES
Initiatives

• Transfer of 4th Estate SCI Adjudications (includes NISP)
  - Non-DIA contractors requiring TS/SCI eligibility from DIA CAF, are now sent to DoD CAF SOI
  - Defense Agencies
  - DoD Field Activities
  - Combat Support Agencies

• CEC Pilot:
  - CY 2015 – 225,00
  - CY 2016 – 275,000 (500,000 Cumulative)
  - Pilot capability evolving into operational CE system; ramp up continues
  - OUSD(I) integrating CE requirements into DISS, maintaining alignment w/ODNI CE capability

• Tier 3/Tier 3R eAdjudication:
  - Phased deployment in legacy CATS
    - 31 Oct 2016: DISCO ✓

As of: 7 Nov 16
Initiatives (cont’d)

• Industry Specific CATS V4 Deployment by DISS PM
  • Mar - Apr 2017: Deployed to CAF Industry Division
  • Mar - Apr 2017: Industry Portal Deployment
  • 5 May 2017: Tentative Date to “sunset” DISCO CATS
The Department of Defense Consolidated Adjudications Facility Public Website has been crafted to reflect what our customers would like to see. It also builds upon the foundation of the DoD CAF, which is the tradition of excellence established by the seven former component CAFs in making adjudicative determinations. You will notice streamlined menus, simple navigation and access to the information you need, any time of day.

Visit the DoD CAF website today at http://www.dodcaf.whs.mil/
DoD CAF
Bldg. 600, 10th Street, FGGM

QUESTIONS???