

## Review of Common Obstacles in the Development Cycle for Novel Battery Electrode Active Material Commercialization



Joint Service Power Expo 2015 Dr. James Fleetwood

### Vision



A non-profit, public-private partnership joining academia, industry, and government to rapidly develop, test, and commercialize the next generation of safe, reliable, and lightweight energy storage systems.

### **Distinguishing Features**

- 1. Catalyze technologies by reducing long, expensive innovation-to-commercialization development cycle
- 2. Does not hold patent rights, reducing concerns to jointly develop
- 3. IP-secure, US-based facility generating reliable data using common techniques & equipment



### **Core Offerings**

- 1. Low volume cell manufacturing & prototyping
- 2. Full suite of test & evaluation capabilities
  - Cells, Modules, Packs, & Systems
  - Certification
- 3. Applied Research & Consulting
  - Design for packs, BMS, and systems
  - Competitive analysis



### Advanced Energy Systems Testing and Validation Capabilities



#### **Battery System Testing and Evaluation**

- Full spectrum of T&E equipment for individual cells up to whole systems of 1MW+
- Access to environmental and abuse testing facilities at NSWC Crane that include more than \$150M in hardened test labs

#### **Microgrid Systems Testing**

- Utility scale grid simulator
- Integrated solar and wind renewables on site
- Residential, community, and grid energy storage systems on site
- Facility designed with access to >6MW of available power with net metering (MISO High Voltage Node)

#### **Cell and Pack Manufacturing**

- 1% Humidity Dry Room & 10,000 Class Clean Rooms
- Commercial quality cell manufacturing equipment for multiple cell formats
- Pack design and assembly equipment











- Electrochemical testing
  - Active material evaluation
  - Individual electrode systems
  - Paired electrodes
  - Electrolyte selection
- Cell format progression
  - Split cell testing
  - Half cell (coin) testing
  - Full cell (coin) testing
  - Large format pouch/prismatic/cylindrical cell testing
- Electrode fabrication progression
  - Laboratory process
  - Slip table / doctor blade
  - Continuous coating
    - Comma bar coater dryer
    - Slot die coater dryer





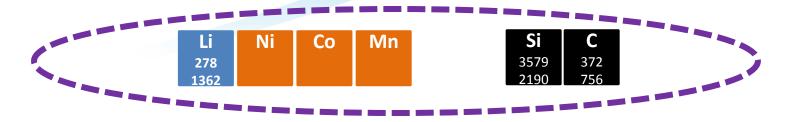
### • Theory/Model

H Li 3861	Ве		<b>Element</b> mAh/g mAh/cm <sup>3</sup>		Anodes (Conversion)		Intercalation Based Electrode Components			Cathodes (Conversion)			<b>C</b> 372	0	F	
2062 Na	Mg												756 <b>Si</b>	Р	S	Cl
	195 322											993 1383	3579 2190	2596 2266	1675 1935	
К	Са	Sc	Ti	V	Cr	Mn	Fe	Со	Ni	Cu	Zn	Ga	Ge	As	Se	Br
											410 1511	769 1911	1384 2180	1073 2057		335 1069
Rb	Sr	Y	Zr	Nb	Мо	Тс	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Те	
											238	1012	960	660		211
Cs	Ва	Lu	Hf	Та	W	Re	Os	Ir	Pt	Au	1159 Hg	1980 Tl	1991 <b>Pb</b>	1889 Bi	Ро	816 At
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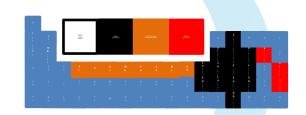


### • Theory/Model

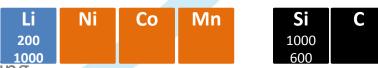
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  - Active material evaluation
  - Individual electrode systems
  - Paired electrodes
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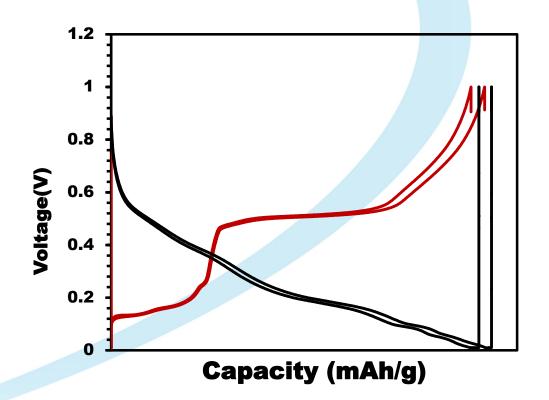






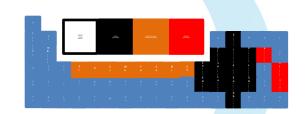
## **Electrochemical Testing**

- Active material evaluation
  - Split cells
- Individual electrode systems
  - Half cells
- Paired electrodes
  - Full Cells
- Electrolyte selection
- Key performance metrics
  - Specific capacity (mAh/g)
  - C-Rate
  - Cycle life
  - Coulombic efficiency
  - Irreversible capacity
  - Temperature range
  - Safety

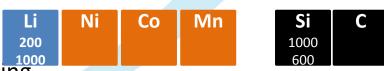


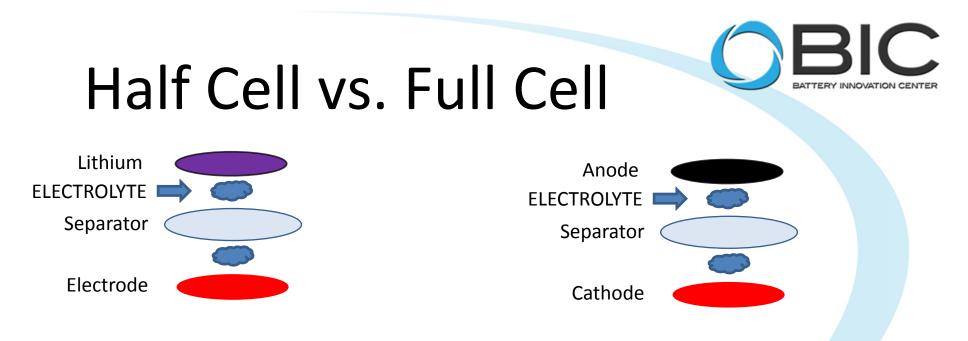


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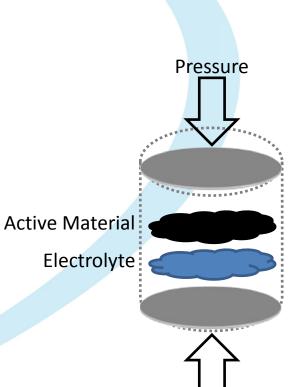
- Half Cell
  - Simple system without need for balanced electrodes
  - Limited cycling due to risk of lithium dendrite formation
  - Effectively unlimited Li available
- Full Cell
  - Complete cell system with performance characteristics like that of end-use / commercial cells
  - Capable of long term and more in depth testing

## Split Cell Testing



### • Benefits

- Basic empirical comparison to theoretical electrochemical properties
- Cell system independent evaluation of charge capacity at given cycle rates
- Indication of potential cycle life
- Most conservative of available materials
- Drawbacks
  - Time intensive
  - Large free volume for expansion, gassing, and excess electrolyte
  - Can miss mechanical property related failure mechanisms

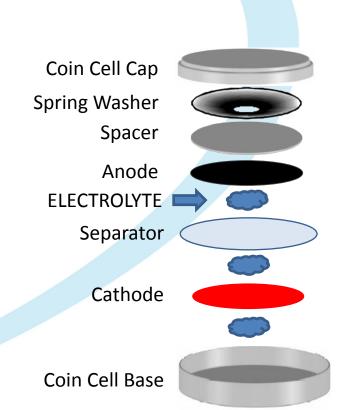


## **Coin Cell Testing**



### • Benefits

- Easily & quickly constructed
- Conservative of materials (<50 mg/cell)</li>
- Drawbacks
  - Typically a manual assembly with less control than with split cell tests
  - Large open volumes
  - Relative overlap of anode and cathode can be unrepresentative to larger cell formats



## Large Format – Full Cell



- Commercial formats at industry relevant performance
- Drawbacks
  - Requires more material than small format testing
  - Requires more time per cell to construct
  - Complexity of interactions can make trouble-shooting slower
    - Less free volume in cell for cyclic expansion or gassing
    - Cell heating during cycling more likely
    - Electrode mechanical properties may become a factor





## Pouch / Prismatic Cells



- Benefits
  - Minimal mechanical requirements on electrodes
    - Particularly for flat/stacked/Z-fold pouch cells
  - Flexible on geometry and # of electrode layers
- Drawbacks
  - More difficult to monitor gassing
  - Less consistency with electrolyte fill & infiltration



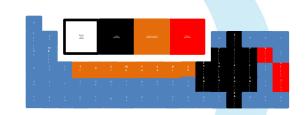
## Cylindrical Cells



- Benefits
  - Standardized product
    - Highly commercially relevant
  - Safety features
    - Pressure relief valve
    - Over-temp shut-off
  - Most rigorous test
    - 1-20 Amps may be flowing at a time
    - Contained volume
    - Electrodes wound around tight mandrels
- Drawbacks
  - Most rigorous test
  - Much more equipment required to fabricate than previous cells
  - Must fabricate from continuous coating methods
    - 500-1000 mm electrodes typical



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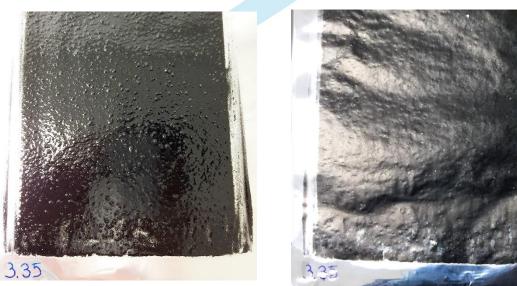
## Slip Table Coating Trials

• Benefits

- Low material requirements (<50 mL of slurry)</li>
- More flexible on slurry rheology
- Reveals general 'coat-ability' of electrode slurry
- Drawbacks
  - Higher variation between and within coatings
  - Limited production capacity
  - Slower drying rate than industrial processes



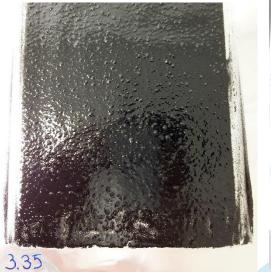




## **Slip Table Coating Trials**

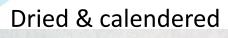
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Wet coating





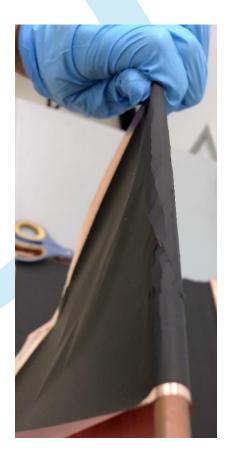


Dry coating

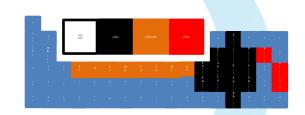
## Comma Bar Coater Dryer



- Benefits
  - More consistent coating & drying over slip table trials
  - High throughput capability
    - Even a laboratory model is capable of 3 m/min coating rates
  - Industry relevant coating process
    - Slot die is the next step up in capabilities
- Drawbacks
  - Slurry rheology governs coating quality
    - Slurry solids content at this point is a dependent variable
    - Less ability to control as-cast electrode porosity
  - Drying rate generally increases over slip table rates
    - Increases residual stresses in electrodes, particularly those with small particle sizes & high specific surface areas

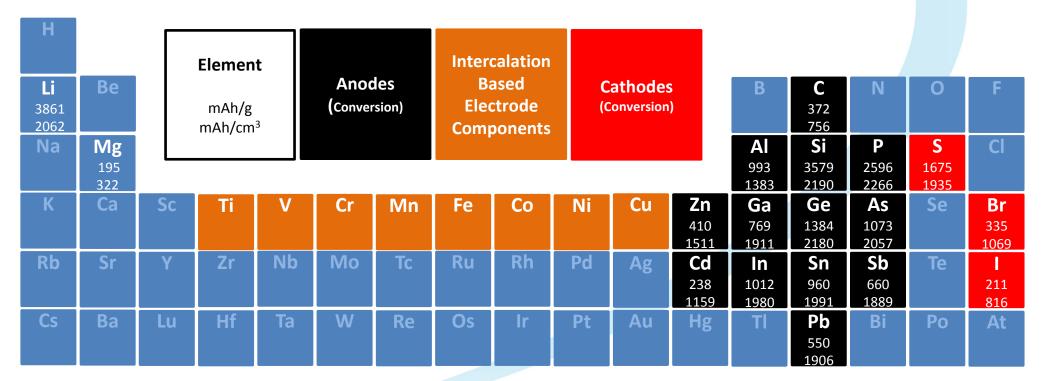


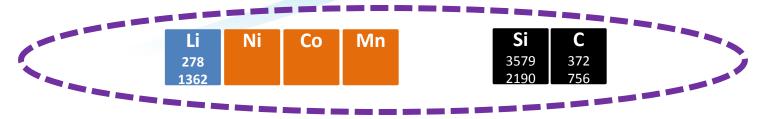
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## Trends in Battery Active Material Development



- Intercalation based electrodes
  - Charge/discharge based on diffusion of Li<sup>+</sup> to and from lattice sites within the electrode structure
- Conversion based electrodes
  - Tend to have the highest theoretical capacities
  - Undergoes solid-state reaction as part of charge/discharge
  - May not have an initial source of lithium
  - Typically utilizing active materials with large volume changes during cycling
- Electrolyte development
  - Solubility of active material elements
  - Stability of SEI, particularly w.r.t. maximum operating voltage and solubility of electrode elements

## Trends in Battery Active Material Development



- Dimension reduction of electrode materials
  - Shortens Li<sup>+</sup> diffusion path for faster charge/discharge rates
  - Increases resistance to mechanical failure due to volume changes for improved cycle life
  - Increases specific surface area (m<sup>2</sup>/g)
    - Solid electrolyte interface (SEI) layer increases in relative volume within electrode
    - Electrolyte and SEI stability requirements increase
    - Increases capillary action within electrode
      - Humidity/environmental sensitivity
      - Increases residual stresses incurred during solvent evaporation
  - Increases particle dispersion requirements
    - van der Waals forces mitigate dimension reduction via aggregation

## Trends in Battery Active Material Development



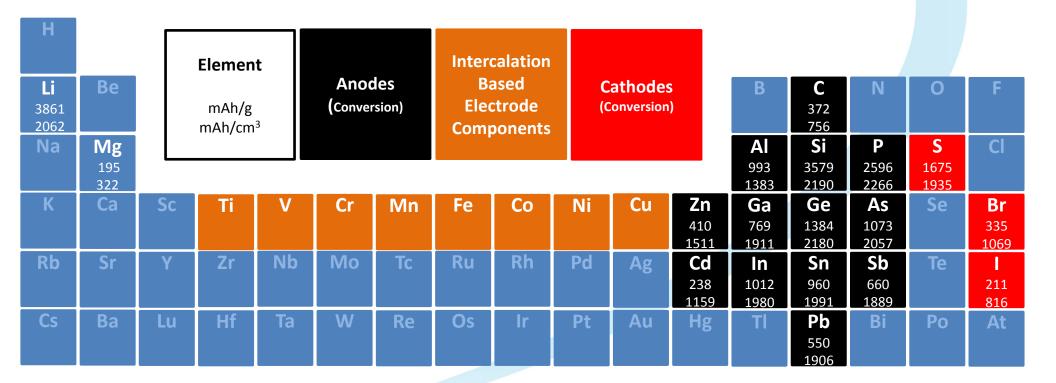
- Typically with carbon compounds
- Encapsulation of active materials
- Composite electrodes
- Often limited by complex fabrication techniques
- Tendency towards atypical surface/interface properties
- Unknown or low compatibility with common slurry compositions
- Active material composition optimization
  - Focusing on intercalating crystal structures with high capacity and stability over time
  - Typically has very similar optimal slurries compositions to contemporary electrodes

## Conclusions

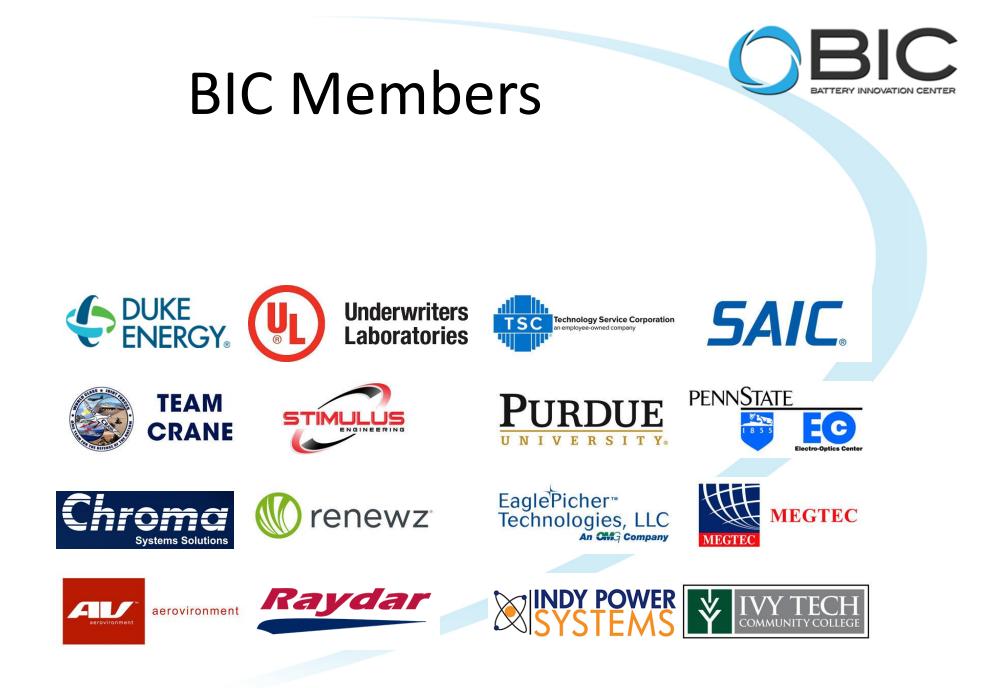


- Intercalation based electrodes are still the standard, with shorter development cycles
- What's holding conversion based electrodes back?
  - Ideal electrolytes & stable SEI layers
  - Mechanical properties & surface science
    - Slurry composition & rheology need earlier consideration during development
    - Nanoscale active materials are much less likely to use standard slurry compositions and mixing procedures





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## **TRL Explanation**

on of acronyms on reverse page.



www.security		-										
DHS S&T Portfolio	N/A		<b>Basic Research</b>		Innovation and Transition							
Technology Phase	Needs Assessment		Science			Technology Developme	ent	Product Development				
Technology Readiness Level (TRL)	N/A		TRL 1 - TRL 3			TRL 4 - TRL 6			TRL 7 – TRL 9			
Manufacturing Readiness Level (MRL)	N/A		MRL 1 - MRL 3			MRL 4 - MRL 6			MRL 7 - MRL 10			
Key Objectives	Identify S&T needs or capability gaps     Rough draft operational     requirements are developed (if     appropriate)     Assess technology-based     solutions to address gaps.     Investigate the value proposition     Establish technical objectives     and milestones.     Induct preliminary IP review.     Initiate Congressional     Appropriations Memo, Technology     Transition Agreements (TTAs),     Technology Commercialization     Agreements (TCAs),     Program	TRL 1  TRL 1  TRL ack of the envelope" environment – new approach Basic scientific principles observed  Physical laws and assumptions used in new technologies/sciences defined Have some concept in mind that may be realizable Paper studies support basic principles (literature search) Formulation of concepts that might be realizable (draft road map) – If - then' statements has a Feasibility Study White Hardshare a feasibility Study Hardshare a feasibility Study Hardshare a feasibility Study Hard	TRL 2 Basic elements of science/technology identified (mathiphysics/ chemistry/ analysis/ algorithm) Components of technology/science partially characterized Rigorous analytical studies confirm basic principles Paper studies show that application is feasible Potential system or component application(s) identified – proof of principle Individual parts of the technology work	TRL 3 Science known to extent that models and simulations are possible Preliminary system performance characteristics and measures have been identified and estimated Predictions of elements of technology capability validated by Analytical Studies Experiments carried out with small representative data sets Laboratory experiments verify Scientific feasibility Scientific feasibility Scientific feasibility component integrations)	The customer briefed on the Proof of Concept results     Cross-technology uses	TRL 5         □ ORD and CONOPS         developed         □ Security Assessment         updated         □ OMB 300 and Acquisition         Plan completed (if required)         □ IPT certified readiness for the transition of the Technology         □ Program Transition Manager assisted in transition         documentation development         □ Technology scan and market survey (origoin)         □ Analysis of Alternatives developed and updated (TRL 5 & 6)	developed Draft Program Assessment Rating Tool (PART) plan exists, if required Divational Environmental Policy Act (NEPA) plan / assessment	TRL 7  S&T and the end-user / customer develop final transition plan; (TRL 7 and 8)  Technology successfully demonstrated in an operational environment (TRL 7 and 8) Updates made to the CRD. Risk Management Plan, Program Cost Analysis and PMP updated. Strategic Program Planning conducted. Operations and Maintenance Manual completed / updated. Decuty Manual developed. Interopreshilty demonstrated. MDs reviewed for compliance.	TRL 8  Technology components are form, fit, and function compatible with an operational system. Technology production addressed and planned by DHS and the end-user / customer. Training Plan developed and implemented. (TRL 8 and 9) Operational Test Report completed. Completed. Physical and functional interfaces clearly defined	Hanning underway for the integration of the next generation technology into the existing program components. End-user fully demonstrates the technology in CONOPS. Lessons Learned completed. After Action Review completed.		
	Pagealinetia (1049), 110galini Descriptions (essearch and Innovation) and Feasibility Studies	Paper been developed? □Has a potential DHS mission space been identified? □Identify interest in technologyischere, e.g., sponsor, funding source (users/participants: researchers, national/international, military) □Know who will perform research and where it will be done MRL1 □ Basic manufacturing implications identified	Develop research plan     Doualitative dea of risk areas     (cost, schedule, performance)     Identify DHS area supported     Requirement areding system     defined slow requirements creep     Begin market research (Who     is interested, outreach, market     survey)     Develop a Technology     Roadmap.      MRL2     Manufacturing concepts     identified	Customerkuser identified and participates in requirements definition/generation.     Risk areas and mitigation strategies identified Global Research Services search performed Develop Quality Control Plan standards conformance, reliability Develop Marketing Plan to include market size and research.     MRL 3 Manufacturing proof of concept developed Producbility for key commonents identified	Gisk Management Plan     updated (TRL 4, 5, and 6)     Program Cost Analysis updated     (TRL 4, 5, and 6)     Quality Assumace Plan exists     Begin transition planning.     MRL 4     Materials, machines and     tooling have been demonstrated in     al aboratory environment     Producibility assessments     initiated	Entry Otheria Checklist     completed and delivered to the     TM     PDD created, approved, and     signed (TRL 5 & 6)     Director approved the     transition     MRL 5     Manufacturing cost/goals     identified. Potential materials     sources identified.     Capability to produce     prototype components in     product relevant environment	MRL 6 Capability to produce system prototype in product relevant environment. Production cost drivers and goals analyzed and set Specific to Commercialization Inalize Manufacturing Plan. Inalize engineering documentation. Update Marketing Plan. Inc Product portoon. Inc Inc and Implement a test Infan for quality control.	MRL 7         Production pilot begins         Production representative environment         Specific to Commercialization         P Protection and Licensing.         Propare sales release package.         Verify and update quality control requirements.	MRL 8 Manufacturing pilot complete, ready for low-rate production	MRL 9/10 Manufacturing processes established and deliver quality products MRL 10 – System is at full production rate. Products meet all engineering, performance, quality and reliability requirements. Specific to Commercialization — Finalize quality plan. — Finalize manufacturing and assembly routines.		
Key Deliverables	Preliminary market assessment and technology scan. Congressional Appropriations Memo, Technology Transition Agreements, Program Descriptions (Research and Innovation), and Feasibility Studies lead to Program and Budget Execution.	Feasibility Study (White Paper)     Initial scientific observations     reported in journal/conference     proceedings/technical report     Road Mag (draft)     Written report of findings and     recommendations (preliminary     product plan).     Feasibility Review meeting.	Story stroning application is esable     Modeling & Simulation Revenues to     werky styrical principles     Manuer trainers:     Manuer trainers:	Technology Maturity     Assessment     Program Cost Analysis     (updated)     Functional Requirements (draft)     Proof of Concept     Program Management Plan     (PMP) draft     User/Customer Status Review     Analytical studytest reports.     Detailed product and marketing     plan.     Quality control plan.     Optimization Review meeting.     Manufacturing concepts     defined	Quality Assurance Plan.     Configuration Plan     Management.     PMP (updated). (TRL 4, 5, & 6)     Risk Management Plan	ORD and CONOPS.     Security Assessment     (updated).     Program Definition Document     (PDO)     OMB 300 Capital Asset Plan.     Acquisition Plan.     Dentry Chiefe Checklist.     Analysis of Alternatives. (TRL     Sand 8)     Initial producibility of     component technology     completed     Initial Manufacturing Plan     developed.	Technology Transition Agreement (TTA), or Technology Commercialization Agreement (TCA) as applicable Initial Security Guidelines. Draft Program Assessment Rating Tool (PART) plan, if required. National Environmental Policy	Transition Plan (draft).	Limited User Test (LUT) Plan.     Deployment or Transition     Plan.     Training Plan.     Operational Test Report.     Oustomer Acceptance     Document.     Initial Systems-level Metrics     Assessment.	Customer Feedback. Lessons-learned. After-action Review. Sustainment Plan is completed (a. Sprai Development Assessment b. Freplanned Product Improvemen c. Emerging Threat(s) Assessment, d. Technology. Refresh / Insertion, e Quality Assurance / Metrics Report, f. Risk Management Reassessment		
		New Tec for further of implementa bypasted technology @ Demoss					Specific to Commercialization Engineering documentation release Updated marketing plan. Test plan for quality control. Development Phase Review meeting.	Specific to Commercialization IP Protection and Licensing. Manufacturing and sales plan release package is to be distributed. Pilot Phase Review meeting	Specific to Commercialization Demonstrate that a defect- free product can be produced on schedule and at a cost within the target price points.	Specific to Commercialization Finalized product plan sales release package is to be distributed. Sales Release Phase Review mtng. Execution of acceptance, shipment, and after-sales support of the product.		
RDP Partnership Opportunities and	Special Projects Off	ice					T ( Off					
Vehicles	Interagency Office	Long Range Broad					hnology Transfer Offic	e				
		SBIR Phase I			SBIR Phase II	Phase II						
U.S. Department of Homeland Security Research & Development Partnerships July		ICPO International			Obirt Phase II		S	BIR Phase III				
Research & Development Partnerships July :	2011	ICPO International	Agreements									
Legend: Black Type – Primary Public Sector Blue Type – Primary Private Sector		FutureTECH Progr		· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	SECURE Program						

SAFETY Act Developmental T&E:TRL 6-7 Designation: TRL 7-9 & Certification: TRL

### **Energy Storage Technology**

#### **The Water Analogy**

- Want water when needed, not only when it rains
- We want the right amount (i.e., energy)
- We want the right speed (i.e., power)
- Independence & Security
  - ISIS: \$3.2mm / day from oil

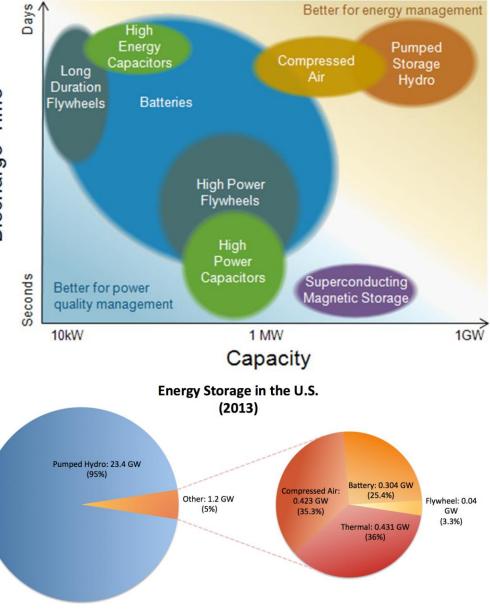
### Why So Many Ways to Store?

- Natural resources
- Application requirements
  - stationary v. mobile?
  - backup v. peak demand v. renewable?
- Technological advancements

#### Why Focus on Batteries?

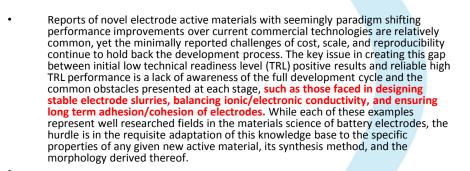
- Well known manufacturing methods (commodity)
- Flexible format
- Flexible technical performance
- Mobile and can be stable

### **Electricity Storage Technologies**



Discharge Time

## **DEL: Abstract Copy**



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The basic steps in the development cycle of new active materials on the cell level are split cell testing, half cell coin testing, full cell coin testing, and large format testing, such as planar stacked pouch cells or cylindrical wound 18650 cells. Each stage of development introduces new complexities and potential interactions, such as maintaining uniform dispersion of the increasing volumes of slurry needed, differing drying rates as electrode mass loading is increased or larger scale coater-dryer equipment is used in production, and the effects of residual drving stresses on the ductility of electrodes wound around the small radii of curvature utilized in cylindrical cells. When developing a new active material, often initial capacity results are focused on the material itself, independent of the electrode structure necessary to facilitate its operation, any electrolyte interaction, and an appropriately balanced counter electrode. Additionally, development can continue from the cell level into module and pack testing, with a focus on safety, thermal, and power management, but for the purpose of this report, this late stage development will be discussed solely in terms of the relevant constraints it places on cell development.

## **Commercial Offerings**



#### **Residential**

- Dozens of off-the-shelf products available
- Samsung, LG, GS Yuasa, SMA, Eaton, ABB, NEC, Toshiba
- TESLA!!
  - Marketing & price point (\$3500 for 2.5 days of energy)

### **Community / Industrial / Government**

- Again, dozens of offerings
- Applications include:
  - Emergency location backup
  - Renewable integration
  - Peak Shaving
  - Power Quality

### Grid / Microgrid

- Smaller number of vendors, but still 10-15
- Applications include:
  - Renewable integration
  - Frequency regulation
  - Replace generation, transmission, distribution assets





### Microgrids



#### Why not create my own "grid"?

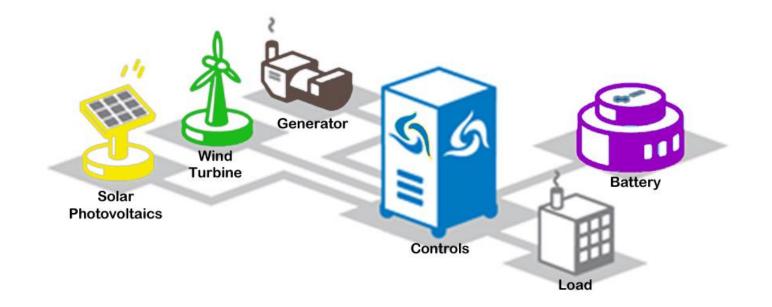
- Local generation + storage + management software = microgrid
- Why not municipal water AND a well??

### And if I have my own grid, why not make it "smart"?

• Devices called smart inverters and special software can shift between generation sources and grid

#### **Microgrid Applications**

• Remote service areas, hospitals, data centers, communication centers, municipal buildings, homes







### Microgrid Laboratory

- Goal: create setting to test algorithms & controls for microgrids
- Point: BIC can test the interoperability of components and provide unbiased evaluations
- Impact: Two microgrids deployed at Indiana highschools
- Novt Stons:







#### Is your energy infrastructure resilient?

- Terrorist Attacks: Sutton's Law
- Natural Disasters
- Too Many Renewables?

### Can policy decisions impact energy security & independence?

- Is storage required for renewable projects by your state utility commission?
- Are you encouraging customers to embrace storage with your policies and incentives?

### How can the BIC help?

- Competitive analysis and consulting
  - david.roberts@bicindiana.com
  - 317-225-6112







### Vision



A non-profit, public-private partnership joining academia, industry, and government to rapidly develop, test, and commercialize the next generation of safe, reliable, and lightweight energy storage systems.

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  - Certification
- 3. Applied Research & Consulting
  - Design for packs, BMS, and systems
  - Competitive analysis



### Advanced Energy Systems Testing and Validation Capabilities



### **Cell and Pack Manufacturing**

- 1% Humidity Dry Room & 10,000 Class Clean Rooms
- Commercial quality cell manufacturing equipment for multiple cell formats
- Pack design and assembly equipment

#### **Battery System Testing and Evaluation**

- Full spectrum of T&E equipment for up individual cells up to whole systems of 1MW+
- Access to environmental and abuse testing facilities at NSWC Crane that include more than \$150M in hardened test labs

#### **Microgrid Systems Testing**

- Utility scale grid simulator
- Integrated solar and wind renewables on site
- Residential, community, and grid energy storage systems on site
- Facility designed with access to >6MW of available power with net metering (MISO High Voltage Node)









### **Microgrid Capabilities**





Solar Array with Integrated EV Charging



Large Grid Storage Device (approx. 1MW)



#### Low Velocity/ High Efficiency Wind Turbines

<image>



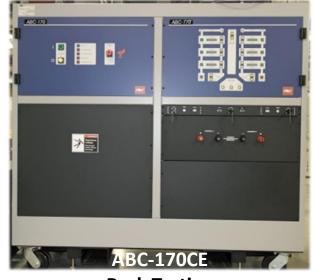
Integrated Power System (IPS) Supporting Solar and Wind Data Collection and Remote Management

### Critical Testing, Evaluation and Consultation for Energy Storage Solutions



**Module Testing** 





**Pack Testing** 





Battery/Array Testing



## **Strategic Partnerships**





- UL agreement announced in February 2015
  - exclusive test facility for all of UL's large-format ESS testing in U.S.
  - undergoing ISO 17025 certification
  - BIC selected because of breadth of equipment and capabilities



- <u>NSWC Crane</u>: CITE agreement in final negotiation will allow personnel to access Crane's extensive hardened T&E capabilities
  - when combined with BIC facility it will create broadest
     T&E capability in the U.S., if not the world
  - approved in principle; finalizing logistics of access & use

## **Strategic Partnerships**







- Duke Energy grant for microgrid simulation
  - Announced March 2015
  - To establish microgrid testbed environment with controls and communications infrastructure sufficient to evaluate microgrid components
    - Procuring 5 ESS
    - Receiving 1MW inverter
    - Leverage installed and new renewable generation
    - Communication protocol using emerging MESA standard
  - Final supplier identification and notification in process



 Multiple Federal grant applications recently submitted with Purdue University & private industry partners; developing proposals with IUPUI



# For more information on how BIC can be your trusted partner and resource, please contact:

David Roberts, President david.roberts@bicindiana.com (317) 225-6112

Ben Wrightsman, COO & Chief Engineer ben.wrightsman@bicindiana.com (317) 331-1197