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Developmental & Cybersecurity Evaluation Framework

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Briefing Purpose & Overview



- Developmental Evaluation Framework (DEF) part of TEMP's SE-V story:
 - How acquisition, technical and programmatic *decisions* will be informed by evaluation
 - How system will be evaluated
 - How test and M&S events will provide data for evaluation
 - What resources are required to execute test, conduct evaluation, and inform decisions
- Cyber Evaluation Framework guides programs through forest of cyber/IA guidance
 - System/software assurance
 - Risk Management Framework
 - Vulnerability Assessment
 - Interoperability



DT&E Strategy Overview





DT&E story thread: decision – evaluation– test & resources

Developmental Evaluation Framework



(Enclosure 4, DoD Interim Instruction 5000.02)

Test and Evaluation Master Plan (TEMP) includes a Developmental Evaluation Framework ("T&E Roadmap")

 Knowledge gained from testing provides information for technical, programmatic, and acquisition decisions.



Developmental Evaluation Framework (DEF)



System Engineering decomposition: Evaluate system capability - Inform decisions

Developmental Evaluation Framework





Resources

Schedule

									1000	
			Decisions Supported							
Developmental System Requirements and T&E Evaluation Measures		Decis	cision #1 Decision #2		Decision #3	Decision #3 Decision #4				
Objectives			DSQ #1	DSQ #2	DSQ #3	DSQ #4	DSQ #5	DSQ #6	DSQ #7	DSQ #8
Functional evaluation areas System capability categories	Technical Reqmts Document Reference	Description	Identify major decision points for which testing and evaluation phases, activity and events will provide decision supporting information. Cells contain description of data source to be used for evaluation information, for example: 1) Test event or phase (e.g. CDT1) 2) M&S event or scenario 3) Description of data needed to support decision 4) Other logical data source description							
Performance										
Performance	3.x.x.5	Technical Measure #1	DT#1		M&S#2				DT#4	M&S#2
Capability #1	3.x.x.6	Technical Measure #2	M&S#1		DT#3				DT#4	M&S#2
Performance	3.x.x.7	Technical Measure #3				DT#3			IT#1	
Capability #2	3.x.x.8	Technical Measure #4				M&S#4			IT#1	
Interoperability					-					-
Interoperability	3.x.x.1	Technical Measure #1				DT#3		DT#4		
Capability #3	3.x.x.2	Technical Measure #2		IT#2		M&S#4		DT#4		
Interoperability	3.x.x.3	Technical Measure #3		IT#2				IT#1		M&S#2
Oupdability #4	3 v v 4	Technical Measure #4						IT#1		DT#3
Cybersecurity										
SW/System Assurance	PPP 3.x.x	SW Assurance Measure #1			SW Dev Asse	SS	SW Dev Asses	SW Dev Asses	S	
RMF		RMF Contol Measure #1	Cont Assess		Cont Assess	Cont Assess	Cont Assess			
Vulnerability Assess		Vul Assess Measure #1				Blue Team			Blue Team	
Interop/Exploitable Vuln.		Vul Assess Measure #2				Red Team			Red Team	
Reliability				-	-					-
	4.x.x.1	Technical Measure #11		M-demo#1						IT#5
Reliability Cap #1	4.x.x.2	Technical Measure #12		M-demo#1				IT#2		IT#5
	4.x.x.3	Technical Measure #13				M-demo#2		IT#2		
Reliability Cap #2	4.x.x.4	Technical Measure #14				M-demo#2		IT#2		0

Example – Enhanced Polar System





Protected SATCOM (EHF) for polar-region users consisting of 4 segments: EPS Payload Segment, EPS Terminal Segment, EPS Control and Planning Segment (CAPS), EPS Gateway Segment

Inform Capability & Integration Decisions





Can CAPS command and control PL using in-band?

Is CAPS capable of utilizing out-of-band **T&C** through the Host Interface?

Is the *Gateway* capable of *connecting* polar users and mid-lat users?

Is EPS secure?

Is EPS sustainable?

EPS Developmental Evaluation Framework



DSQs Is CAPS Is GW capable of Linked Can CAPS utilizina Critical Can Is CAPS capable of command ls E capable of out-ofconnectina Is EPS Developmen terminals Integrated and control sv: 7.bl band T&C tal Issues communicat mission polar users secure? PL using e with PL? planning? throuah and mid-lat System Tests (Enterprise) in-band? the Host users? interface? GW PAR/G ISTs ISTs GW **System** FQT/CAP Integration ISTs E0100/ W **IST E0250** E0250/E081 E0350/E08 FQT/IQT/IST Test Event S FAT/IST FQT/CA E0830 capabilities E0830 0 00 E0280 PS FAT (DEOs) Measures* TM to Objectives Full Service evaluate х х х х Capacity GW **DEO/DSQ** х Throughput CAPS Max Capacity and CPU throughput Х Utilization **KPP/KSA** # of planned and active х х х associated TM Terminals highlighted Constellation х х х х х Service Coverage Coverage х х х х Region Data rate, Unstressed Error Rate, Communication х х х х EIRP, RRIP, s Uplink

Cybersecurity T&E Phases Start Before & Build on PPP and RMF!



- Phases as depicted are mapped to milestones and design reviews
 - Programs have latitude on timing of Phases
- Phases are iterative and should be iterated as system matures
 - SE and T&E Stakeholders collaborate to iterate process
- Build in "fix-it" intervals

- Shift "vulnerability discovery" earlier in acquisition life cycle

IA Policy Guidance "Shock & Awe"





Cyber EF Roadmap Guides T&E Path





Cyber Evaluation Framework Expands on DEF's "Security" DSQ

Test

Objectives

Coverage

Unstressed

S



Cyber EF Roadmap Use



Cyber Technical Capability/ Evaluation Activity Categories	DT Objectives - Cyber Technical Capabilities	Is the system and software developed securely?	Does the system satisfy baseline Cybersecurity/IA technical standards?	Do exposed vulnerabilities adversely effect system resiliency?	Is the system sufficiently interoperable and able to sustain critical missions in response to exploited cyber vulnerabilities?	Test Activity / Data Source	С
Systems and Software Assurance	Software Vulnerabilities Eliminated in critical components	Program Protection Plan(PPP) Table 5.3.3 (example measures: number/category of SORs, CVEs eliminated, CVEs remaining, CAPECs mitigated)				Contractor T&E/ Functional Qualification Testing (FQT)/ Government ST&E	g
←	Anti-Tamper Protections Implemented	Appendix D: Anti-tamper plan				Anti-Tamper Implementation Plan/Report	ta
	Supply Chain Risks Mitigated	PPP Section 5.3.4				Supply Chain Risk Management/Report	
(DIACAP) DOD 8500/RMF C&A Requirements	Access Controls Audit and Accountability Configuration Nanagement Continuity Enclaree Boundary Defense Enclaree Boundary Defense Enclare Boundary Defense Enclare Boundary Defense Enclare Boundary Defense Enclare and Computing Environment Identification and Authentosation Vulnerability and Incident Management Maintenance Media Protection Perconnel Auxeness and Trainion		Measure sources includes: Cyber security Acq strat, security controls assessment plan (example measures include: X of controls retified, number[category of outstanding deficiencies]			STREF Geowing Controls, Assessort ACAst Step 3 vulnerability assessment team	
01-1/10	Physical and Environmental (as applicable) Include other *attack surfaces* as based on Step 2 analysis		Include technical standards appropriate for the attack surface, e.g. MIL-STD 461 and 464 for EMIJEMC in the intended E3 environment	-	1	Contractor T&E and government technical standard testing as appropriate	
Lyber Kull Lhain Vulnerability Assessment	Uperational scenarios and critical inscisions chould be bared on autobratative sources including CDNDPS and capabilities documents. Representative opher threats schould be developed based upon STARs and cyber attack scenarios developed by witherability assessment teams and approved by appropriate authoritative source. Cyber kill chain as exercised by the adversary includes the following steps: Reconnaissance, Veaponization. Delivery. Exploit. Control. Execute, Maintain. Cyber Delense in resonse to adversarial actions include			111 vil develop neasures, interope devined from be NR-KPP. Meitris in - Support to military operations - Enter and be managed in the netw - Enter and be managed in the netw - Support new artic military operat Sources for cyber security metrics a technical documentation, or other a Strategy for Operating in Cyberspac Defenses Science Board Task Toore 120053. Rev 1. Cyber Resiliency Met include:	rabitly metrics and measures should be colude: ork tions. no we concern ay be derived from program whoritative sources. I while the DOD e and Resident Mitray Systems by Chees The below measures are derived from MP nics, dated Apr 2012. Example metrics	Skej 3 Vuinesalaity ässessenet: Team han full knowledge and access to team System and all supporting components (Blue Team)	
System interoperability and functionality in response to exploited cyber vulnerabilities	actions to reflect, divitate, impede, detect, limi, and expose advessarial actions. The lexicon reference is Intended Effects of Oyber Resiliency Techniques on Adversary Activities			- X cyber resources properly config - 8 attempted intrusions stopped at - X mission-essential capabilities for - Length of time between initial dist - Quality of choices made during - Quality of choices made during - X mission-essential data steets - X mission-essential data steets - X mission-essential data steets - X data value assertions in a missio copp exist - Length of time between initial distri-	uerd network perimeter! deflected to honeypot or which multiple instantiations available piton and eer room sign and engineering that affect resiliency hich all items effectively have two or more or which a master copy exists on-essential data store for which a master uption and restoration	Step 4 Vulnerability Assessment: Team numeric an adversary vishout knowledge or access to me symm 10-4 Team)	

Cyber EF Roadmap uides program-specific ailoring

- Categories of cyber evaluation
 - System/SW assurance
 - Compliance (C&A, RMF)
 - Vulnerability assessment (Red team, Blue team)
 - Interoperability (NR-KPP)
- Cyber capabilities within each category
- **Source documents**,
 - examples of measures
- Test activities, data 14

sources

System & Software Assurance



Critical	DT Objectives -	Example Metrics and Measures	Test Phase / Data Source
Developmental Issue	Cyber Technical		
Technical Capability	Capabilities		
s the system and	Software	Program Protection Plan (PPP) Table 5.3.3. Example Software	Contractor T&E/ Functional
software developed	Vulnerabilities	Metrics include:	Qualification Testing (FQT)/
securely?	Mitigated in	Quality Metrics, Number/Category outstanding SDRs etc.	Government ST&E
	critical	Security Metrics including:	PPP, CDRLs from CTR and
Systems and Software	components	% Code Static Analysis Planned/Inspected	government.
Assurance		% Code Planned/Inspected	
		%SW LOC Planned/Inspected CVE	
		%SW LOC Planned/Inspected CAPEC	
		%SW LOC Planned/Inspected CWE	
		%SW LOC Planned/Pen Tested	
		%SW LOC Tested (Coverage)	
	Software	PPP Table 5.3.3 Example Operational System Metrics for CPI,	
	Vulnerabilities	Critical Functions, Developmental SW and COTS/NDI include:	
	Mitigated in	Fault Isolation Planned/Implemented	
	Operational	Least Privilege Planned/Implemented	
	System	System Element Isolation Planned/Implemented	
		Input Checking/Validation Planned/Implemented	
		SW Load Key (Signed) Planned/Implemented	
	Software	PPP Table 5.3.3 Example Development Environment Metrics based	
	Vulnerabilities	upon SW Products selected including Compiler, Automated	
	Mitigated in Dev.	Testing Tools, Configuration Management System, Test Results	
	Environment	Database, etc.	
	Anti-Tamper	PPP Table 5.3.3, PPP Section 5.3.1 and/or Appendix D: Anti-tamper	Anti-Tamper Implementation
	Vulnerabilities	Plan.	Plan/Report, PPP, CDRLs from
	Mitigated	Metrics derived for appropriate CPI. Critical Components	CTR and government.
	5		5
	Supply Chain	PPP Section 5.3.4 Supply Chain Risk Management (SCRM)	Supply Chain Risk Management
	Risks Mitigated	Metrics derived from SCRM V&V Plan for appropriate CPI, Critical	Plan/Reports, PPP, CDRLs from
	-	Components etc.	CTR and government.

Risk Management Framework



Critical Developmental Issue Technical Capability	DT Objectives - Cyber Technical Capabilities	Example Metrics and Measures	Test Phase / Data Source
Does the system and associated Attack Surfaces/Interfaces satisfy baseline Cybersecurity technical standards? RMF Controls and Attack Surface Standards Verification and Validation	RMF Control Categories include: Access Control Awareness and Training Audit and Accountability Configuration Management Contingency Planning Identification and Authentication Incident Response Media Protection Maintenance Physical and Environmental Protection Planning Security Assessment and Authorization Personnel Security Risk Assessment System and Services Acquisition System and Communications Protection System and Information Integrity Program Management	RMF Metrics and measures can be derived from several source documents including Capabilities Documents, PPP, Cybersecurity Strategy, Security Controls Assessment Plan/Reports, Performance Specifications etc. Example metrics by control category may include: % of controls verified # and Category Deficiencies % of inherited controls verified # and Category Inherited Deficiencies Authority to Operate/test	ST&E/ Security Controls Assessor/ Phase 3/4 Vulnerability Assessment
	Attack surfaces to be evaluated based on Phase 2 analysis. Potential Attack Surfaces include: Connecting systems explicitly identified in Cybersecurity Strategy RF Interfaces (Data Links, Wi-Fi, Bluetooth) SCADA Interfaces (Control Net, Device Net, Fieldbus, Zig Bee, etc.)	RMF Metrics and measures for connecting systems may include: % of controls verified # and Category Deficiencies % of inherited controls verified # and Category Inherited Deficiencies Authority to Operate/Test <u>Attack Surface Measures and Metrics</u> <u>should be developed based upon the</u> <u>Security Technical Standards for the</u> <u>interface</u>	ST&E/ Security Controls Assessor/Phase 3 Vulnerability Assessment, Contractor ST&E and Government Technical Standards Testing as appropriate

Vulnerability Assessment



Critical Developmental	DT Objectives - Cyber Technical	Example Metrics and Measures	Test Phase / Data
Issue	Capabilities		Source
Technical Capability			
Do exposed	Cyber Kill Chain assessment in	ITT will develop measures in collaboration with other program	Phase 3
vulnerabilities	response to exploited cyber	stakeholders.	Vulnerability
adversely effect system	vulnerabilities shall be evaluated in	Critical Missions may be derived from CONOPS, Capabilities	Assessment Team
resiliency?	operational scenarios.	Documents, PPP, etc.	has full knowledge
		Interoperability metrics and measures should be derived from	and access to the
Cyber Kill Chain	Operational scenarios and critical	the NR-KPP. Metrics include:	System and all
Vulnerability	missions should be based on	- Support to military operations	supporting
Assessment	authoritative sources including	 Enter and be managed in the network 	components (Blue
	CONOPS, and capabilities	- Exchange information	Team)
Cyber kill chain as	documents.	- Support net-centric military operations.	
exercised by the			
adversary includes the	Representative cyber threats should	Cyber Kill Chain Metrics and measures may be derived from	
following Activities:	be developed based upon STARs,	Cybersecurity CONOPS, Program technical documentation etc.	
Reconnaissance,	Cybersecurity CONOPS and cyber	Example metrics follow:	
Weaponization,	attack scenarios developed by	# and % Resources properly configured (Configuration, STIG	
Delivery, Exploit,	vulnerability assessment teams and	for example, varies by resource)	
Control, Execute,	approved by appropriate	# and % reconnaissance attempts stopped at network	
Maintain.	authoritative source.	perimeter/deflected	
		# and % deliveries stopped at network perimeter/deflected	
Cyber Defense in		# and % exploits stopped before execution	
response to adversarial		# and % attempted intrusions stopped at network	
actions include actions		perimeter/deflected	
to redirect, obviate,		# and % intrusions detected	
Impede, detect, limit,		Avg Length of time between intrusion/disruption and detection	
and expose adversarial		Avg Length of time intrusion/disruption and restoration	
actions. Cyber Defense		# and % data exfiltrations detected	
actions describe the		# and % data exfiltrations stopped	
intended effects of		% mission-essential capabilities for which multiple	
Cyber Resiliency		instantiations available	
Techniques on		Integrity/Quality of restored data	
Adversary Activities		% mission-essential datasets with multiple/independent	
		external data feeds	
		% mission-essential data stores with master copy (Backups)	

Interoperability & Exploited Cyber Vulnerabilities



Critical Developmental Issue Technical Capability	DT Objectives - Cyber Technical Capabilities	Example Metrics and Measures	Test Phase / Data Source
Is the system mission capable and interoperable and able to sustain critical missions in response to exploited cyber vulnerabilities?	System Interoperability and functionality in response to exploited cyber vulnerabilities shall be evaluated in operational scenarios. Operational scenarios and critical missions should be based on	ITT will develop measures in collaboration with other program stakeholders. Critical Missions may be derived from CONOPS, Capabilities Documents, PPP, etc. Interoperability metrics and measures should be derived from the NR-KPP. Metrics include: - Support to military operations	Phase 4 Vulnerability Assessment: Team functions as an adversary (Red Team)
System interoperability and functionality in response to exploited cyber vulnerabilities	authoritative sources including CONOPS, and capabilities documents. Representative cyber threats should be	 Enter and be managed in the network Exchange information Support net-centric military operations. 	
Cyber kill chain as exercised by the adversary includes the following Activities:	Cybersecurity CONOPS and cyber attack scenarios developed by vulnerability assessment teams and approved by appropriate authoritative	Cyber Kin Chain Metrics and measures may be derived from Cybersecurity CONOPS, Program technical documentation etc. Example metrics follow: # and % Resources properly configured (Configuration, STIG for example, varies by resource)	
Reconnaissance, Weaponization, Delivery, Exploit, Control, Execute, Maintain.	source.	 # and % reconnaissance attempts stopped at network perimeter/deflected # and % attack deliveries stopped at network perimeter/deflected # and % exploits stopped before execution # and % attempted intrusions stopped at network 	
Cyber Defense in response to adversarial actions include actions to redirect, obviate, Impede, detect, limit, and expose		perimeter/deflected # and % intrusions detected Avg Length of time between intrusion/disruption and detection Avg Length of time intrusion/disruption and restoration # and % data exfiltrations detected	
adversarial actions. Cyber Defense actions describe the intended effects of Cyber Resiliency Techniques on Adversary		# and % data exfiltrations stopped % mission-essential capabilities for which multiple instantiations available Integrity/Quality of restored data % mission-essential datasets with multiple/independent external	
Activities		data feeds % mission-essential data stores with master copy (Backups)	

Core Teams: Applying Evaluation Framework to Programs



DEF Core Team

- Small, focused group of T&E and program acquisition SMEs
 - Chief Developmental Tester, acquisition strategy SME, requirements SME
- Develop DEF by facilitated discussion
 - Decision support questions (DSQ) T&E generated knowledge needed to inform decisions
 - Developmental Evaluation Objectives (DEO) system capabilities
 - Technical Measures (TM) "inch deep-mile wide" quantification of capabilities

Cyber EF Core Team

- Small, focused group of T&E, program cybersecurity SMEs
 - Chief Developmental Tester, cybersecurity SME, requirements SME
- Tailor generic Cyber EF roadmap to program specifics
 - Draw metrics from PPP, Anti Tamper (ATP) and Supply Chain Risk Management (SCRM) Plans, Risk Management Framework (RMF)

Summary & Way Ahead

- DEF focuses system evaluation (in mission context) to inform decisions
- Cyber EF guides cybersecurity evaluation
- Way Ahead
 - DASD(DT&E) is ready, willing, able, and anxious to help your program succeed!
 - Contact us for your DEF and/or Cyber EF Core Team



