

Test Planning – Advancing the Science Tutorial

14th Annual Systems Engineering
Conference
Hyatt Regency Mission Bay
October 26th, 2011

Stephen Scukanec

Senior Test Engineering Flight Test and Evaluation Northrop Grumman Aerospace Systems

Test Planning –Advancing the Science



Agenda

- Some opening thoughts
- Why develop a Test Plan?
- What is a Test Plan?
- What do you plan?
- Where does a Test Plan's data come from ?
- How do we plan?
 - Verification
 - Safe testing
 - Test Techniques
 - Test Tools
 - Test resources
- Keeping it all straight
- Let's Plan
- Conclusions

"Let our advance worrying become advance thinking and planning"

Winston Churchill

Test Planning



- "Approximately 20%-30% of the overall projects work should be allocated to testing."
- "Regardless of how much testing is allocated for the project, it is important to note that acceptable test results do not necessarily require perfection. Acceptable testing is more about validating what is agreed to be done rather than being perfect or even exceeding expectations."
 - Harold Kerzner's book Project Management a Systems Approach to Planning, Scheduling, and Controlling John Wiley & Sons, Inc



STAY ON TARGET

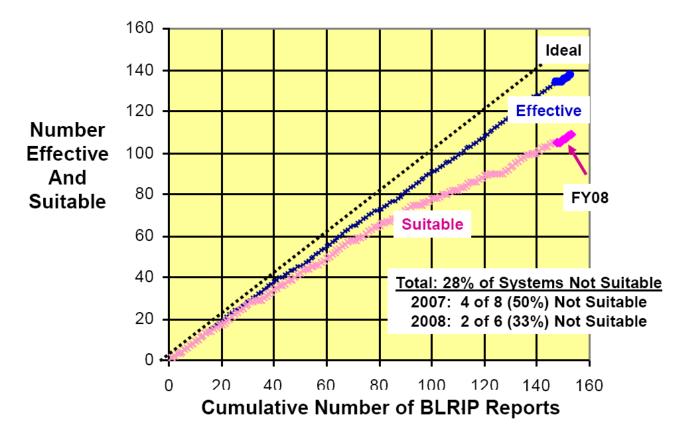
Remember This?





Cumulative IOT&E Results Through FY 2008





2

Tutorial Description



- From verification to test plan modeling and test plan generation, participants will see the processes and tool sets in action.
- To demonstrate some of these capabilities, participants will generate test requirements and objectives, model the plan, optimize the plan and assign resources, and finally generate a simple test plan while maintaining connections to the original requirements intent.
- Fools rush in Where wise men never go.



Test Planning – Advancing the Science



Tutorial Style

INTERACTIVE

IDEA SHARING



TEAM EXERCISES



Why Develop a Test Plan?

How Do Plans Help The Program?



- Identifies the test program and test program resources
- Provides a method to manage the test program
- Optimized test plan saves program cost
- Ensures the test program is traceable to the product architecture (requirements)
- Test plan can help manage program changes
- Test plans foster communications



Advancing the Science



Test planning typically relies on

- Experience
- Requirements
- DWWDLT (Did What We Did Last Time)
- Lessons learned
- Working teams / meetings
- Schedules



Test planning must advance using:

- Experience
- Doing what is required (optimizing the test program)
- Working teams / meetings
- Schedules
- Test plan modeling (utilizing SE based tool set)
- Appropriate application of design of experiments
- Collaborative techniques and tools to encompass the entire programs test program
- Support rapid evaluation based on programmatic changes

Why Do We Plan?



- •Planning allows one to stay on target, project the future, and assess the impact of change.
- •Planning identifies problems and points the way to solutions. Just taking a systematic, thorough look at the current situation and thinking about the implications for the future, can bring these things to light.
- •It helps us to do first things first. In other words, it provides a rationale for assigning priorities.
- •A good plan will suggest answers to perplexing questions.

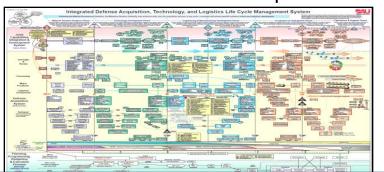


Planning is "intelligent cooperation with the inevitable."

The TEMP Lifecycle Value



- The TEMP identifies and integrates all of the T&E requirements with the program's acquisition strategy and requirements. The temps for OSD oversight programs follow the DAG format and must be approved by the director, DT&E and the director, OT&E. Service approved temps are developed according to service regulations and guidance. The TEMP is used by the program office to:
 - Provide an overall test management plan within the acquisition strategy bounds,
 - Identify overall T&E activities by the government and system contractor,
 - Guide the development of specific test events and integration of detailed test plans for those activities by summarizing relevant performance requirements, and
 - Document T&E schedule and resource requirements



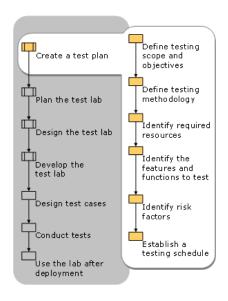
ACQuipedia - https://acc.dau.mil/ILC_T&EMP
Defense Acquisition University Web Site

What is a Test Plan?

What Is A Test Plan?



Microsoft



Early in the deployment planning phase, the testing team creates a test plan. The test plan defines the objectives and scope of the testing effort, and identifies the methodology that your team will use to conduct tests. It also identifies the hardware, software, and tools required for testing and the features and functions that will be tested. A well-rounded test plan notes any risk factors that jeopardize testing and includes a testing schedule.

TABLE OF CONTENTS

TABLE OF CONTENTS DOCUMENT INFORMATION LAB TEST PARTICIPANTS REVISION HISTORY **CONTENTS EXECUTIVE SUMMARY TEST SCOPE** LAB TEST GOALS SUCCESS CRITERIA (OBJECTIVES) / CRITICAL METRICS **TEST TOOLS ASSUMPTIONS** RISK FACTORS BRIEF HISTORY OF THE ITEM BEING **TESTED USE CASES**

NOT IN TEST SCOPE

What Is A Test Plan?





CDC UNIFIED PROCESS PRACTICES GUIDE



TEST PLANNING PRACTICES GUIDE

There are a number of different testing techniques and approaches. However, regardless of which approach is use test planning is made up of three basic phases that include: Preparation for testing is a vital part of the test planning process. This stage outlines the tests to be performed, and if necessary, creates the environment in which to perform those tests. Some of the 1. Preparing for Tests Test Plan - describes what testing will be done, to what quality standard, with what resource, within what timeframe, and outlines any risks/issues and how they will be addressed. A well defined test tion also dutilite items such as.

leading to test and not to test such as test product features, interfaces, reporting tools. plan should also outline items such as: Risks, issues, mitigation strategies, and contingencies plans Testing approach defining methods and tools to be used for testing Item pass/fail criteria specifying what constitutes a successful test Entry and exit criteria specifying what constitutes a completed test Test deliverables such as a test plan, test cases, test tools Environmental needs outlining any requirements for where testing will take place Staffing and training needs

> Center for Disease Control http://www2.cdc.gov/cdcup/library/practices_guides/CDC_UP Test Planning _Practices_Guide.pdf

INTRODUCTION

Purpose of The Test Plan Document

Compatibility testing

Test Risks / Issues

Items to be Tested / Not Tested

Test Approach(s)

Test Regulatory / Mandate Criteria

Test Pass / Fail Criteria

Test Entry / Exit Criteria

Test Deliverables

Test Suspension / Resumption Criteria

Test Environmental / Staffing / Training Needs

Conformance Testing Functional Testing

Load Testing

Performance Testing

Regression Testing

Stress Testing

System Testing

Unit Testing

User Acceptance Testing

Test Plan Approval

Appendix A: References Appendix B: Key Terms

Each subsection is repeated in each major section

What Is A Test Plan?



The TEMP describes the acquisition program's planned T&E over the program's life cycle and identifies evaluation criteria for the testers. It serves as an executive summary of the overall test program. Building on the foundations laid in the TES, the TEMP identifies and integrates all of the T&E requirements with the program's Acquisition Strategy and requirements

DOD / Air Force TEMP TOC – 2 Levels Deep

PART 1 – INTRODUCTION

- 1.1PURPOSE
- 1.2MISSION DESCRIPTION
- 1.3SYSTEM DESCRIPTION

PART III - TEST AND EVALUATION STRATEGY

- 3.1T&E STRATEGY
- 3.2 EVALUATION FRAMEWORK

Figure 3.1 – Top-Level Evaluation Framework Matrix

- 3.3 Developmental Evaluation Approach
- 3.4 Live Fire Evaluation Approach
- 3.5 Certification for IOT&E
- 3.6 Operational Evaluation Approach
- 3.7 OTHER CERTIFICATIONS
- 3.8 RELIABILITY GROWTH
- 3.9 FUTURE TEST AND EVALUATION

PART II - TEST PROGRAM MANAGEMENT AND SCHEDULE

- 2.0 T&E MANAGEMENT
- 2.1.1T&E Organizational Construct
- 2.2Common T&E Data Base Requirements
- 2.3DEFICIENCY REPORTING
- 2.4 TEMP UPDATES
- 2.5INTEGRATED TEST PROGRAM SCHEDULE

Figure 2.1 – Integrated Test Program Schedule



PART IV - RESOURCE SUMMARY

- 4.1 Introduction
- 4.1.1Test Articles
- 4.1.2Test Sites and Instrumentation
- 4.1.3Test Support Equipment
- 4.1.4Threat Representation
- 4.1.5 Test Targets and Expendables
- 4.1.6Operational Force Test Support
- 4.1.7Models, Simulations, and Test-beds
- 4.1.8Joint Operational Test Environment
- 4.1.9Special Requirements
- 4.2 Federal, State, Local Requirements
- 4.3 Manpower/Personnel Training
- 4.4 Test Funding Summary

Table 4.1 Resource Summary Matrix

APPENDIX A - BIBLIOGRAPHY

APPENDIX B – ACRONYMS

APPENDIX C – POINTS OF CONTACT

ADDITIONAL APPENDICES AS NEEDED

What do We Plan?

What Do We Plan?



- Tenet
 - a widely held belief; especially: one held in common by members of a group or profession
- Feature
 - a part or detail that stands out

Define the tenets and features of a good test plan.

<u>Break Into Teams</u>

- Select a Spokesperson
- Develop 3 4 Key Tenets Of a Good TestPlan
- Develop 3 4 Key Features of a Test Plan
- Write Them Down
- Share With Community

What Do We Plan?



Tenets of a Good Test Plan

- Defines Test Strategy
- Establishes Test Program Management
- Documents the Test Program
- Identifies the Needed Resources

Features of a Good Test Plan

- Can be used to manage the test program lifecycle
- Covers all program level test responsibilities
- Traceable
- Adjustable
- Is used as the requirements document for test procedures
- Avoids obsolescence



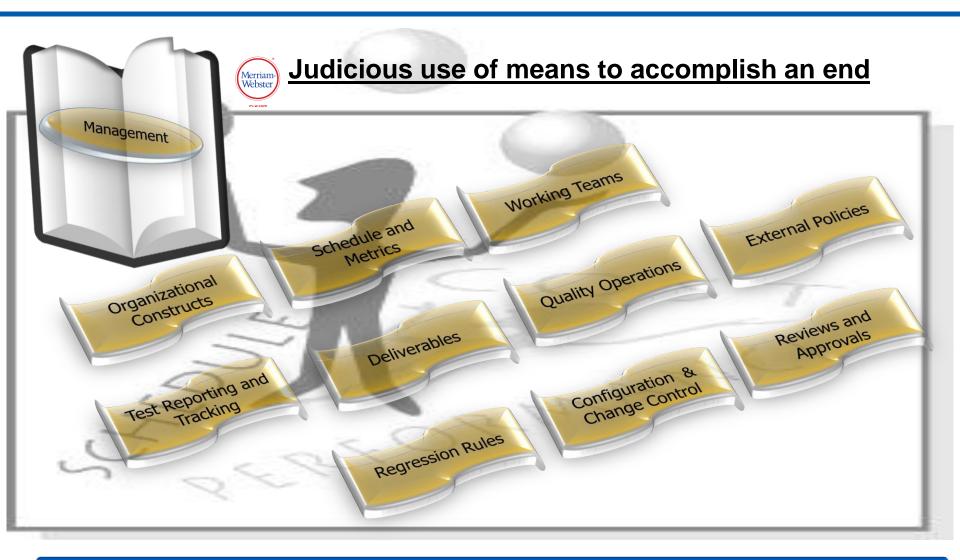
Tenet Characteristics - Strategy





Tenet Characteristics - Management





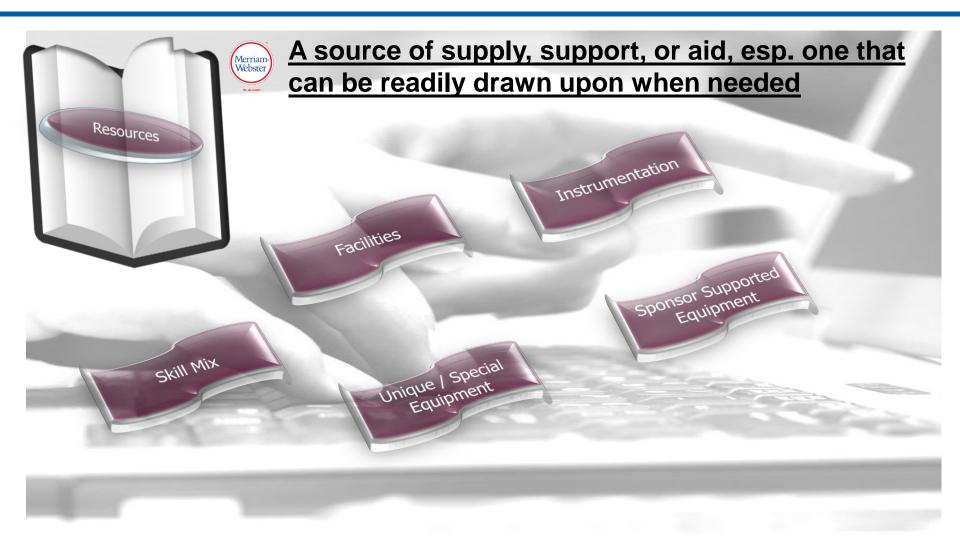
Tenet Characteristics - Program





Tenet Characteristics - Resources





Tenet Alignment?



Tenet	Microsoft	CDC	DoD
Strategy	Test scope Lab test goals Not in test scope	Test Approach(s) Items to be Tested / Not Tested	Part 1&2
Management	Metrics Schedule (Embedded)	Test Entry / Exit Criteria Test Deliverables Approval Schedule (embedded)	Part 3
Program	Success criteria (objectives) / critical metrics risk factors Use cases	Test Pass / Fail Criteria Test Risks / Issues	Part 2
Resource	Lab test participants Test tools	Test Environmental / Staffing / Training Needs	Part 4

Test Plan Data Sources

A Excerpt From The DAU T&E Course



- Test personnel must keep in mind that system test and evaluation is not limited to the technical performance of hardware and software.
- Evaluation of a complete system can include a wide range of factors, such as requirements, support requirements, arming distance, and weight.
- Evaluation of a complete system must include a wide range of factors in additional to purely technical ones, such as: training and human factor requirements, supportability and maintainability, facilities, etc.



DAU Fundamentals of Test and Evaluation Course Tst 102- Evaluation Considerations

Test Plan Input Sources Military Program





Source	Owner	Characteristic	Product	Test Plan Input
Operational Need	Sponsor	COIC, CTPs, Objectives & Thresholds	CDD, Evaluation Criteria	Measures of Effectiveness Key Performance Parameters
Test Strategy	Sponsor / Contractor	Environment	TES/TEMP/User	Test Conditions Resources
Requirements	SE	Compliance Criteria / Methodology	Specifications	Verification Criteria
Policies	Government / Sponsor Agencies/ Contractor	Environmental Concerns Accepted Approaches	Policies Standards Directives SEP	Accepted Test Approaches
Test Experience	T&E	Safe & effective test techniques	Lessons Learned, Previous Program Documentation	Test Techniques
Tech Maturation Plan	Design/ Eng	Risk Opportunities Tech Maturation	Tech Maturation Plan Risk Plan	Design Development Test Requirements
Manufacturing Strategy	Manufacturing	Acceptance Criteria	Manufacturing Plan	Testability Requirements Tools

When Do You Test Plan?

Does any of this Sound Familiar?

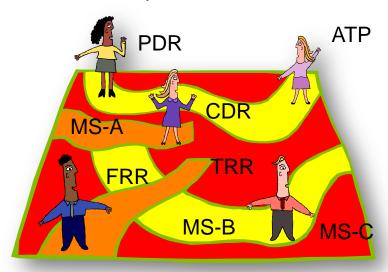


How do You Plan for Testing?

- We plan as we go
- Our ITP / Master Test Plan is the Strategic Guide for the Test Program, we develop lower tiered plans for the detailed facility dependant tasks
- We don't write an ITP we rely on the lowered tier plans
- We write only what is necessary to get through the milestone delivery
- The ITP / MTP is valid until CDR or it's equivalent
- We use our program schedule as our test plan

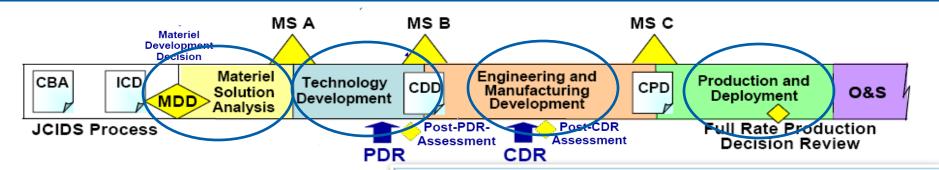
When do you Plan for Testing?

- We build a strategic plan for early program milestones (PDR or later)
 - Our lower tier plans are developed before the test TRR
- Once the lower tier plans are developed we rely on the test procedures to adjust the plan as necessary



When Do We Plan





<u>Break Into Teams</u>

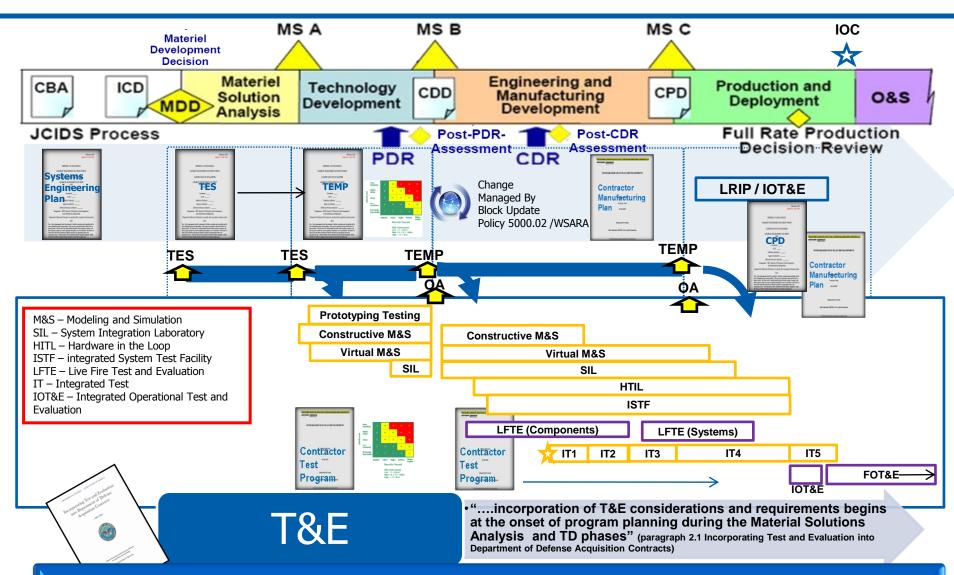
- Select a spokesperson
- Establish key test planning inputs by program phase
- •Establish phases for TES, TEMP, contractor plan, DT&E and OT&E plans
- Decide the state (draft, 1st release, final, etc;) of the test strategy / plan at each program milestone
 Ms-A, MS-B, MS-C, PDR, CDR, etc;
- Write them down
- Share with community





Test Planning- A Lifecycle Look





Intent must be maintained throughout the programs lifecycle to ensure warfighter need is provided

Test Planning – Advancing the Science



- Test planning starts at program inception
- Test planning support the development of product architecture and requirements
- Test planning requires the proper skill mix with lifecycle experience
- Test planning is a lifecycle task
- Test planning requires a collaborative, program integrated, model based tool set.
- Test planning should look front to back and not back to front
- Test planning should help decide the test techniques, not the other way around.
 - Just because you used a laboratory last time doesn't mean you need it this time.

How Do We Plan?

How Do We Plan



- Pick a Planning Method(s)
- Pick a Planning Tool(s)
- Apply Experience
- Get Lessons Learned and Other Program Experience
- Get User Input



- Understand the Available Test Techniques
- Understand the Verification Needs
- Learn the Policies
- Determine the Sequences and Prerequisites
- Write it all Down

How Do We Plan?



- Consider an improved air-to-air missile system that requires testing— Missile A Improved. Suppose the original Missile A had an historical hit rate of 70%. The test design must evaluate whether the improved missile is at least equal to or better than the original in "target hit" success. How many shots do we need to make to determine the performance of the improved Missile A?
- Starting with a blank sheet of paper, the test engineer must define the appropriate number. But what is the number of shots necessary to verify the improved Missile A. Maybe the number is 3, because that is what the available time or money will support. Maybe the number is 8 because the engineer just likes 8. Maybe the number is 10 because the engineer is challenged by fractions. Or maybe the number is 30 because in life something good happens at 30! There is no statistical backing for any of these numbers, but all remain possibilities. For no particular reason, the engineer chooses 10.

Design of Experiments Applied to Flight Testing - Leslie L. Bordelon U. S. Air Force Senior Executive Service Retired - RTO-EN-SCI-176

Traditional Test Planning Methods



- Test Team Planning Approach
 - Intuition SME opinions, Quick and Easy, Not Much Detailed Planning Required
 - Do What We Did Last Time (DWWDLT) Defined Trade Space, Cost and Schedule, May Not Examine New Capabilities Under Changed Environment
 - One Factor at a Time (OFAT) Organized, repeatable, Non-interactive
 - Best Guess Cost and Schedule Driven
 - Use Comparable Data Adds Supporting Data, Lacks Fidelity to New Case

During the 1920s, a British statistician named Ronald Fisher put the finishing touches on a method for making breakthrough discoveries. Some 70 years later, Fisher's method, now known as design of experiments, has become a powerful tool for engineers and researchers.

Design of Experiments?

Design Of Experiments



"The 53d Wing (53 WG) of Air Combat Command (ACC) at Eglin Air Force Base (AFB), Florida, has used experimental design on over 25 operations in the past 14 years."

Design of Experiments Applied to Flight Testing Leslie L. Bordelon U. S. Air Force Senior Executive Service Retired RTO-EN-SCI-176

"As I review Test and Evaluation Master Plans (TEMPs) and Test Plans, I am looking for specific information. In general, I am looking for substance vice a 'cookbook' or template approach -each program is unique and will require thoughtful tradeoffs in how this guidance is applied. A "designed" experiment is a test or test program, planned specifically to determine the effect of a factor or several factors (also called independent variables) on one or more measured responses (also called dependent variables)."

Guidance on the use of Design of Experiments (DOE) in Operational Test and Evaluation J. Michael Gilmore Director OT&E 10-19-2010



Conclusion



- Some experts contend that if you are developing a new product or process, it is not the right time for DOE.
- Best suited for where interactions and effects among a handful of strictly controlled variables are of interest. In experiments with higher complexity, the number of variables must be reduced, which can result in omission of many of the possible combinations of interactions.

ottom line: DT&E needs to investigate further, prior to issuing OSD TES and TEMP guidance on implementing DOE across the Acquisition Lifecycle

UNCLASSIFIED

12

Use of Design of Experiments to Determine the Critical Technical Parameters and Evaluation Framework in the T&E Strategy Darleen Mosser-Kerner, Mickey Quintrall 26th Annual National T&E Conference March 2nd 2010

Verification Is this Really Test?

Verification Requirements



- Why are they Needed?
 - Verification requirements specify the verification events needed to prove the satisfaction of the product requirements and help to define the verification process and environment
 - Verification requirements are necessary for at least two reasons:
 - Existence of verification requirements demonstrates verifiability of product requirements
 - Agreed-to verification requirements define the verification program by which the contractor shows that the product is what the customer contracted for.

- How Do you Write One?
- Answer the Following Questions:
 - 1. Objective What is the purpose of this verification?
 - 2. Method What method do you need performed? What are the verification circumstances (e.g., laboratory, desk-top analysis, flight test)?
 - 3. Environment What are the environmental conditions under which the item will be verified?
 - 4. Special Conditions (if necessary) Are there any unique conditions
 (e.g., item configurations) necessary
 for the execution of the verification?
 - 5. Success Criteria What results are to expected?



Verification Requirement Example



Requirement

 The product shall provide a communications system (defined in Figure 1) capable of communicating with the recovery forces pre- and post- landing with both audio and digital communications.

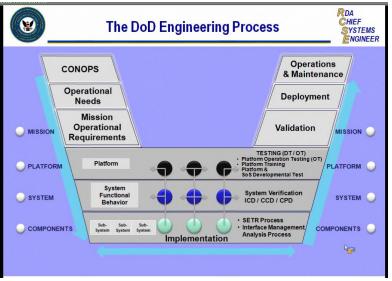
Verification Statement (1 of 3)

Prove that the product's communications system is capable of communicating with the ground command team by performing an laboratory within an integrated hardware/software environment. Testing will be conducted with the system operating under induced interference patterns as defined in Figure 7. Testing will show that the product can transmit and receive to standard ground recovery forces audio at frequencies represented by communications devices operating in the VHF/AM and S Band Frequency Bands. Voice communications will be measured using the Perceptual evaluation of speech quality (PESQ) P.862 defined method. Digital Communications will be measured by ensuring proper communications can be established by the receiving unit.

Verification



- The verification program is:
 - Proof that the sponsor gets what they asked for
 - The collection of the data set which aids in the compliance assessment of a design requirement
 - The collection of data which aids in the assessment that A program has fulfilled its commitments
 - The main purposes of the test program



NDIA Systems Engineering Strategic Planning System Engineering Challenges in Naval System of Systems Ms. Helene Anderson Office of ASN RDA CHSENG 8 December 2010 Miami, FL

Safe Testing Techniques

Safe Testing Considerations



- Apply appropriate test methodology
 - Pyramid, bottoms up, agile, regression
- Establish prerequisites to safe testing (people and product)
 - Hazardous material handling, personnel considerations, test point / envelope expansion, etc.
- Understand and comply with policies which effect test program plan
 - Ex; test range requirements ,FAA policies, space qualification standards
- Understand constraints
 - Test limits, data limits, environmental conditions
- Establish test rules and entry / exit criteria
 - Know when you have completed the test, know when you have good data



- Establish controls
 - Security, flight line policies, configuration management, equipment handling, software

Test Technique Examples



- Conduct a low speed taxi test
 - Evaluate Steering
 - Ensure aircraft travels down the runway (+/- 5 feet of center) at speeds up to and including 50 Knots.
 - Evaluate Communications
 - Ensure aircraft communications with ATC and Ground Station. Ensure no communication drop outs

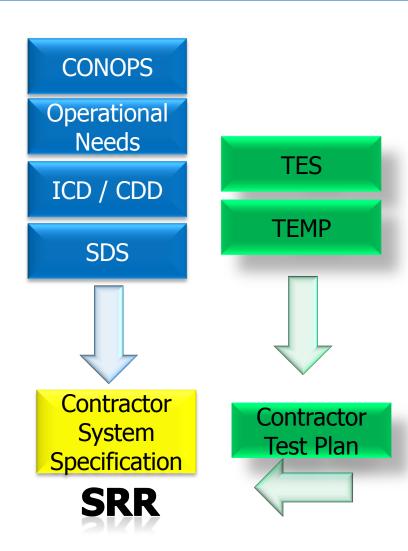


A Controversy Do we or don't we?

Testing Techniques Drives Product Requirements



- The Test Plan can and often does drive product requirements
 - Flight termination system
 - Instrumentation
 - Weight
 - Power
 - Space / volume
 - Communications protocol
 - Frequency allocations
 - Others?
- T&E does generate requirements
 - Identify requirements early to avoid design impacts
 - Don't be late to need

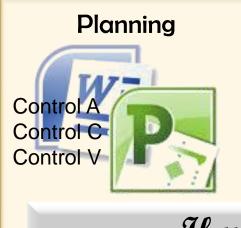


Test Tools

Test Planning Tools



What is the T&E Test Planning Tool Kit?



Test Design



Metrics



Assumptions



If you keep doing what you're doing You'll keep getting what you're getting!

Complete Model Based
Test Planning – Re-plan
Test Planning Streamlining
Costing / Scheduling
Auto Test Plan
Documentation

Event Planning
Test Design
Test
Plan Validation

Test Program
Work Flow / Metrics

Test Planning
Assumption
Corroboration
Apply and maintain
Lessons Learned

Test Planning –vs. – Test Techniques





FLYING TEST BEDS





LABORATORIES







TEST RANGES

Why These Techniques? – Some Examples







LABORATORIES

Off Nominal
Initial Integration
Interface Development
Problem Resolution
Functional Checkout



TEST RANGES

Installed Performance – Static External Interface – Operational Fit Checks Low Speed Dynamics Initial System Control External Communications





Dynamic Integration
Dynamic Functional Design Development
High Risk Safety Activities
TRL development in Operational
Environment
Targeted Off-Nominal Tests



Operational Environment Operational Performance

Test Resources

Test Tools - Resources



Name your Resources – How Many - How Long



Engineers



Technicians



Chambers



Test Range



Test Stations



Test Article



Instrumentation

Test Tools - Resources



Resources

- Come in all varieties (facilities, equipment, people, tools)
- Effect test execution
- Have changing availability
- Are required for test execution
- Drive schedule
- Drive cost

Test Activities are Resource Dependant

- There can be many resources required to execute a test
 - Think a SoS test activity
- Resources can get lost in the change process

Putting it All Together





Keeping it All Straight

The Test Planning Variables



- Collaborative
- Integrated
- Traceable
- Schedule
- **Tools**
- Resources
- Risk
- **Techniques**
- Adaptable
- Dependencies





- **Facilities**
- Verification
- Lifecycle Activities
- MoEs, KPPs
- Realistic Environments
- Operationally Relevant
- Deliverable
- Managed





HelpIIIIII

Test Planning Tools – Minimum Requirements



Need

- Collaborative
- Handle traceability
- Can model the test plan
- Support test optimization
- Connected to requirements and architecture
- Supports the verification and test planning criteria
- Can produce test planning artifacts
- Can provide configuration management
- Flexible to adapt to program needs
- Can show the "big picture"
- Can be used by all program personnel all skill mixes

Let's Plan



- Review OV-1
- Review Requirements
 - Provide requirements assessment for requirements 2.5, 2.6, 2.7
 - Add verification requirement
 - Develop verification requirement for requirement 2.3.2
- Add traceability for requirement 2.3.2
- Develop test activities
 - Add flight test phase, (procedure development, test execution, report)
 - Connect appropriate verification requirements to test activities (2.3.1.C, procedure, execution, and report)

- Review Hierarchy
- Add Resources
- Connect flight test resources
- Optimize
 - Resources
 - Duration
- Produce Artifact
- Share Data







Table references are assumed to be developed correctly.

What We Covered



- Test Plan Values
- Test Plan Usages
- Test Plan Needs
- Test Plan Styles
- Design of experiments
- Test Plan Input Sources
- Verification
- Resources

- How to develop a test plan model
- How to optimize the plan
- How to produce an artifact
- How to advance the test planning science

Conclusions



- The DNA of T&E must Change
 - Need a complete lifecycle experience
- Test planning must be recognized as the requirements set for the test program
 - Document is not just a deliverable
 - Plan does not become extinct
- Test verification and planning techniques
 - Links the systems engineering team with the test team
 - Enables collaboration
 - Fosters communication
 - Supports development of early lifecycle products
- Test tools kit must be evolved
 - Model based test plans (know you have the right plan)
 - Physics based test event validation (know your plan is right)
 - Tools must be program sizable (big to little)
 - Tools must be connected to the requirements process
 - Tools must be collaborative

Contact Information



• <u>Stephen.Scukanec@NGC.com</u> 310-350-3156

