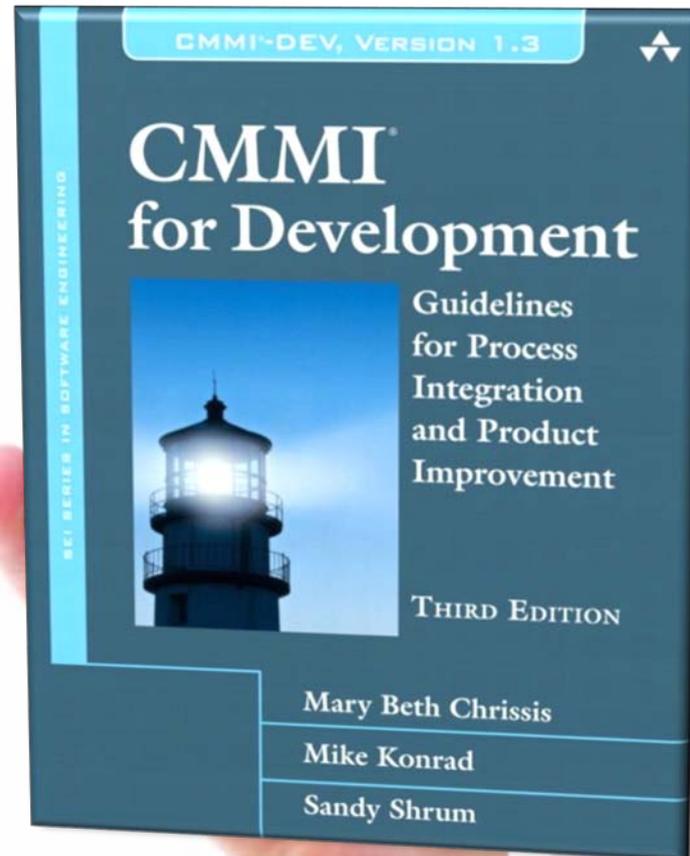


# Why Project Managers (Understandably) Hate the CMMI\*

**Bill Smith CEO**

Leading Edge Process Consultants LLC  
[www.CmmiTraining.com](http://www.CmmiTraining.com)



\*and What to Do About It

This presentation is being delivered at the **11<sup>th</sup> Annual NDIA CMMI Technology Conference and User Group** in Denver, Colorado, USA, on November 16, 2011. All slides contained herein are **Copyright 2011 by Leading Edge Process Consultants LLC**. Basically, you're not allowed to copy, modify, or otherwise use any of them without our written permission. Please respect the fact that I left our logo and copyright information off the individual slides to make them look a bit cleaner. (Cool, huh?) Plus, the Software Engineering Institute (SEI) would like you to know that **SCAMPI, SCAMPI Lead Appraiser, and IDEAL** are all service marks of Carnegie Mellon University. Wait, you're still reading this? Good for you! There's more. **CMMI** is registered in the US Patent and Trademark Office by Carnegie Mellon University. Sorry, but **my lawyer made me add all this stuff**, and he only speaks legalese. I promise the rest of your time with me will be a bit more interesting. Thank you for your patience. Bill.

**Let's roll!**

# Ground rules



2:15 -  
3:00



at the  
end \*



set to  
**stun**

\* better yet: **Garrity's** at 5:30

and yes  
I have...



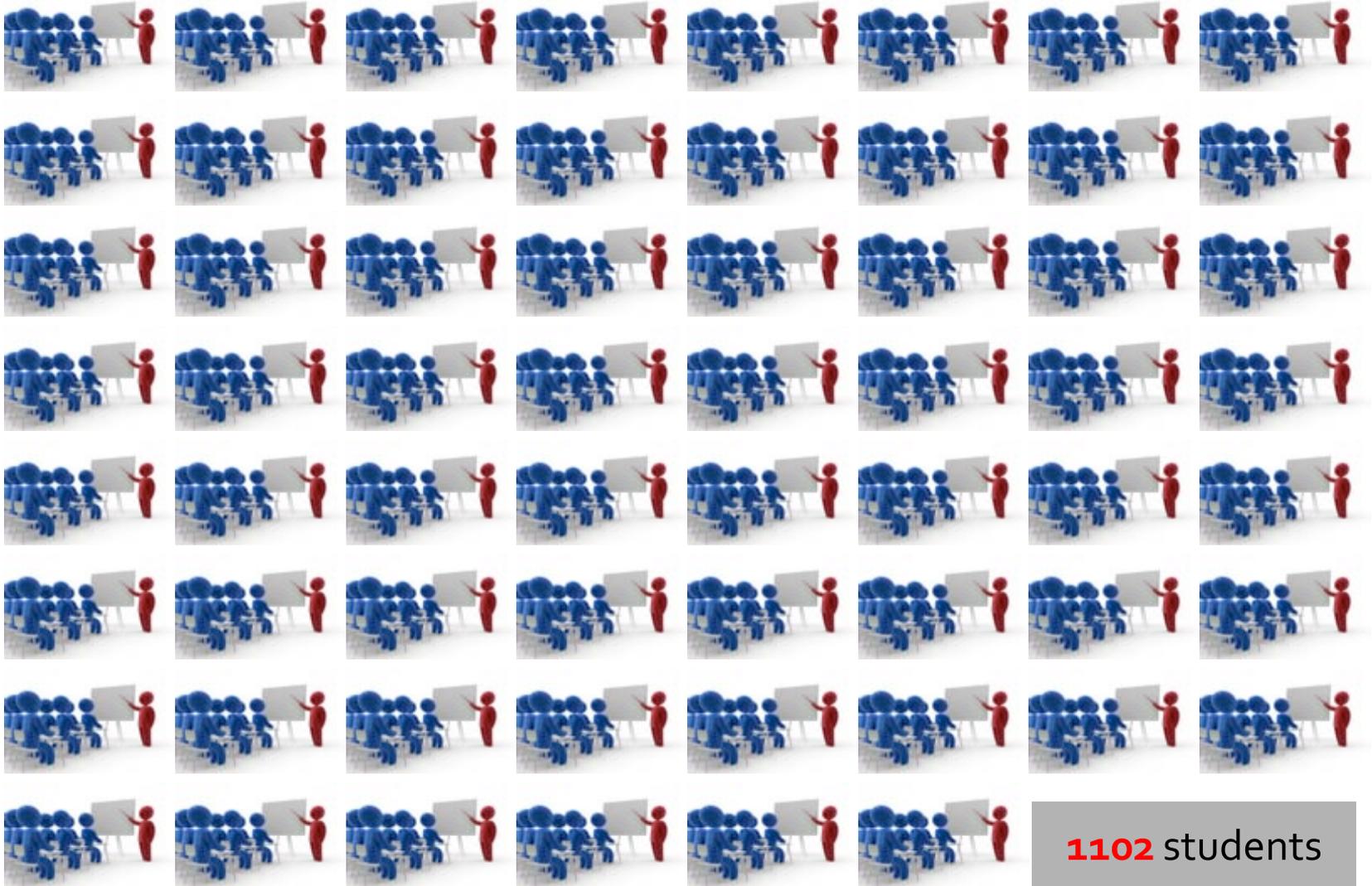
ing.com

**So who am I?**



I'm familiar with  
the **CMMI®**...

# 62 Intro to CMMI® classes taught



1102 students

but more  
**importantly** I've...

# Been there, done that



process analyst



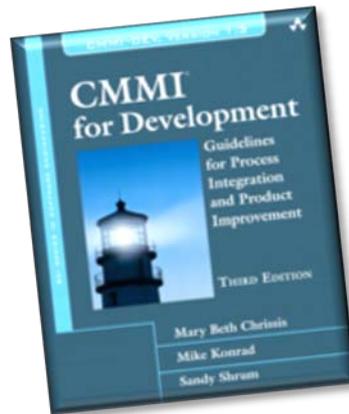
project manager

Today's **story**  
is all about...

# Changing this...



+



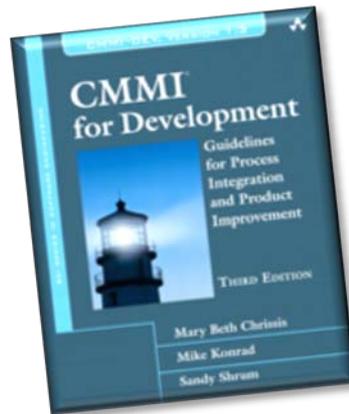
=



...into this



+



=



**Meet Pam**



Pam leads a  
small **team** of...



**She works near...**



**and kicks butt at...**



but is clueless  
about...

CMMI-DEV, VERSION 1.3



# CMMI<sup>®</sup> for Development

SEI SERIES IN SOFTWARE ENGINEERING



Guidelines  
for Process  
Integration  
and Product  
Improvement

THIRD EDITION

Mary Beth Chrissis

Mike Konrad

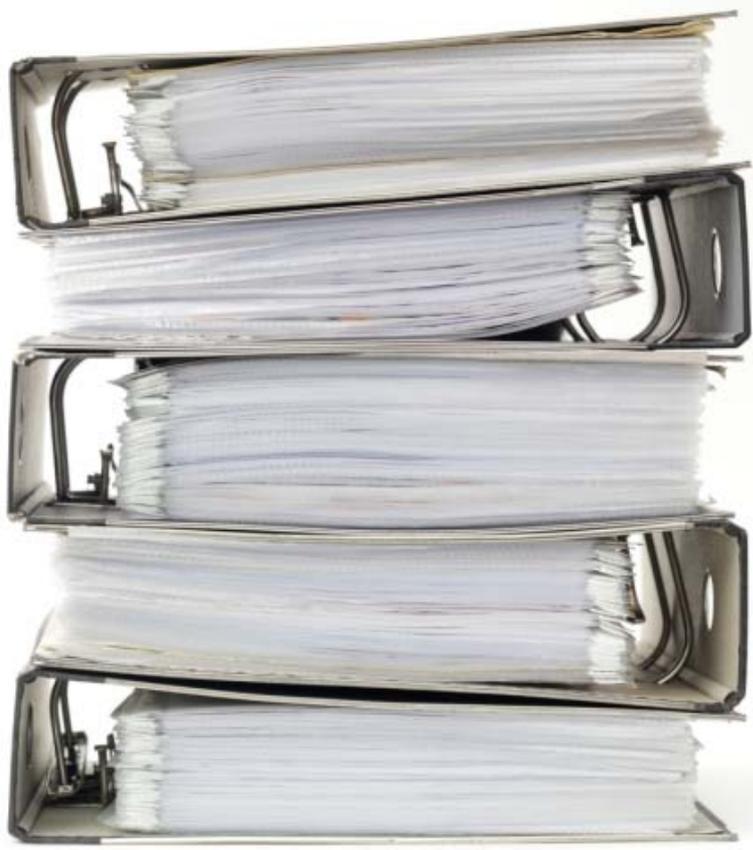
Sandy Shrum

Sandy Shrum

MIKE KONRAD

MARY BETH CHRISSIS

except she's  
heard it **creates...**



# Pam's team has started coding

<input checked="" type="checkbox"/>	Requirements
<input checked="" type="checkbox"/>	Design
<input type="checkbox"/>	Coding
<input type="checkbox"/>	Testing
<input type="checkbox"/>	Installation

# Her project is rockin'!



on  
schedule!

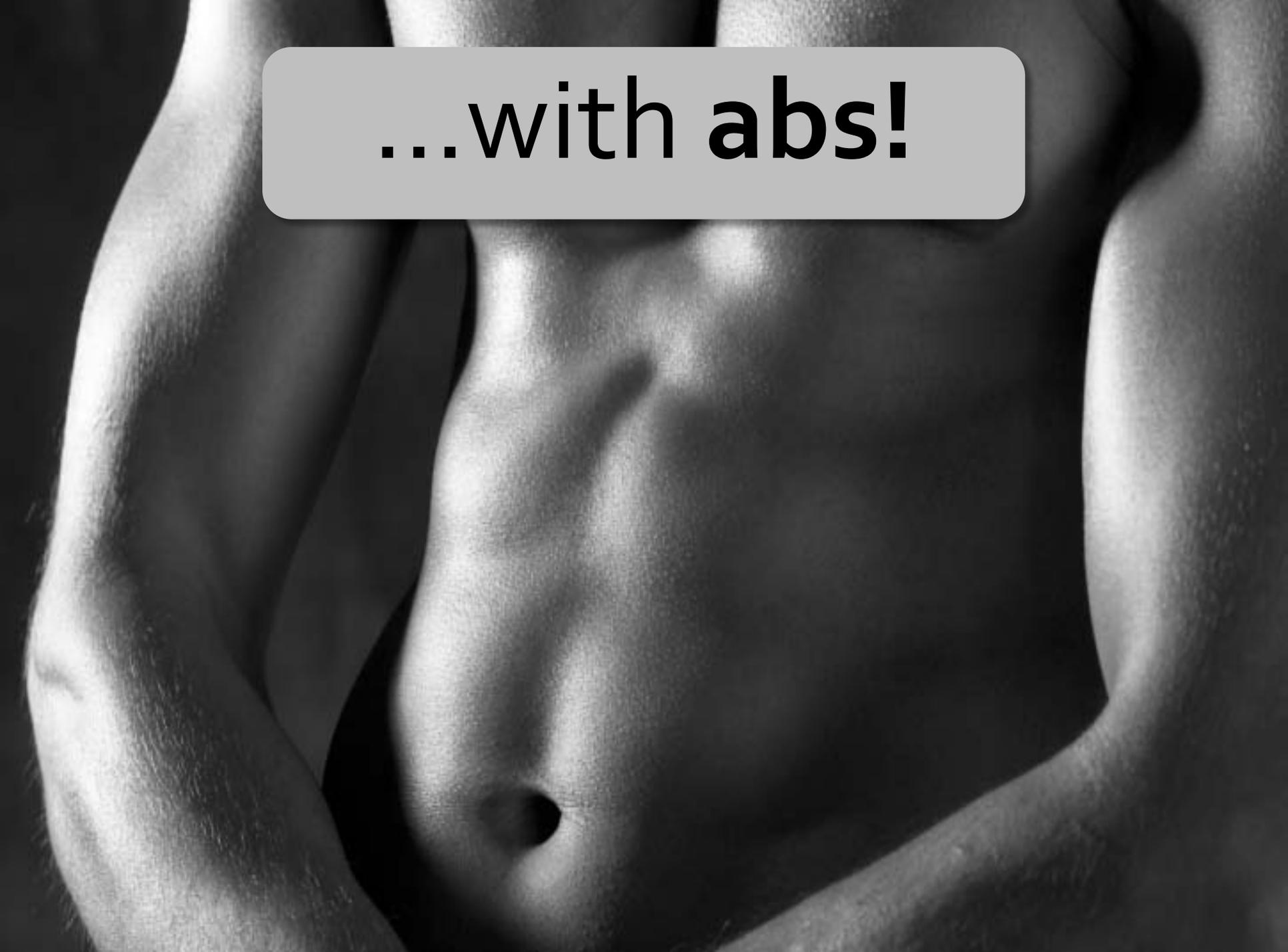


on  
budget!



happy  
customer!

She even has a  
new boyfriend...



**...with abs!**

A woman in a white tank top and purple pants is captured mid-jump on a sandy beach. She is barefoot and has a joyful expression. A long, flowing yellow fabric is suspended in the air behind her, as if caught in a breeze. The background features a clear blue sky and the ocean with gentle waves. The overall mood is one of happiness and freedom.

**Life is good  
for Pam!**

One day Pam  
gets an **e-mail...**

Uh-oh

# Use our new *CMMI processes*\*

Blah blah blah **all projects must use our new CMMI processes** blah blah blah. Blah blah blah immediately blah blah blah. Blah blah blah under penalty of death blah blah blah.

Blah blah blah congratulate blah blah blah process group blah blah blah sweated blood blah blah blah.

Signed,

Blah

Mr. Blah Blah  
VP of Blah Blah



\*Of course we know there's no such thing

# Deployment

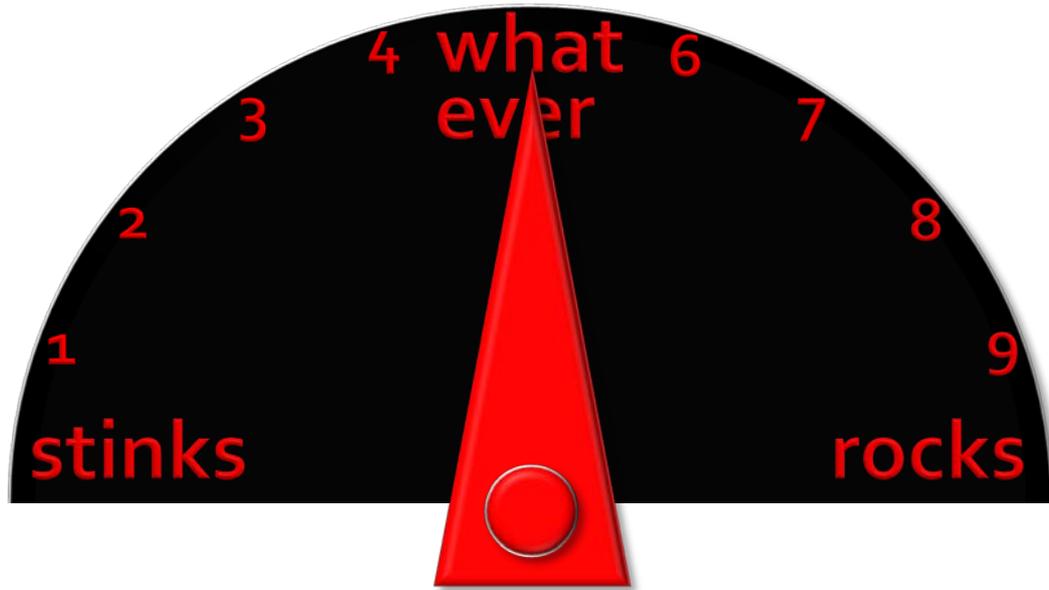
=

Do this **(now)**  
because  
we said so!

I'll look at that  
stuff **when I  
have time.**

**Whatever.**





Pam's  
**CMMI®**  
**Rock-O-Meter**

**5**

Then one day  
Pam has a...

...brainstorm!



# Maybe

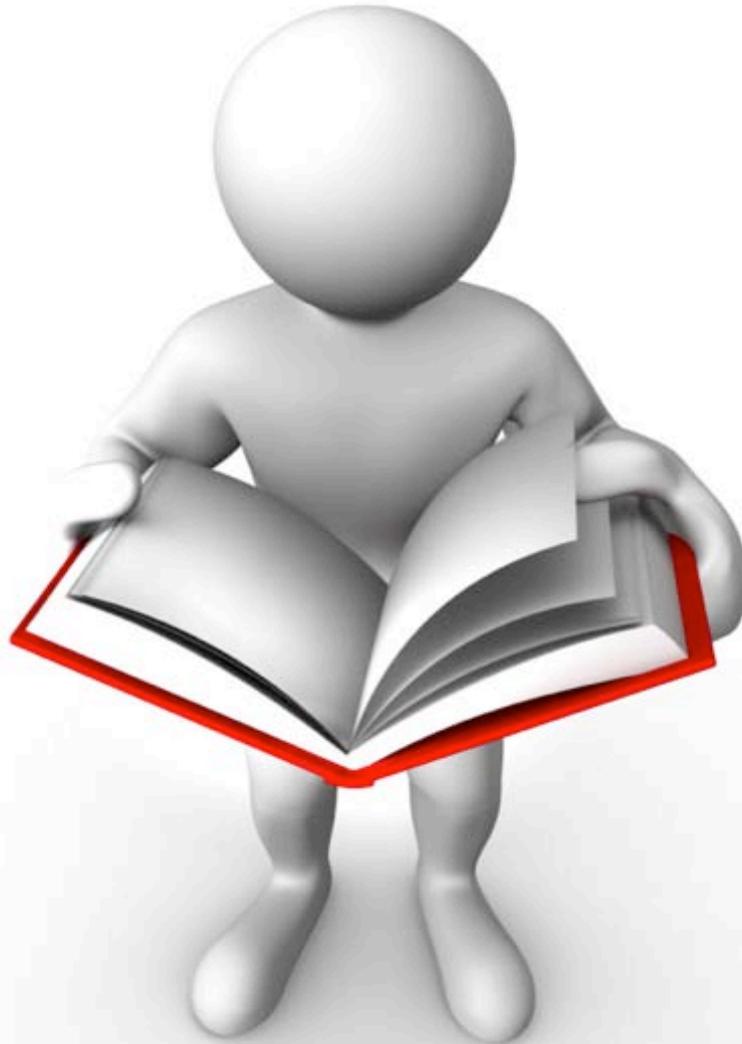
(just maybe)

this “CMMI<sup>®</sup> stuff”  
can be **useful!**

**Pam wants a...**



# She consults the PAL\*



\*process asset library

...and finds templates for systems like  
**this**



...but her system is more like **this**

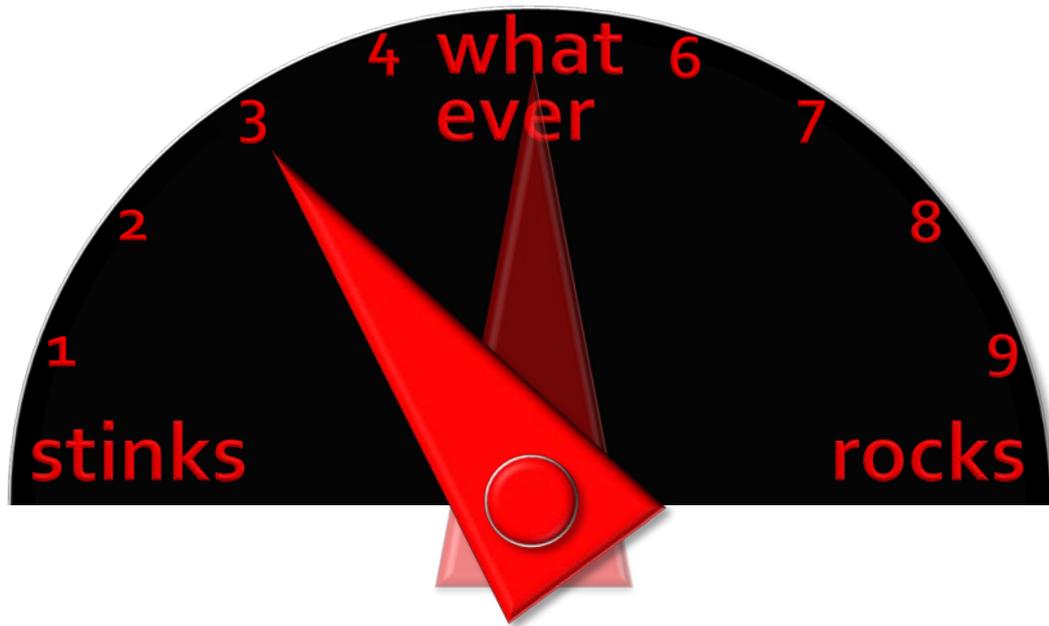
She's  
forced  
to  
develop  
a plan



**on  
her  
own**

Crap! I thought  
the CMMI® was  
supposed to  
**help** with stuff  
like this!





Pam's  
**CMMI®**  
**Rock-O-Meter**

**3**

QA pays  
a visit...



# Pam's project is audited

Y N

Blah blah blah **data management plan.**

Blah blah blah **stakeholder involvement plan.**

Blah blah blah  
blah blah blah.





# She talks with QA



But the project is **half over!**

You must **follow the process.**

I have **no time** for this!

You must **follow the process.**

It **doesn't help** my project!

You must **follow the process.**

The  
**rules were changed**  
on Pam  
**mid-stream!**

She works  
day...

...and night



# Addressing “non-compliances”

instead of

-

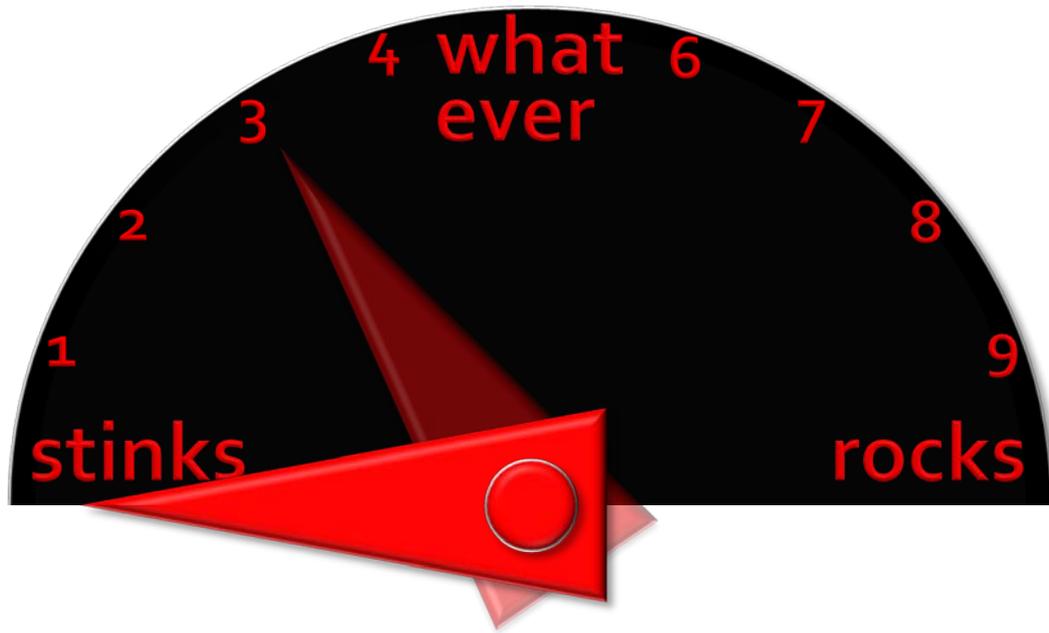
But most “fixes” have no business value



So the CMMI®  
really is just about  
**paperwork...**

...and now  
I'm **behind  
schedule!**

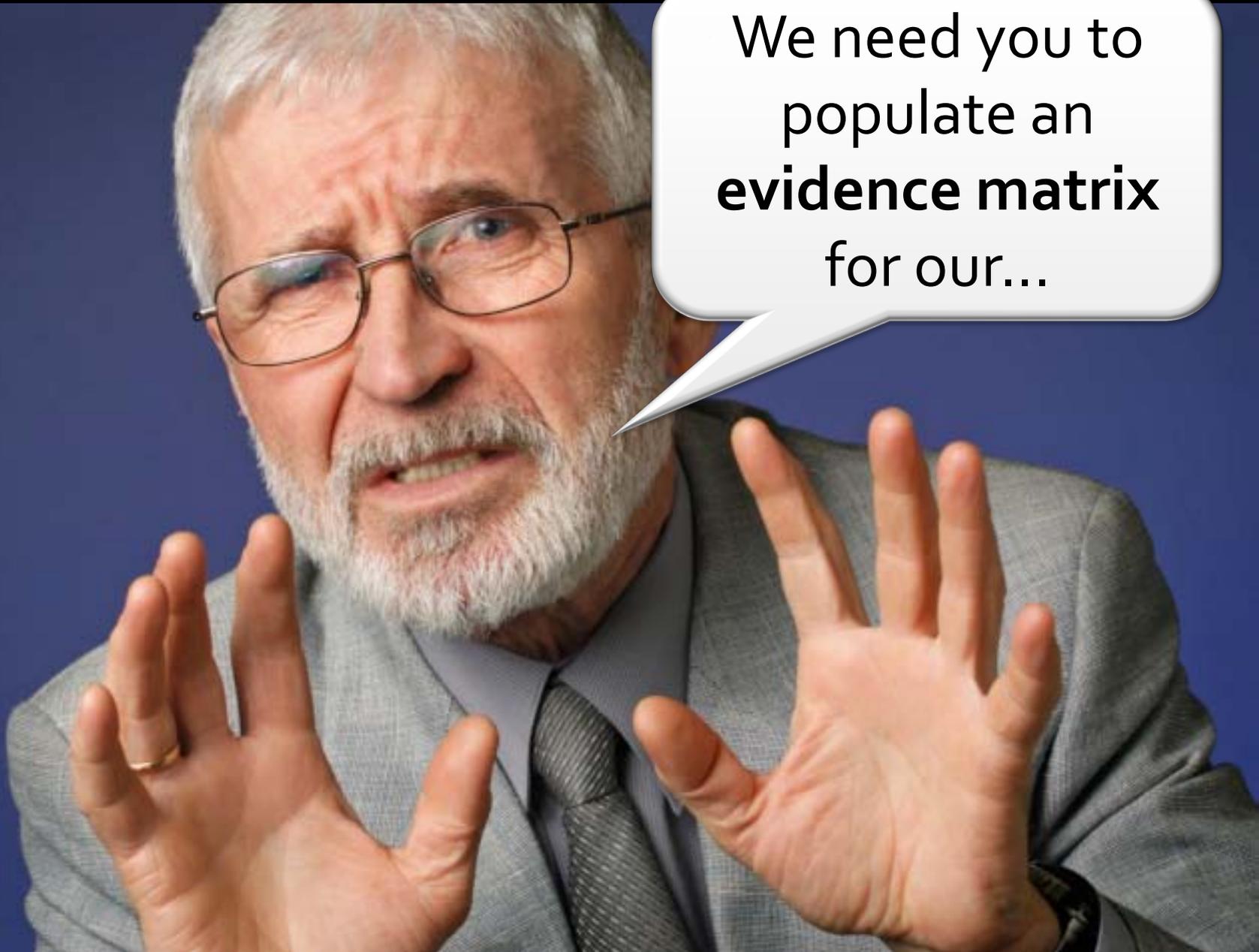




Pam's  
**CMMI®**  
**Rock-O-Meter**

**0**

Pam discovers  
**appraisals...**



We need you to  
populate an  
**evidence matrix**  
for our...

# SCAMPI\*



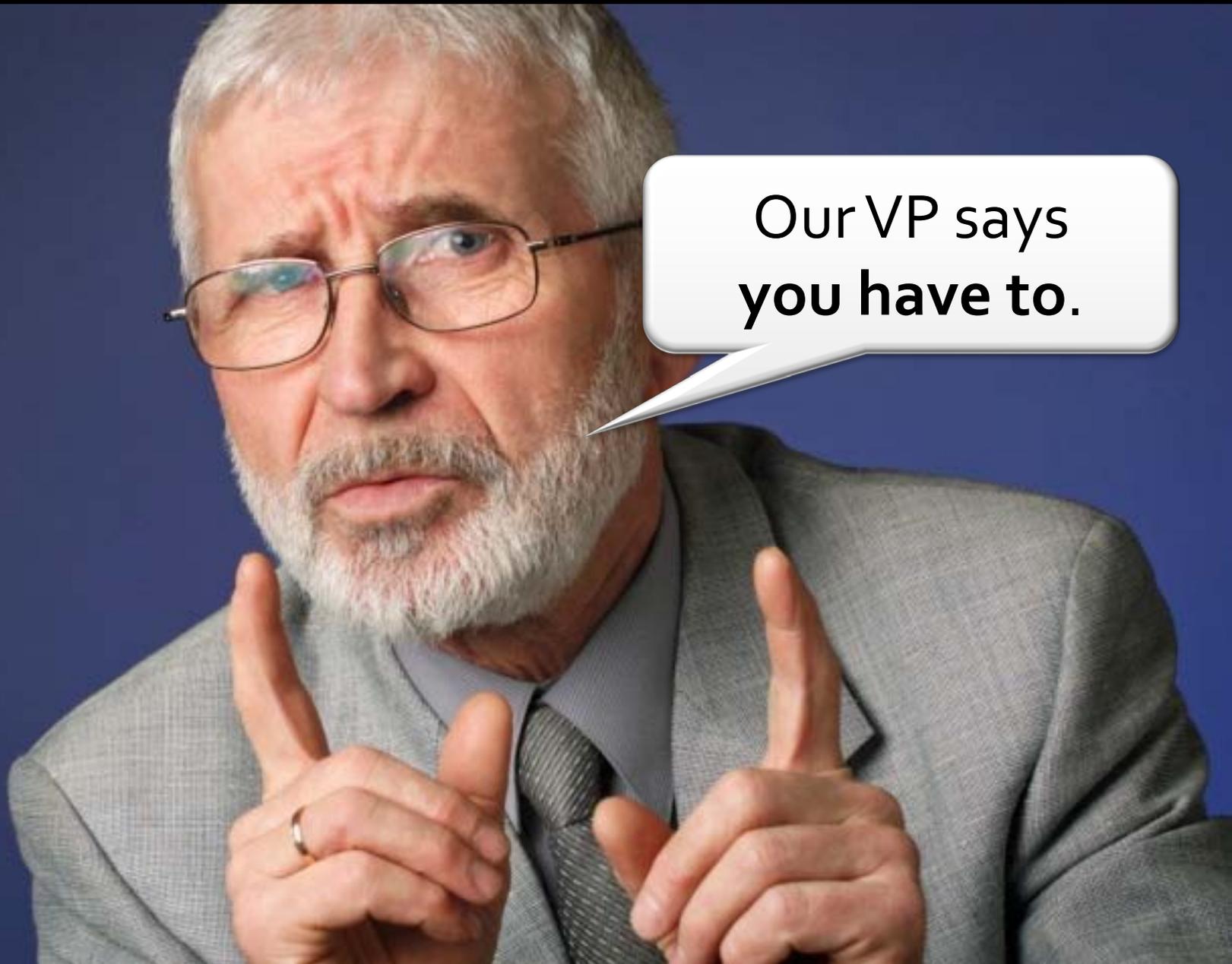
\*Standard CMMI® Appraisal Method for Process Improvement





Hey, we have a **template!** It even lists example **artifacts!**





Our VP says  
**you have to.**





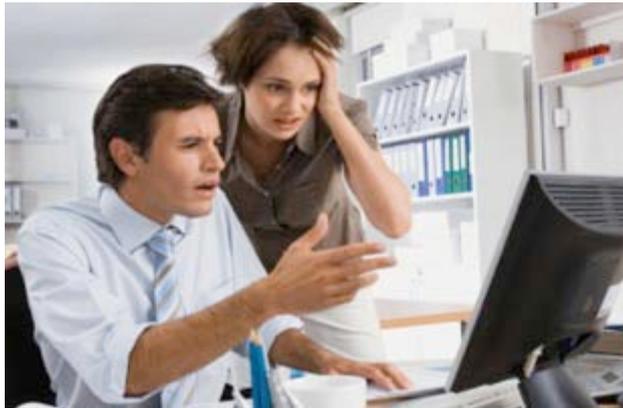
The project's new  
part-time job,

**“populate  
evidence  
matrix” ...**

...takes forever

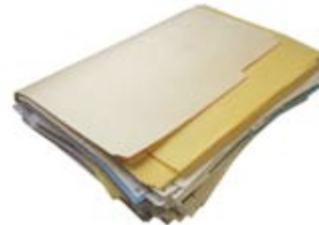


# Evidence matrix review loop



**Pam's team**

good enough?



try again!



**EPG\***

\*engineering process group

**The project is now so**  
**distracted**  
**by CMMI®**  
**“compliance”**  
**that...**



...it's hopelessly behind schedule

...the team is **burned out**





...the customer's **not smiling** anymore

A top-down view of a white toilet bowl. A small, rectangular white tag with the word "LOVE" printed in bold, black, sans-serif capital letters is floating in the water. The toilet is set on a light-colored, patterned tile floor.

LOVE

...and Pam's boyfriend  
 **dumps** her!

Meet the  
**new Pam...**





Pam's  
**CMMI®**  
**Rock-O-**  
**Meter**

Why did Pam become a...



?

# Why do project managers...



**often...**



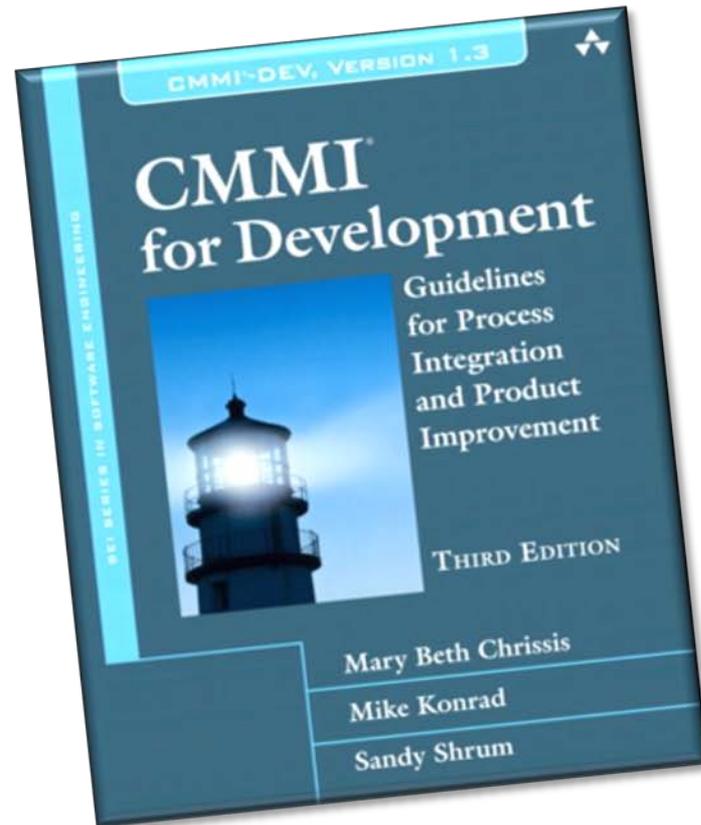


**hate...**



...the CMMI®?

# Not because of...



# But because of problems with...

## 1 Process development





# But because of problems with...



# But because of problems with...

- 1 Process development
- 2 Process deployment
- 3 Appraisals

We could go **on and on**  
**and on**  
**and on**  
**and on**

about each of these...

Instead  
let's focus  
on a few  
process  
improvement  
**best  
practices...**

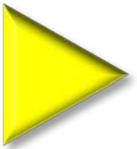


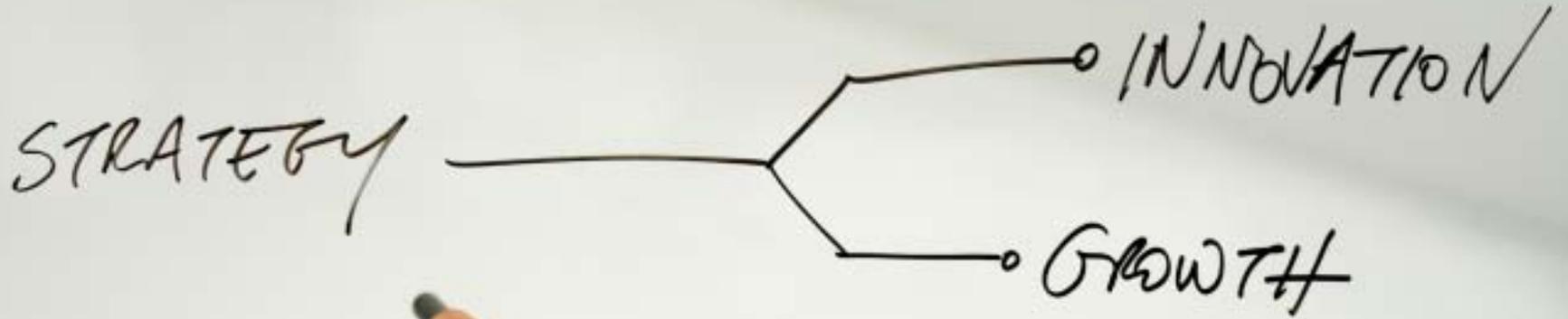
...that a  
**project  
manager**  
might  
value



# Process development

Ensure that your  
process developers  
**appreciate**  
the model





TARGET  
MARKET  
SHARE



GROWTH %

this is appreciating the model

**this is appreciating the model**



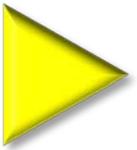


**this** is appreciating the model

this is not



Involvement people  
that do  
**“real” work**



like her...



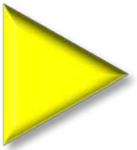
and him...





...but maybe not him

Use  
**project planning**  
to plan your  
PI\* project



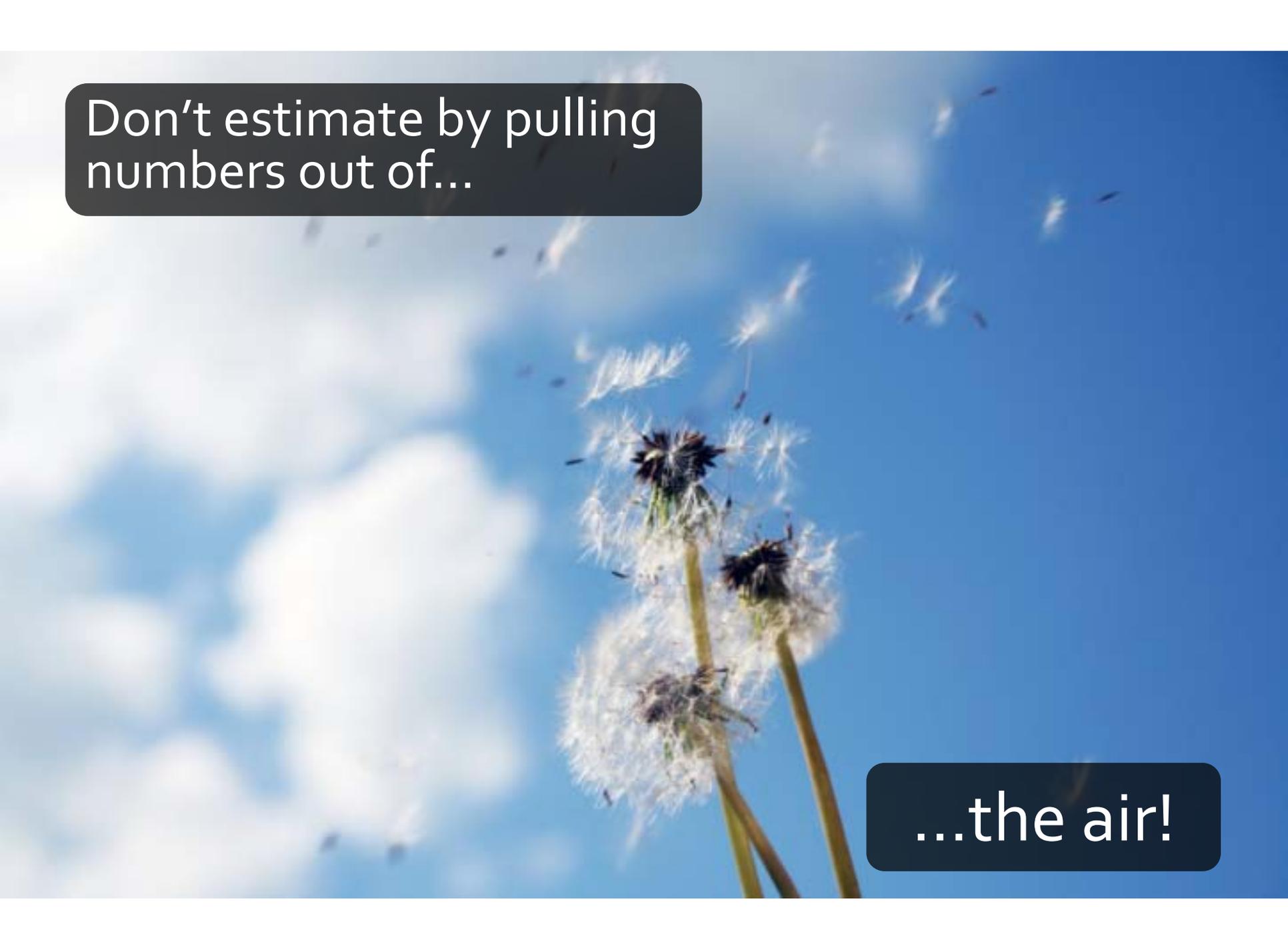
\*process improvement

Open the book

### Specific Goal and Practice Summary

- SG 1 Establish Estimates
  - SP 1.1 Estimate the Scope of the Project
  - SP 1.2 Establish Estimates of Work Product and Task Attributes
  - SP 1.3 Define Project Lifecycle Phases
  - SP 1.4 Estimate Effort and Cost
- SG 2 Develop a Project Plan
  - SP 2.1 Establish the Budget and Schedule
  - SP 2.2 Identify Project Risks
  - SP 2.3 Plan Data Management
  - SP 2.4 Plan the Project's Resources
  - SP 2.5 Plan Needed Knowledge and Skills
  - SP 2.6 Plan Stakeholder Involvement
  - SP 2.7 Establish the Project Plan
- SG 3 Obtain Commitment to the Plan
  - SP 3.1 Review Plans That Affect the Project
  - SP 3.2 Reconcile Work and Resource Levels
  - SP 3.3 Obtain Plan Commitment

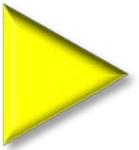
Practices by Goal



Don't estimate by pulling  
numbers out of...

...the air!

**Develop useful  
tailoring  
guidance**



This seems **heavyweight**  
for my little project.

I'll create a plan  
from scratch.\*

**Project  
Plan  
Template**

\*and spend my time **figuring out** how to manage  
my project instead of **actually managing** it!



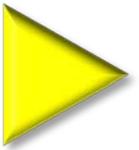
Perfect!





# Process deployment

Don't just  
announce  
the existence  
of a **book**



Unless **this** is the  
reaction you want

I'll look at that  
stuff **when I**  
**have time.**

**Whatever.**





Do This  
Because  
We Said So

...is **not** a deployment plan

# Try these things instead

Communicate

**Train**

Ensure Access

Learn

Schedule

**Monitor**

Guide

**Pilot**

# Top 3 success factors in an improvement initiative

1



senior leadership  
support

2



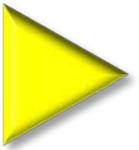
communication

3



training  
(of those impacted by  
the initiative)

**Caring**  
is better than  
**enforcing**



# This is not caring



But the project is **half over!**

**You must follow the process.**



# This is caring



But the project is **half over!**

**Okay, good point.** Let's think about what would make sense here.

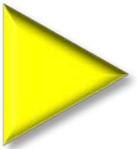




“Complying for compliance’s sake **ravages** the operation and firmly entrenches **self-defeating** cycles of continued **mediocrity.**”

Hillel Glazer,  
*High Performance Operations*

**Don't  
change the rules  
on a project that's  
already been  
planned**



...unless you're willing to toss



cost

O  
R



schedule

O  
R



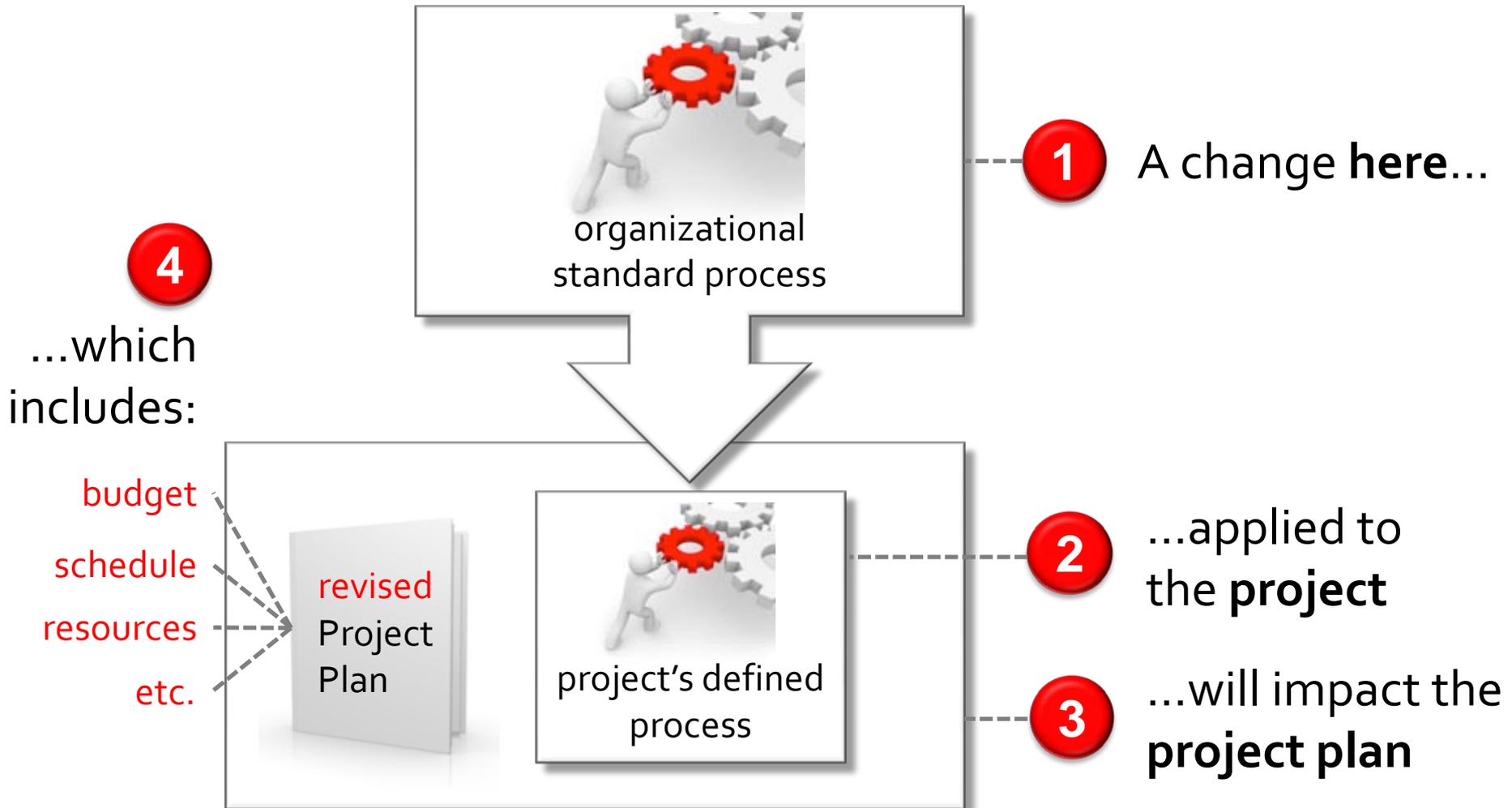
quality

out the...



**why?**

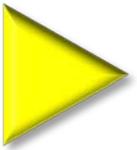
# A process change = a plan change





# Appraisals

Hire an appraiser  
with a  
**business value**  
mindset

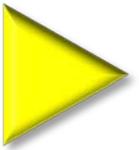


Again...



maybe not him

Don't  
under-estimate  
the cost of  
**evidence collection**



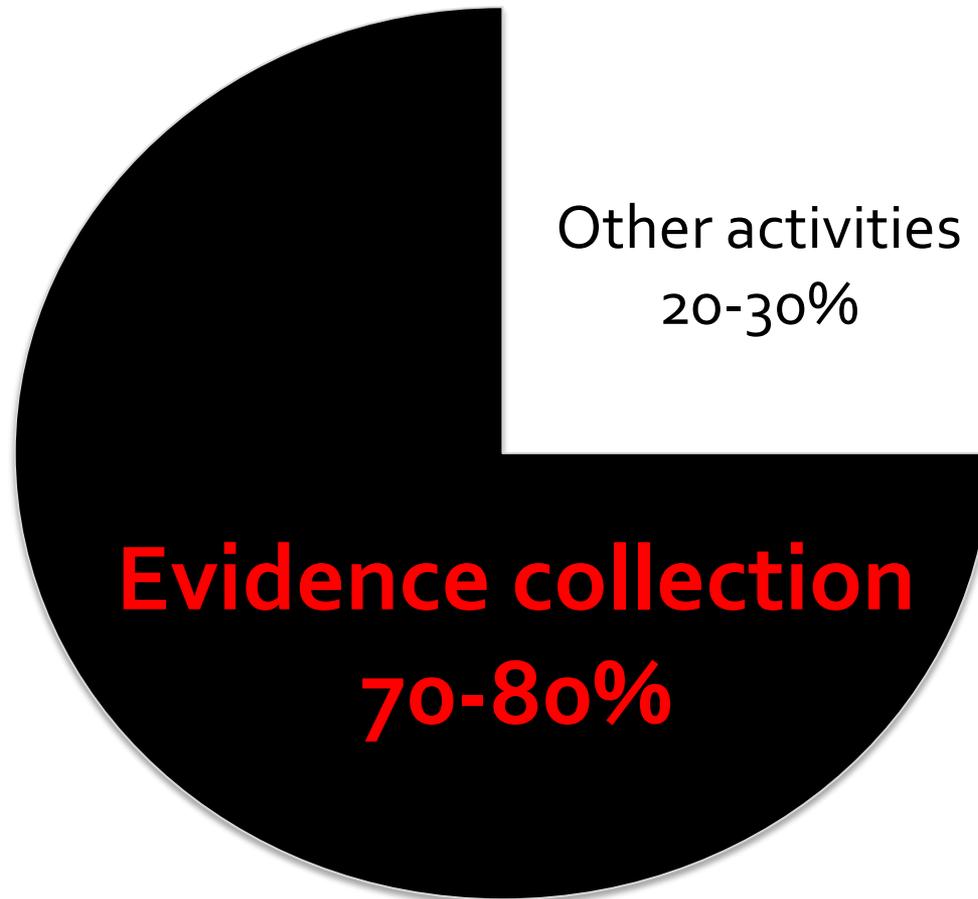
Average **total\*** effort  
**2500-3000**  
**hours**

for **small\*\*** ML 3 appraisals

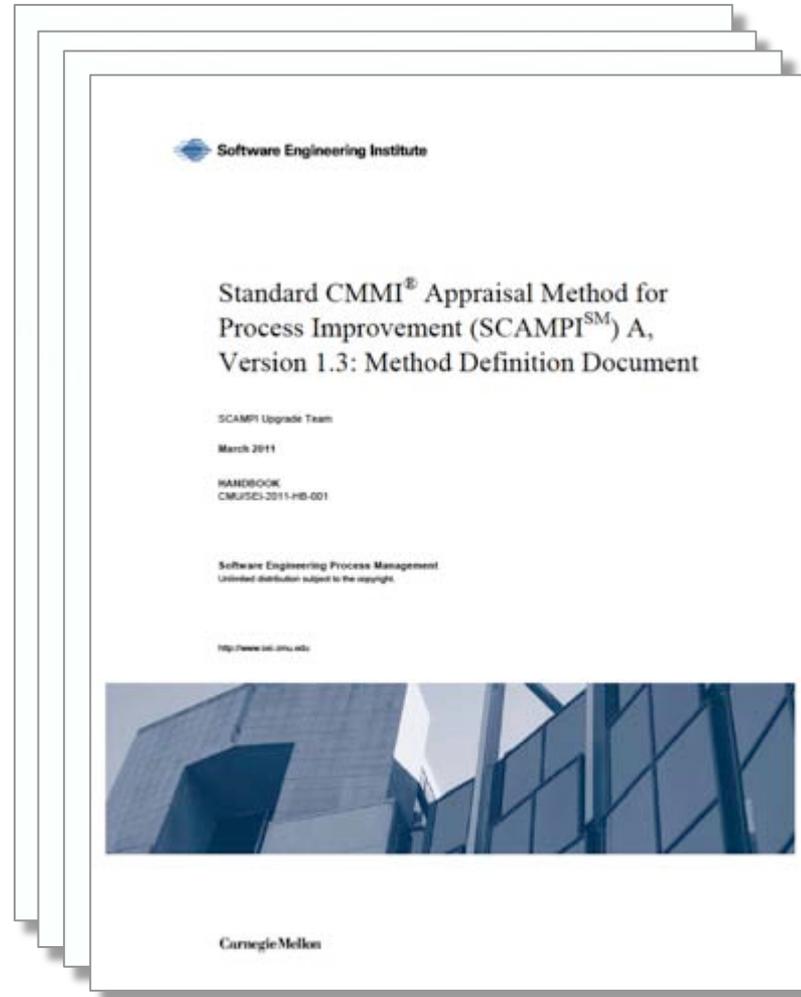
\*all appraisal activities, including but not limited to evidence collection

\*\*2 projects, 6 team members + Lead, first appraisal at ML 3, CMMI SE/SW v1.1

# Total appraisal effort



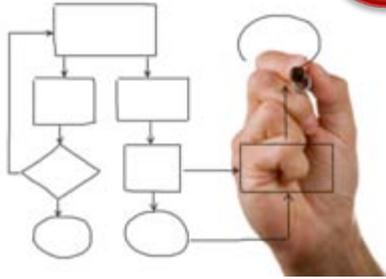
**SCAMPI**  
**v1.3** may  
help with  
this a  
bit...



But you  
still need  
to clearly  
identify...







# 1 Process development

Ensure that your process developers **appreciate** the model

Involve people that do **“real” work**

Use **project planning** to plan your PI project

Develop **useful** tailoring guidance



# 2 Process deployment

Don't just announce the existence of a **book**

**Caring** is better than **enforcing**

Don't **change the rules** on a project that's already been **planned**



# 3 Appraisals

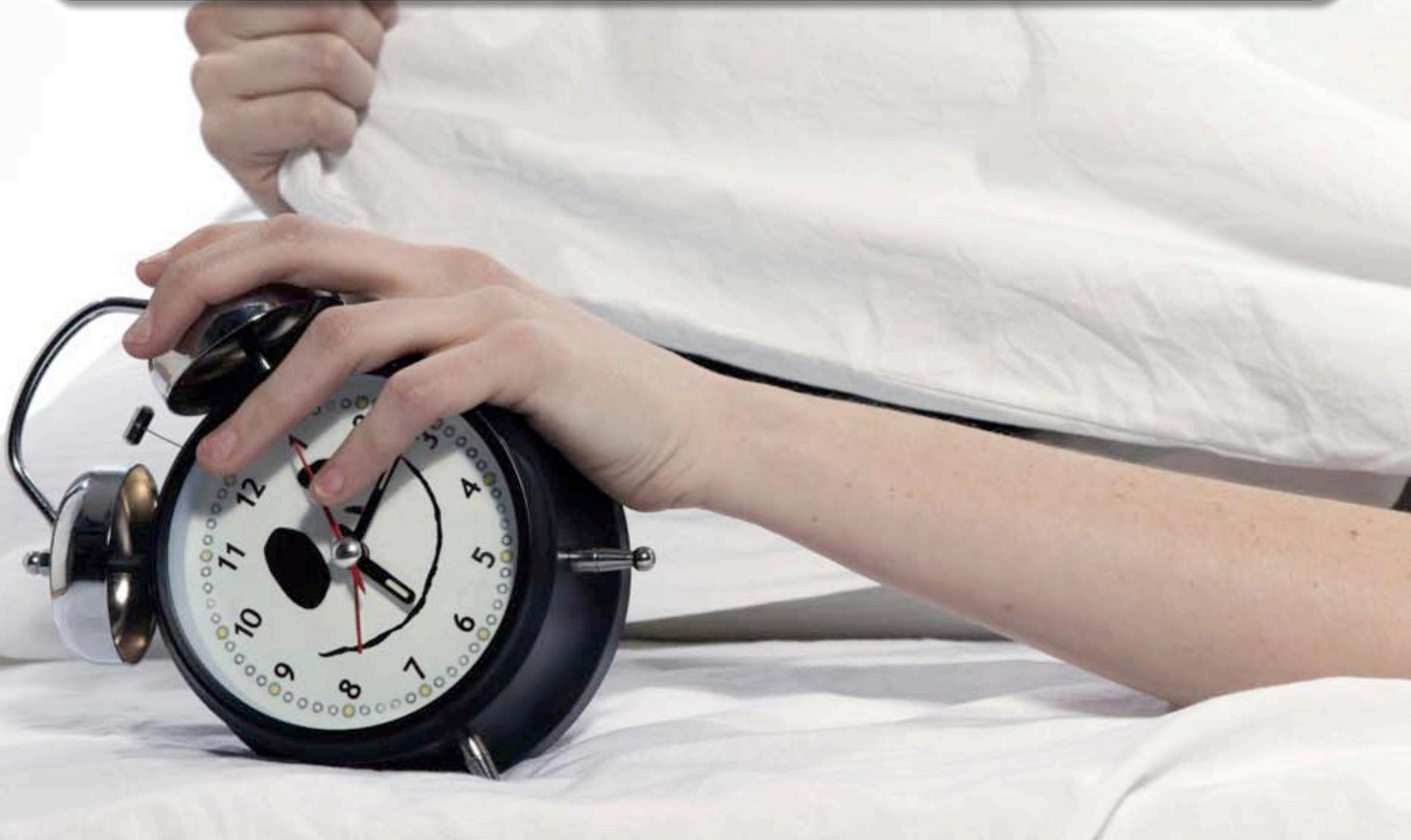
Hire an appraiser with a **business value** mindset

Don't under-estimate the cost of **evidence collection**

**Super.**

**But whatever  
happened to  
Pam?**

**She wakes up one morning and...**



realizes her CMMI®  
experience was all...

**Just a bad dream!!**



DEV. VERSION 1.3

**CMMI<sup>®</sup>**  
**for Development**

Guidelines  
for Process  
Integration  
and Product  
Improvement

THIRD EDITION

Mary Beth Christis  
Mike Konrad  
Sandy Shrum

so she grabs  
some...



and heads  
off to...



where...

CMMI-DEV, VERSION 1.3



# CMMI<sup>®</sup> for Development

SEI SERIES IN SOFTWARE ENGINEERING



Guidelines  
for Process  
Integration  
and Product  
Improvement

THIRD EDITION

Mary Beth Chrissis

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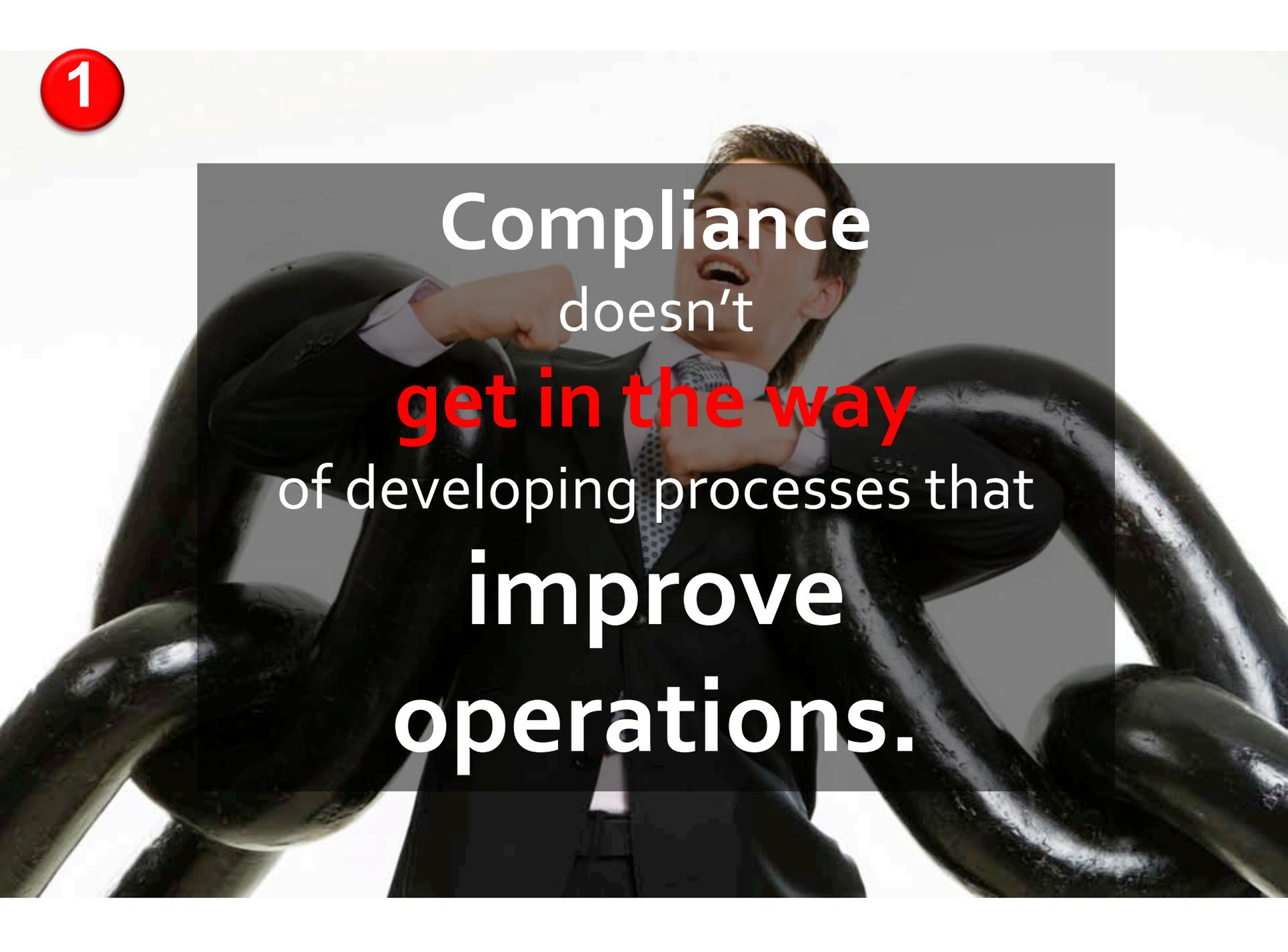
Sandy Shrum

MIKE KONRAD

MARY BETH CHRISSIS

is used, but...

1

A man in a dark suit and tie is shown from the chest up, struggling to break through a large, dark, metallic chain. He is leaning forward, with his hands on the links of the chain, and his mouth is open as if shouting or exerting great effort. The background is a plain, light color.

Compliance  
doesn't  
**get in the way**  
of developing processes that  
**improve**  
**operations.**

2



Processes are  
**deployed**  
in a way that  
**helps,**  
**not hinders,**  
the projects they impact.

3

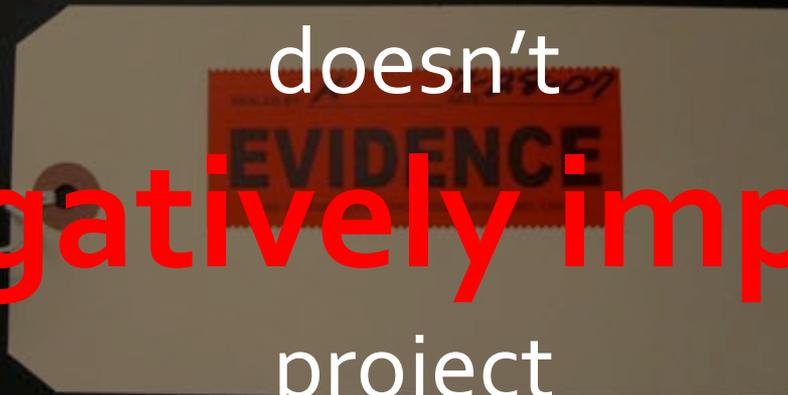
Appraisal  
preparation

doesn't

**negatively impact**

project

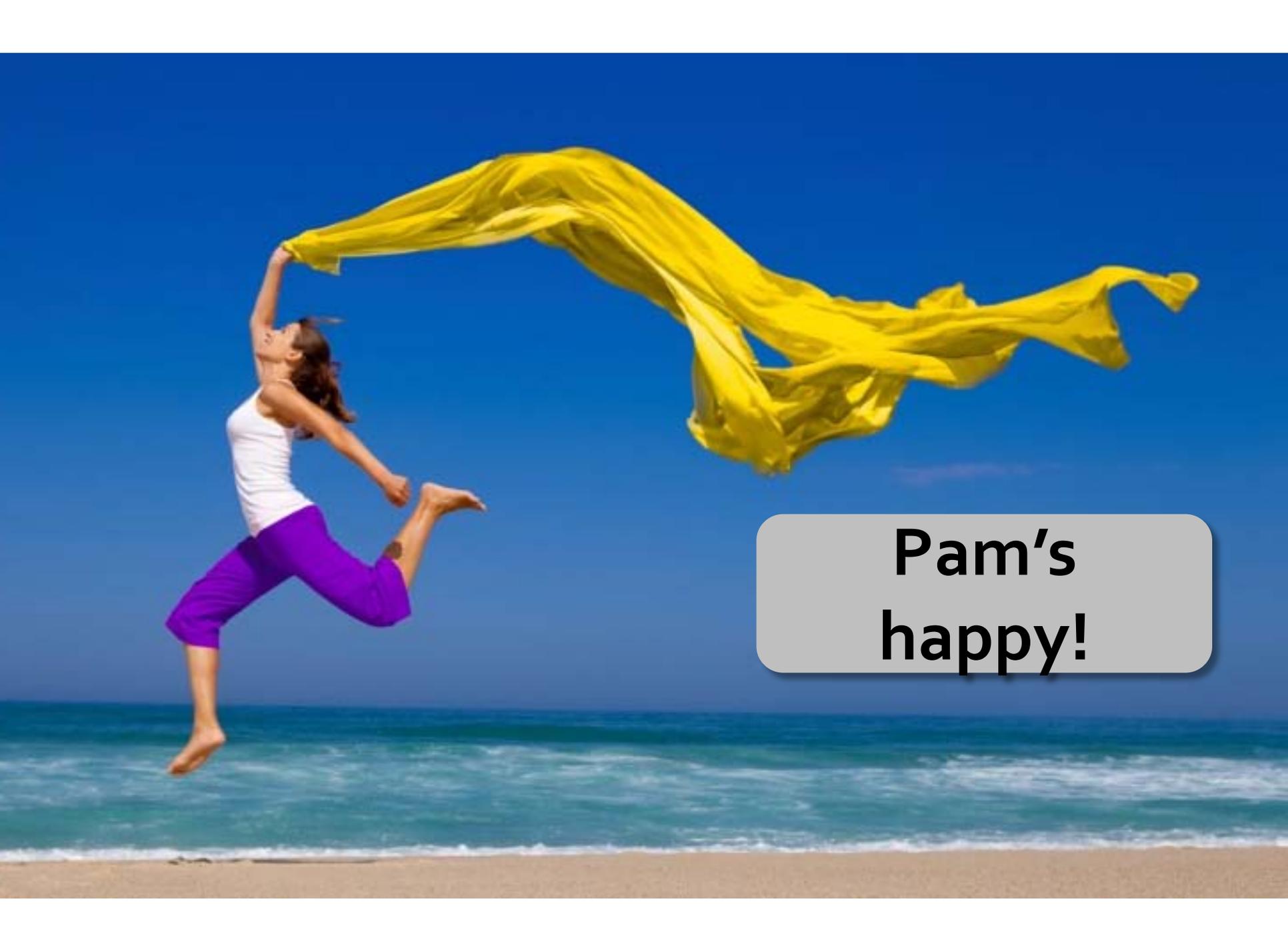
**progress.**



and much to  
Pam's delight...

Her boyfriend still has **abs!**





**Pam's  
happy!**

and all I ask  
of you is to...



Please stop the  
**mindless  
bureaucracy**  
and instead use the CMMI® to  
**legitimately  
improve**  
your operations.

# References

- ***The 2010 CMMI Success Factor Survey.*** Vienna VA: ACME Process Group, November 2010.
- Caldwell, Laura, Sam Fogle, and Gene Jorgensen. ***Value-Based CMMI Appraisal Techniques.*** Version 01.00. Herndon VA: Systems and Software Consortium, 2006.
- Chrissis, Mary Beth, Mike Konrad, and Sandy Shrum. ***CMMI for Development: Guidelines for Process Integration and Product Improvement.*** 3<sup>rd</sup> ed. Boston: Addison Wesley, 2011.
- Glazer, Hillel. ***High Performance Operations: Leverage Compliance to Lower Costs, Increase Profits, and Gain Competitive Advantage.*** 1<sup>st</sup> ed. Upper Saddle River NJ: FT Press, 2012:31.
- ***Standard CMMI Appraisal Method for Process Improvement (SCAMPI) A, Version 1.3: Method Description Document.*** Pittsburgh: Software Engineering Institute, March 2011.



# Rock'n CMMI Training ...and Appraisals!



Bill Smith



Mary Segnit

More info?

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www.CmmiTraining.com