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Office of the Secretary of Defense

Acquisition, Technology & Logistics

Defense Research & Engineering Plans & Program Office



Conceptual Framework

for

Military Capability Development

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Defense Capabilities Enterprise: Diversified Force Generation Roles, Diversified Force Employment Needs



...and increasingly, complex operational partners: NGB, inter-agency, coalition, NGO

Combatant Commander Science & Technology Staff: Diversified Roles, Diversified Needs





Variety of roles/titles

- Science & Techology (S&T) Advisor
- Research, Development, Test & Evaluation (RDT&E) Programs Liaison
- Concept Development Lead
- Capability Development Lead

Variety of organizational structures

- Reporting to Deputy Commander, Chief of Staff, J8/Resources, J9/Experimentation
- Single/multiple science advisors on HQ staff

Variety of resource support

- Executive agency Service / parent service support
- Experimentation support
- Working capital fund support
- Rapid reaction acquisition programs support
- Operational needs processes





The challenge for Combatant Commanders:

- They exist in the authority domain of Military Needs & Operations
 - Joint force needs are predominantly expressed through CJCS
 - Only US Special Operations Command has force development acquisition authorities
- They rely on Services for preponderance of force capabilities
 - "Force Integration" / "Force Provider" services performed by USJFCOM
 - USJFCOM translates joint force needs into force fill requirements for Services
- They rely on Services for HQ resources through legacy Executive Agency provisions
- They have limited manpower to participate in needs, acquisition & funding processes

Needs to Solutions: Processes & Roles



PPBES:Program – Budget Process

Needs Process

- 1. Develop & specify needs
- Review & comment on budget-based programming and acquisition solutions
- Allocate resources to joint/combatant commanders

Needs Roles CJCS/JCS CoComs – Including USJFCOM Joint Staff Military Staffs

CoCom/Component Cdr Staffs



Acquisition Solutions Process

- Consult with needs authorities in development of acquisition solutions
- 2. Acquire material solutions based on validated needs and budgetbased programming
- 3. Deliver resources (acquisition products) for allocation to joint/combatant commanders

Solution Roles

USD (AT&L)/DAE Service Secretaries/SAEs OSD (AT&L) Staff Service Secretariat Staffs Systems/Materiel Commands Military Agencies USSOCOM [special authorities]



Identifying Military Requirements

Analyze capability gaps

- Lessons Learned / Warfighting Analyses
 Characterize improvements: What is really required?
- Experiment...what DOTMLPF elements are needed? Define in actionable terms
- Specify DOTMLPF elements or options

Understand DoD roles

Respect & leverage authorities

Needs⇔Requirements⇔Solutions

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Framing the Solution Set

Headquarters enhancement

- Engage Executive Agency (military Service)? Specific Component Force Development
- Specify Service partnership / component roles
 Joint Force Capability Gap
- Pursue Functional CoCom partnerships

Use diversity of tools Employ gapfillers Remain flexible



Developing Specific Strategies

Address key gap-closing capability segments Forge partnerships with strategy development

- Key element of resourcing & transition
 Stipulate timeline and phasing of deliverables
- Cite operational imperative for timelines





Streamline analysis and focus JROC decisions

Military Needs/Operations Domain: JCIDS Joint Operational Needs Process Overview



Defining Defense Experimentation







Development Model for Acquisition Solutions





Transition to Procurement & Sustainment

Joint Training

Readiness & Suitability Confirmation

- Test & Evaluation
- Military assessment of utility

Functional Validation; Tailored Form/Fit/Function

- DOTMLPF construct development and confirmation
- Demonstration

Technical Concept Design & Development

Prototyping

Alternatives Development & Assessment

- Experimentation
- Red Teaming Analysis

Conceptualization

- Needs identification/lessons learned/assessment
- Tech push exploitation

Range of Current Technology Transition Programs





Capability Development Strategy – Template Example





Point of Departure: Capability Gap Assessment

Output: Fielded Capability

A coherent strategy sets bar for solution providers & expectations for joint customers

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Coordinated S&T Conferences

- Deconflicted CoCom conference schedule
- Consolidated RDT&E Program coverage / attendance

CoCom S&T Advisor Input into DoD S&T Efforts

- Marquee Component S&T efforts linked to desired operational capabilities
- Strategy and decision making bodies informed of CoCom S&T needs/potential

Combatant Commands Science and Technology Community (CSTC) Portal – CoCom Homepages

- DTIC-designed S&T Advisor tool
- Posting site for needs, on-going projects, hot keys to reference sites

Tailored Joint Capability Development Strategies

- To assist S&T advisors in formulating proposals from concept to transition
- Aims to involve Component Command S&T Advisors in gap remediation



Science & Technology Integrated Priority List

- Collect S&T needs that support CoCom IPLs
- Provide expertise to FCBs
- Inform JSJ8 of possible capability solutions
- Inform DoD S&T organizations of priority CoCom needs
- Stimulate information exchange among the CoCom S&T Advisors

CSTAIG: CoCom S&T Advisor Integration Group

- To stimulate information exchange between CoCom HQ S&T Advisors
- Potential adjunct to DSTAG
- Collect, disseminate S&T needs to FCBs, CPMs, and OSD S&T strategy producing bodies

CoCom Staff Data/Information Enablers





Multiple domain visibility

- Military needs, Defense resources, DOTMLPF development processes
- Joint Capability Area (JCA) portfolio assignments
- Capability Portfolio Management (CPM) activities
- Optimized for technical services

Joint Warfighting Science & Technology Plan

- Inter-Service, inter-Agency R&D effort transparency
- Project-level detail

Adaptive capability programs: consolidated view

- Searchable queries across diverse web sites
- Cross-cutting capability development effort checks
- Application cycles, project proposals, approval status
- Application/proposal clearing house services

CoCom/Component Command S&T efforts

- CoCom / CompCom S&T community listing
- Joint capability gap identification efforts
- Capability gap solution development efforts

Master S&T Events Schedule

- S&T Conferences, Technical SAGs, SWARFs
- Key developmental/adaptive acquisition program conferences
- Experimentation program events & conferences
- Experimentation/adaptive acquisition program application milestones

Research services

- Quick-response technical research services



Technology Readiness Levels



FRP MS C	System Test, Flight and Operations		9 Actual system "flight proven" through successful mission operations
	System/Subsystem		8 Actual system completed and "flight qualified" through test and demonstration
	Development		7 System prototype demonstration in an operational environment
MS B	Technology Demonstration		6 System/subsystem model or prototype demonstration in a relevant environment
	Technology Development		5 Component and/or breadboard validation in relevant environment
мs А	Decerch to Drove Feechility		4 Component and/or breadboard validation in laboratory environment
	Research to Prove Feasibility		3 Analytical and experimental critical function and/or characteristic proof-of-concept
	Basic Technology Research		2 Technology concept and/or application formulated
			1 Basic principles observed and reported