



*DoD Basic Research Program  
with a Focus on Academia*

National Defense Industrial Association  
8th Annual Science & Engineering  
Technology Conference  
North Charleston, SC.

*Dr. William S. Rees, Jr.*  
*Deputy Under Secretary of Defense*  
*(Laboratories and Basic Sciences)*

*17 April 2007*



# OUTLINE

- DoD Funding and Priorities
  - Emphasis on Basic Research
- Strategic Planning
- National Defense Education Program
  - Relationship to DoD future workforce
  - National Security Science and Engineering Faculty Fellowships
  - Science and Engineering Enrichment
    - Materials World Modules
    - Pre-Engineering Modules

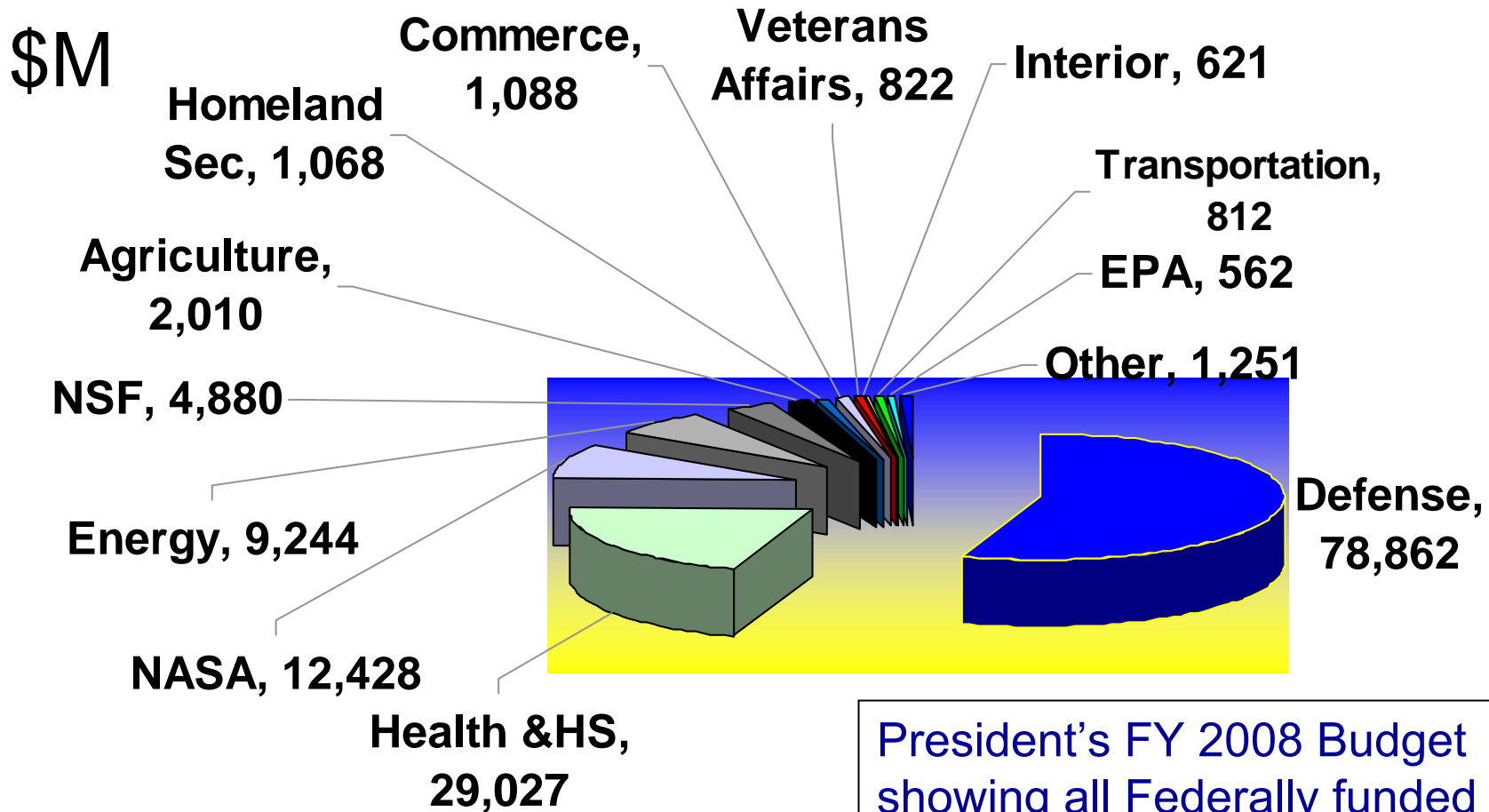


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# Federal R&D Funding



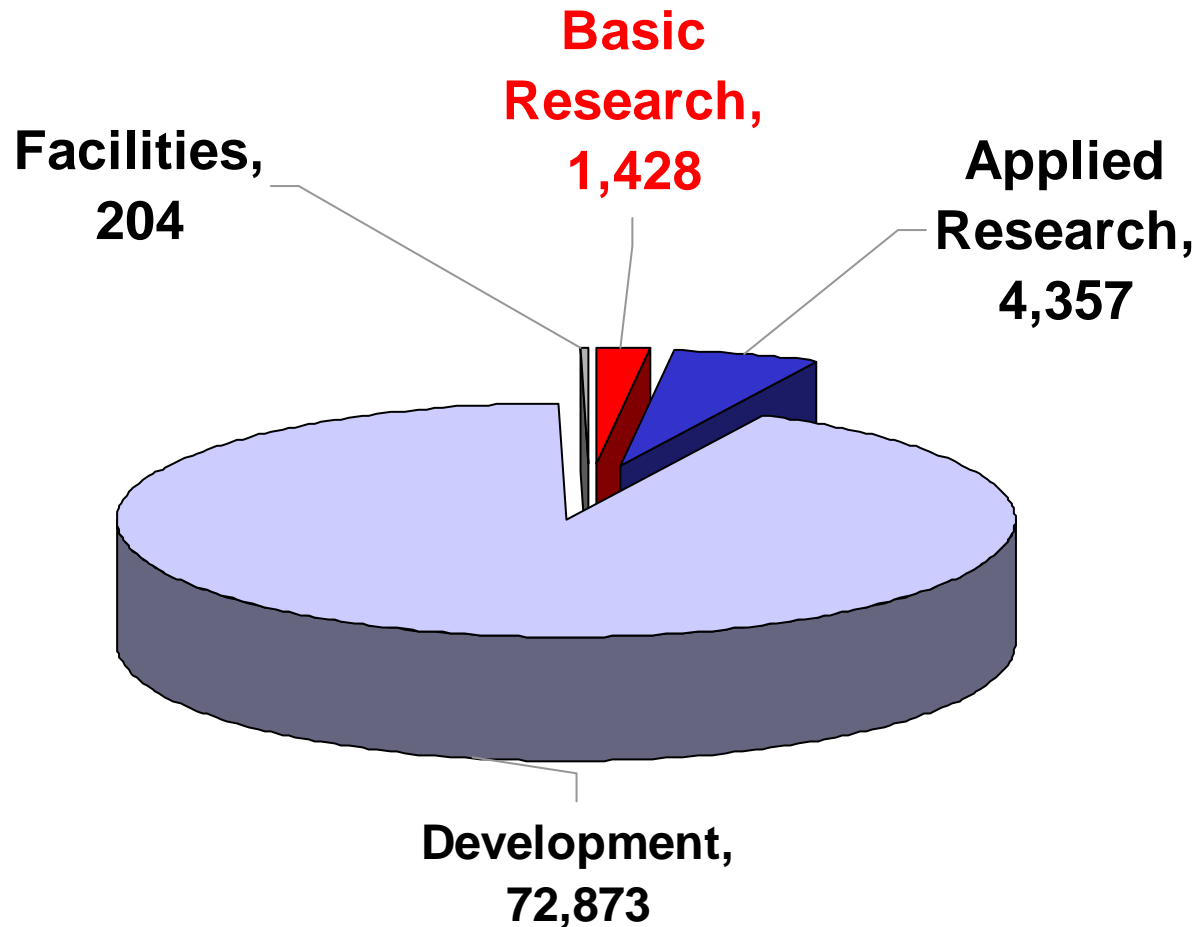
President's FY 2008 Budget showing all Federally funded Research and Development

Source: Federal Budget FY 2008 Analytical perspective, pg 51+ available at <http://www.whitehouse.gov/omb/budget/fy2008/pdf/apers/crosscutting.pdf>

# DoD Research, Development, Test & Evaluation FY 2008



**\$M**



**FY08 RDT&E request = \$78.86B  
(Budget Activities 1→7)**

Source: Federal Budget FY 2008 Analytical perspective, pg 51+ available at <http://www.whitehouse.gov/omb/budget/fy2008/pdf/apers/crosscutting.pdf>

# Main Purposes for Defense Basic Research



- **Generate new knowledge and understanding as foundation for future defense technologies**
- **Train scientists and engineers in key disciplines for defense needs**
- **Sustain research infrastructure needed for continued performance of cutting-edge defense programs**



# Overall S&T Priorities for FY08

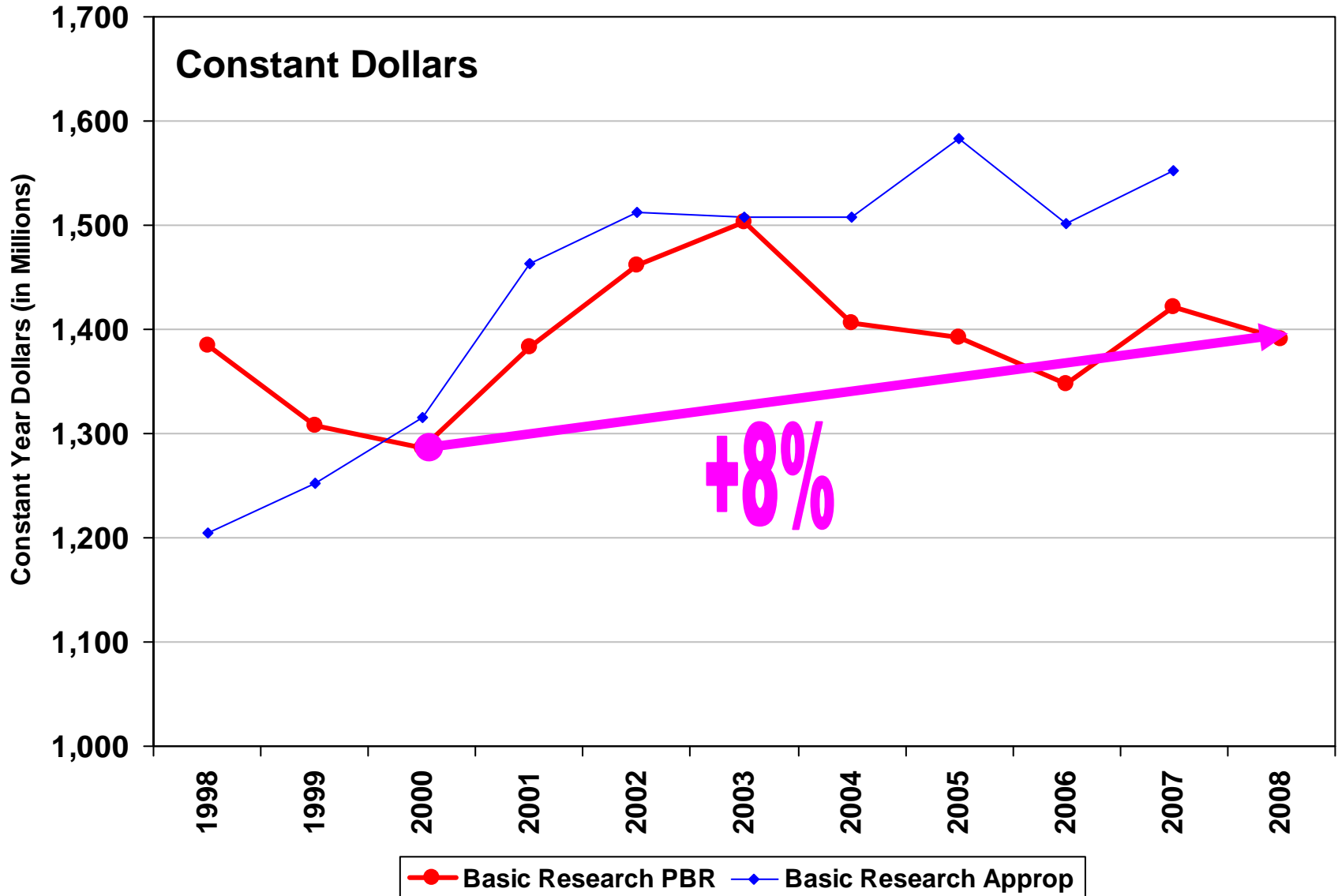
## Addresses Quadrennial Defense Review & Strategic Planning Guidance Capability Needs

- **Capabilities to Defeat Terrorist Networks**
  - Biometrics
  - Human, Social, Cultural, and Behavioral Modeling
  - Clandestine Tagging, Tracking, and Locating
  - Airborne Hyperspectral
  - Synthetic Aperture Radar Change Detection
- **Capabilities to Defend the Homeland in Depth**
  - Joint Integrated Fire Control
  - Airborne Network Gateway
  - Network Communications Capabilities
- **Acquisition Affordability**
  - Defense-Wide Manufacturing Science & Technology
  - Insensitive Munitions Advanced Technology
  - Computational Research and Engineering Acquisition Tools and Environments (Project in High Performance Computing)

# DoD S&T BASIC Research Funding FY1998-2008

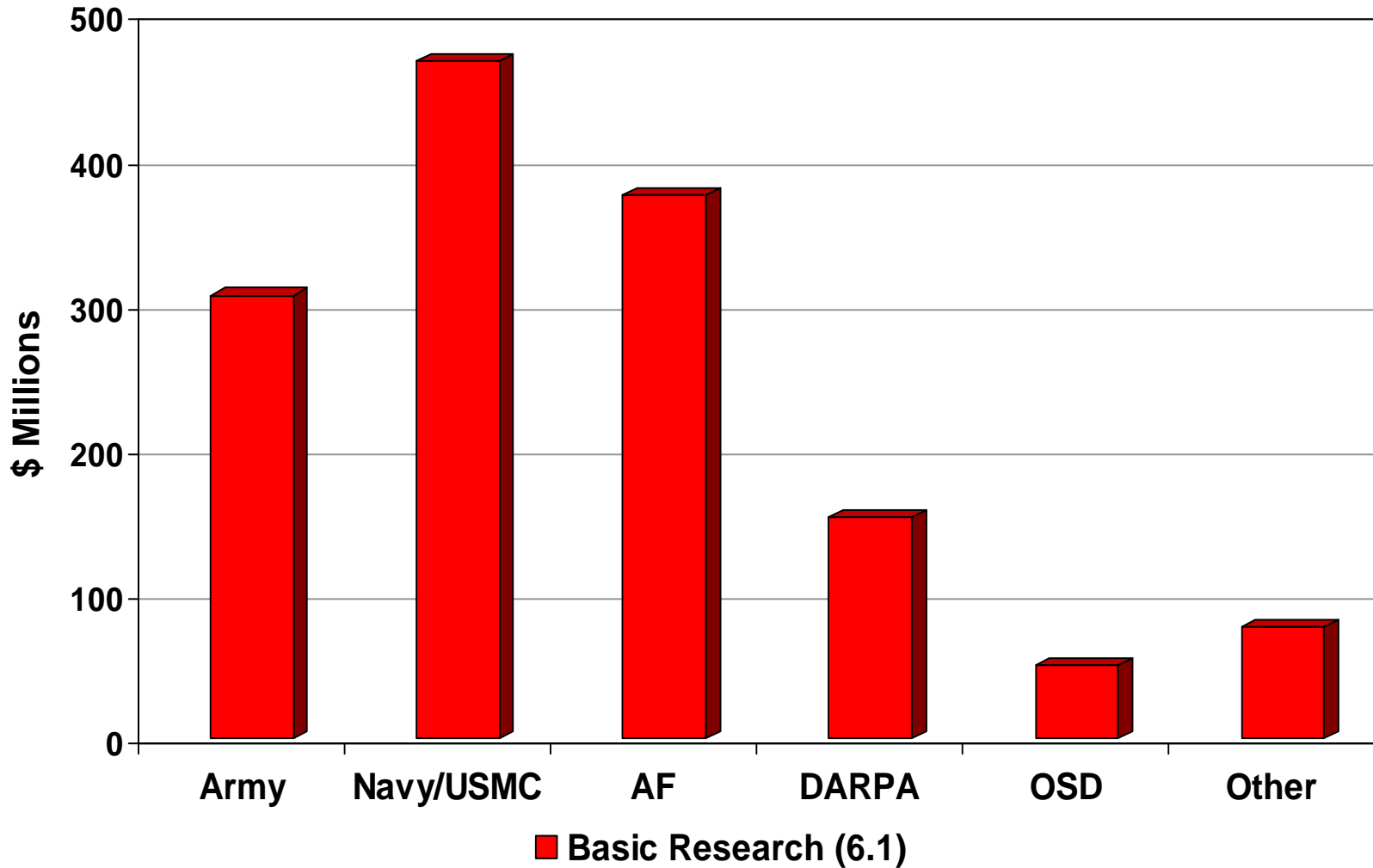


(President's Budget Request & Appropriated)



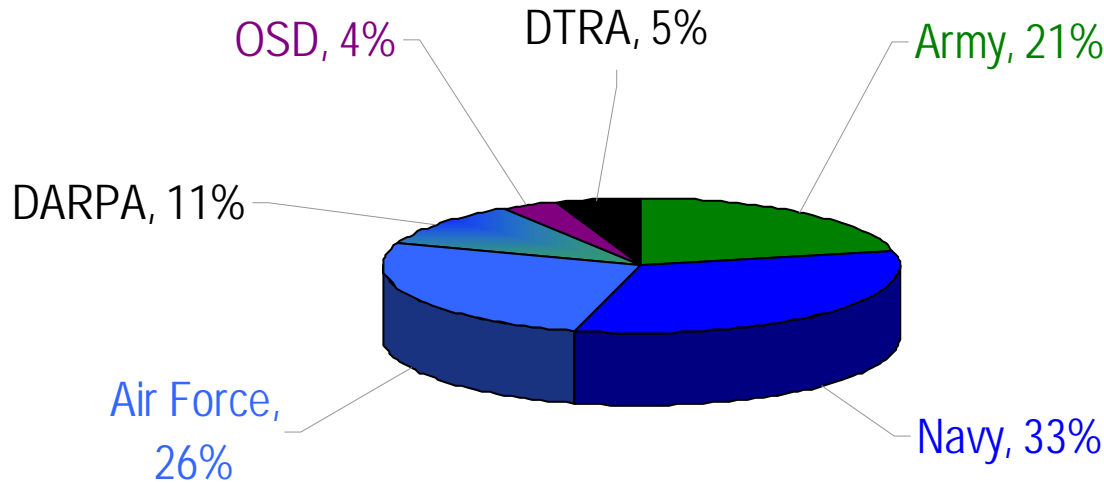


# FY08 DoD 6.1 Budget Request



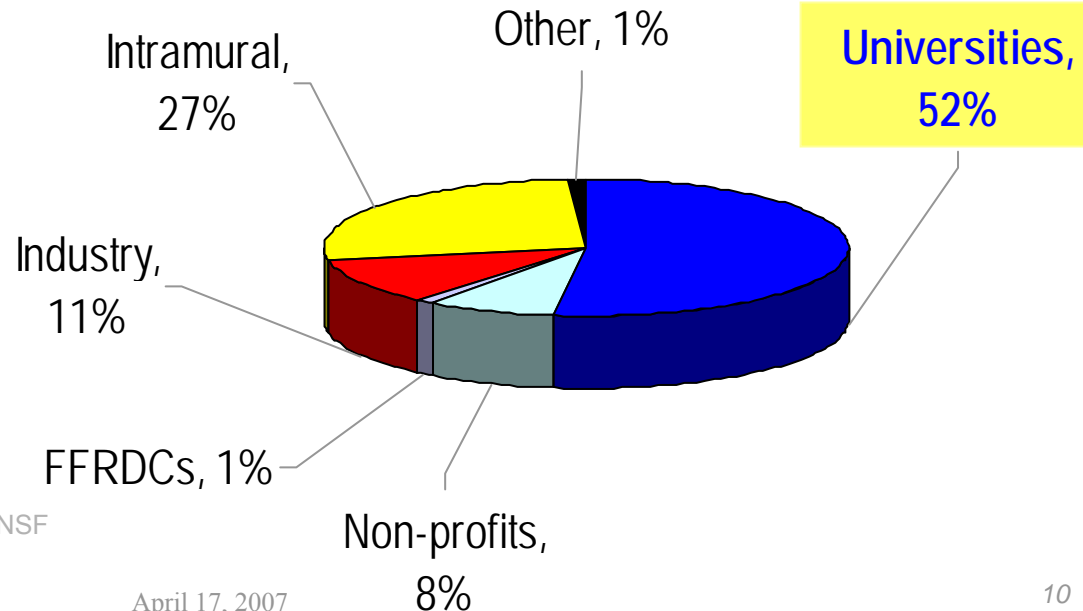


# Sources & Destinations of Defense Basic Research Funding



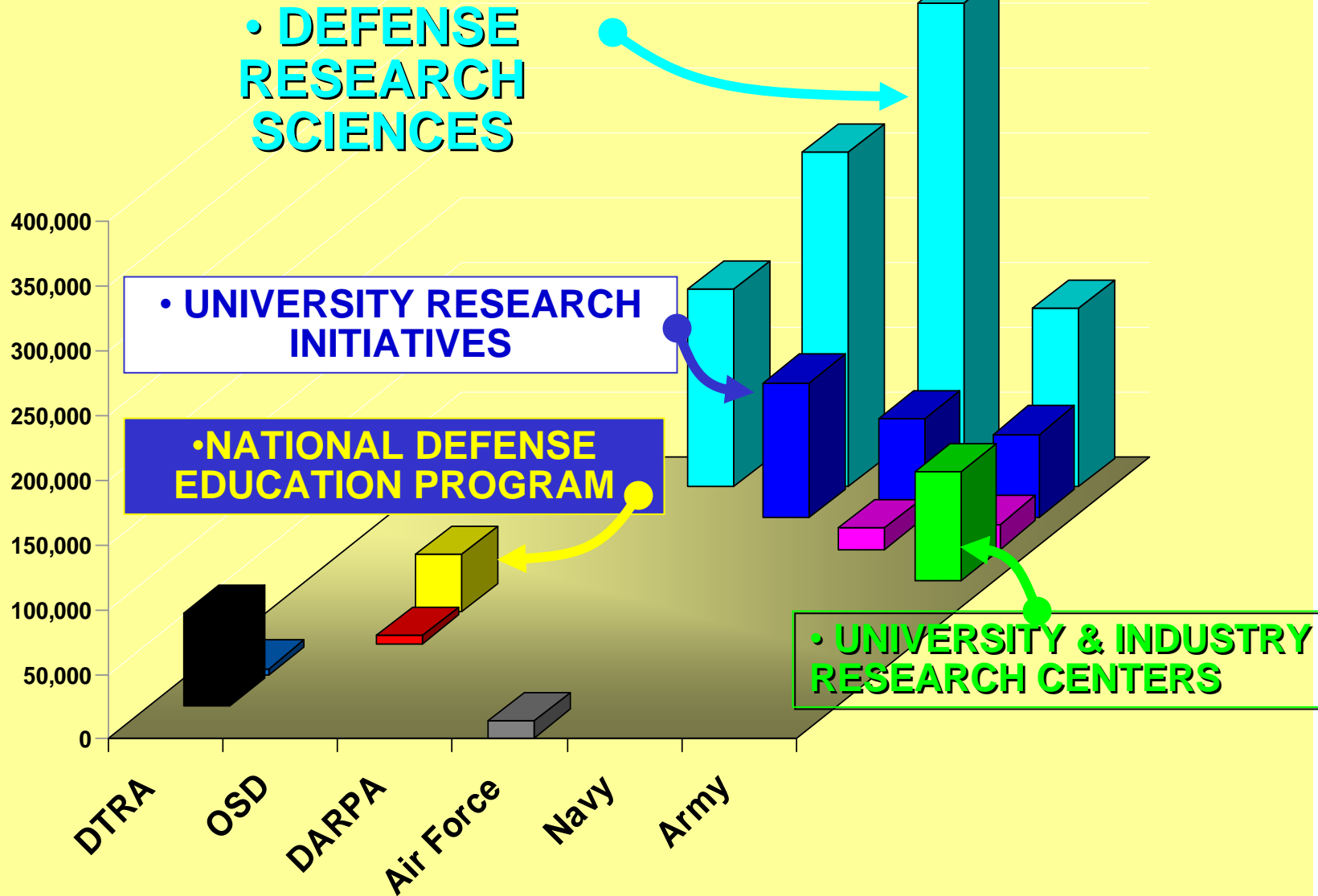
**←Source** 80% of Defense Basic Research (\$1.42B) is Investments by Military Departments

**Destination→**  
Performers of Defense Basic Research - 63% to Universities and Industry



Sources: FY08 President's Budget & DoD component inputs to NSF Federal Funds for R&D survey (FY05 – latest available)

# FY08 President's Budget Request for DoD Basic Research



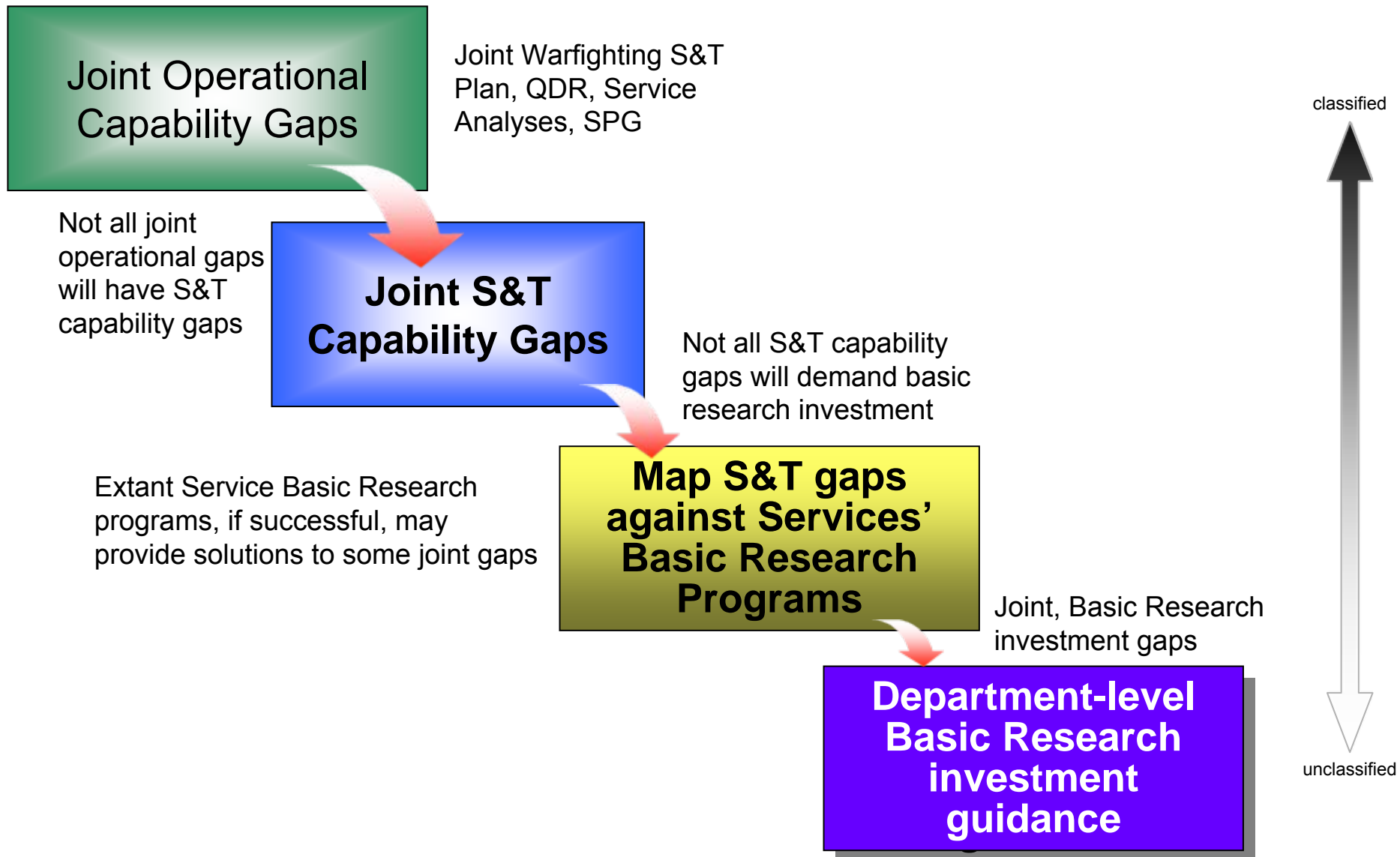


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# Conceptual Strategic Planning Process





# Early-identified Areas of Exploration

## Disciplines

- Atmospheric Sciences
  - Biological Sciences
  - Chemistry
  - Cognitive and Neural Sciences
  - Electronics
  - Forensics
  - Health Medicine and Biology
  - Materials Science
  - Mathematics and computer Sciences
  - Modeling and Simulation
  - Mechanics
  - Physics
- Joint interoperable communications
  - Offensive cyber warfare
  - Energy efficiency
  - Counter-IED

## Mission-oriented

- Combating WMD Technologies
- Persistent Surveillance Technologies
- Alternative Fuels & Energy Sources
- Biometrics & Bio-inspired Technologies
- Tagging, Tracking, & Locating
- Directed Energy Technologies
- Anomalous Event Extraction From Massive Data Sets
- Human, Social, Cultural, & Behavioral Predictive Modeling
- Hyperspectral Sensors
- Organization, Fusion, & Selective Extraction of Data
- Manufacturing Technologies
  - Affordability and Producibility
  - Agile Fabrication
- Nanotechnology

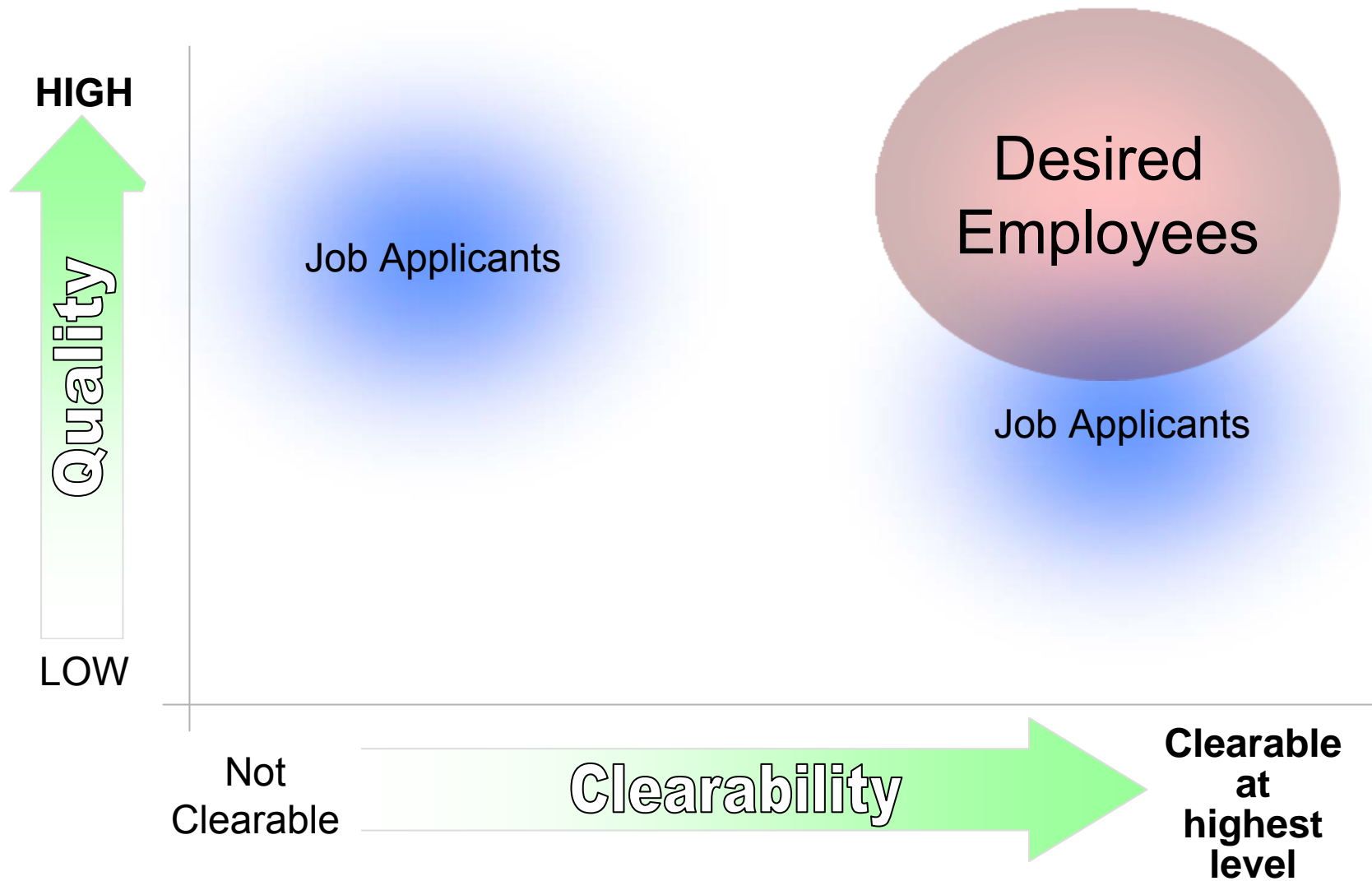


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# A Unique National Security Problem







# Spectrum Of STEM Education

**Middle  
School**

**High  
School**

**Undergrad  
/ Grad**

**Faculty**

**Pre-Engineering**

**Modules**

**Materials World**

**Modules**

**SMART scholarships**

**N/S S&E**

**Faculty Fellows**



# National Defense Education Program

- **Rationale**
- DOD employs nearly half of all Federal physical scientists, mathematicians, and engineers.
- DoD laboratories expect to lose 13,000 scientists and engineers by 2015.
- At the same time, demand for scientists is projected to increase by 17 percent, and for engineers by 22 percent.
- There is a long-term downward trend in defense-relevant science and engineering degrees (at all levels) awarded to personnel who could qualify for the security clearances.
- An April 2004 National Defense University report, *The Science and Engineering Workforce and National Security*, warns of the rapidly accelerating accumulation of intellectual capital, including an educated Science and Engineering (S&E) workforce, in China, India, Japan, South Korea, and Taiwan. This emphasis is in direct contrast to declining S&E education trends in the US.
- **Payoffs**
- A larger pool of high school graduates academically prepared and interested in physical science and engineering as their intended field of study.
- More clearable, proficient, academically degreed science and engineering workers for future national defense needs.
- In FY08, will have >100 grad (SMART) students, 9 NSSEF Fellows, and a growing K-12 enrichment program.

# National Security Science and Engineering Faculty Fellowships



- National Security Science and Engineering Faculty Fellowships (NSSEFF) engages the best clearable, research university faculty to pursue long-term, critical DoD research.
  - A competitive award program that funds 50 top-flight university researchers over the FYDP and adds 10 more each year thereafter. (New Start FY08)
  - Grants are large enough to be attractive (\$600K/yr) and long enough (five years) to produce quantifiable research results.
  - Pending Congressional approval, a \$5.4M investment in FY08 starts the program with first 9 selectees and increases gradually to steady state of \$30M annually in FY13.
  - Biased toward early-career faculty members, though not exclusively for them.
  - All awardees will carry security clearances and will work DoD problems up to the SECRET level.
- NSSEFF captures the best available, clearable university talent to pursue long-term DoD research.

# SMART/ NDEP Program



## National Defense Education Program (NDEP)

### Science Mathematics and Research for Transformation (SMART)

- Comprehensive education and training = Shaped Workforce
- Scholarship/Fellowship (Associate through Ph.D.)
- Security clearable
- Defense Critical Disciplines
- Civil Service Payback required (set at 1 to 1 by policy)
- Employee status while enrolled
- ~40 awards in FY06 (30 in FY05)
- Have & maintain a minimum GPA 3.0 on 4.0 scale
- Post degree follow-up

# Science and Engineering Enrichment



- **Materials World Modules (MWM)** supplement existing high school science curricula with hands-on projects. They engage students and teachers in partnership with DoD laboratory scientists and engineers.
  - FY06 Summer Institute
  - Kit-based curriculum enhancement using relevant learning segments (i.e. sports materials, concrete, biosensors, etc.) that combine the inquiry of science with the design of engineering
  - Impact experiment completed, first teacher training session completed
  - In an FY06 effect assessment, students exposed to MWM show 62% cognitive (knowledge) and very significant positive attitudinal gain toward science and engineering, in immediate post-exposure poll.
  - Additional components anticipated in FY07 & FY08

# Science and Engineering Enrichment



**Pre-Engineering Modules (PEM)** are practical, middle school curriculum modules that tie math, physical science, and engineering to real-world applications. (New Start FY08)

- Require multiple tools in the tool kit to reach broad audience with sustained impact
- Invest in projects that are effective and efficient.
- Partner with existing programs as an option



