U.S. Department of Homeland Security

2007 U.S. Coast Guard Innovation Expo

October 30, 2007 • New Orleans, Louisiana

Presented by:







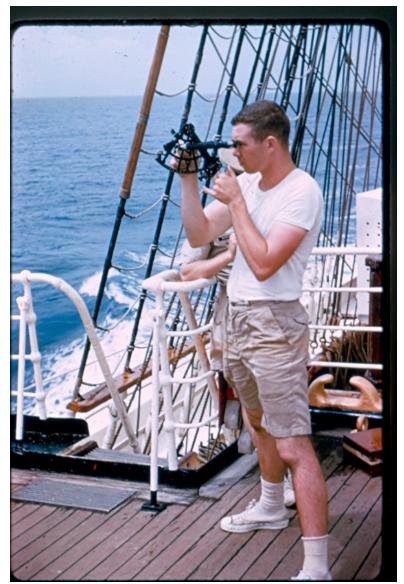
U.S. Coast Guard Cutter Eagle

























One Year Ago

The Senate said:

"This component is a rudderless ship without a clear way to get back on course."

"The Committee is extremely disappointed with the fiscal year 2007 President's budget submission and accompanying Department congressional justification material."

"Not being able to clearly articulate and justify the funding request is simply unacceptable."



S&T Goals

Consistent with the Homeland Security Act of 2002

- Accelerate delivery of enhanced technological capabilities to meet requirements and fill capability gaps to support DHS Agencies in accomplishing their mission
- Establish a lean and agile GS-manned, world-class S&T management team to deliver the technological advantage necessary to ensure DHS Agency mission success and prevent technology surprise
- Provide leadership, research and educational opportunities and resources to develop the necessary intellectual basis to enable a national S&T workforce to secure the homeland

DHS S&T Investment Portfolio

Balance of Risk, Cost, Impact, and Time to Delivery

Product Transition (0-3 yrs)

- Focused on delivering near-term products/enhancements to acquisition
- Customer IPT controlled
- Cost, schedule, capability metrics

Basic Research (>8 yrs)

- Enables future paradigm changes
- University fundamental research
- Government lab discovery and invention

Innovative Capabilities (1-5 yrs)

- High-risk/High payoff
- "Game changer/Leap ahead"
- Prototype, Test and Deploy
- HSARPA

Other (0-8+ yrs)

- Test & Evaluation and Standards
- Laboratory Operations & Construction
- Required by Administration (HSPDs)
- Congressional direction/law

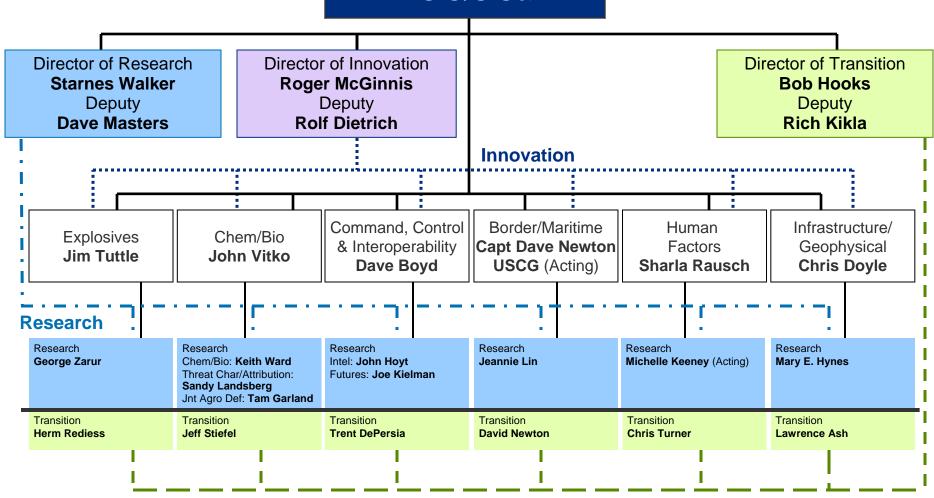
Customer Focused, Output Oriented



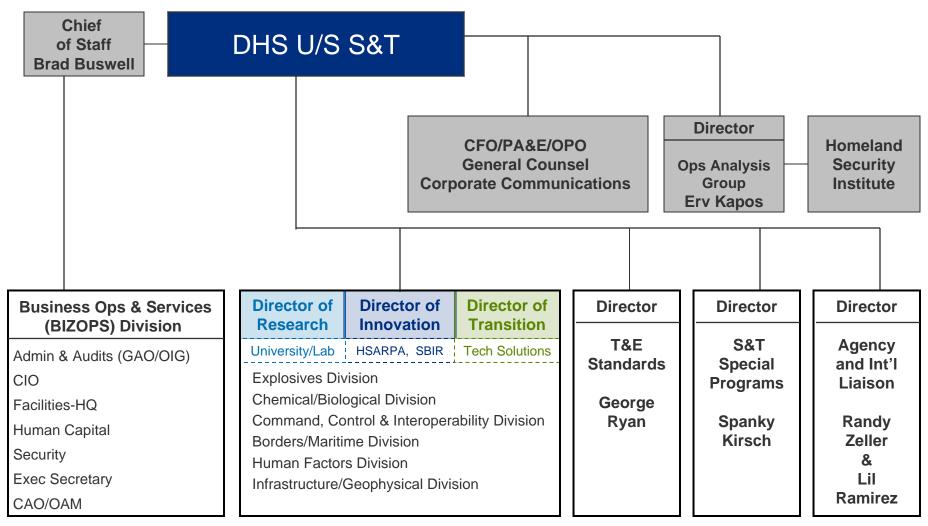
Bombs – Borders – Bugs – Business

S&T Organization

DHS U/S S&T



DHS S&T Directorate





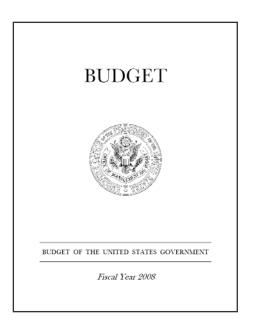
Timeline: Re-Org to Reprogramming Funds



 AUG/SEP/OCT 2006 - Briefed all six DHS House and Senate Oversight Committees on new DHS S&T organization and portfolio content



Early OCT 06 - OMB directs
PRESBUD FY08 that reflects
revised S&T portfolio content



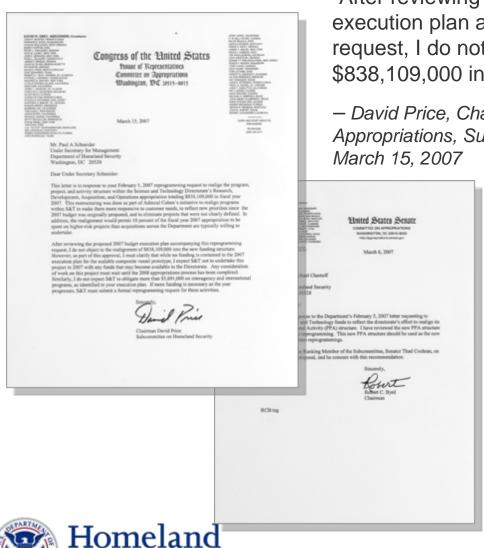
 Late OCT 06 House & Senate DHS Committees request DHS S&T submit an "omnibus reprogram" in order to reflect new DHS S&T portfolio content (submitted DEC 06) to "kickstart" S&T Innovation



 March 2007 - Congressional approval to reprogram S&T funds



Bi-Partisan Congressional Leadership Reprogramming of DHS S&T FY07 Funds to "kickstart" effort to make the Nation safer before FY08!



"After reviewing the proposed 2007 budget execution plan accompanying this reprogramming request, I do not object to the realignment of \$838,109,000 into the new funding structure."

 David Price, Chairman, House Committee on Appropriations, Subcommittee on Homeland Security, March 15, 2007

> "I have reviewed the new Program, Project, and Activity (PPA) structure and approve of this reprogramming. This new PPA structure should be used as the new baseline for any future reprogrammings."

Robert C. Byrd, Chairman, Senate
Committee on Appropriations, March
6, 2007

Today

The Senate says:

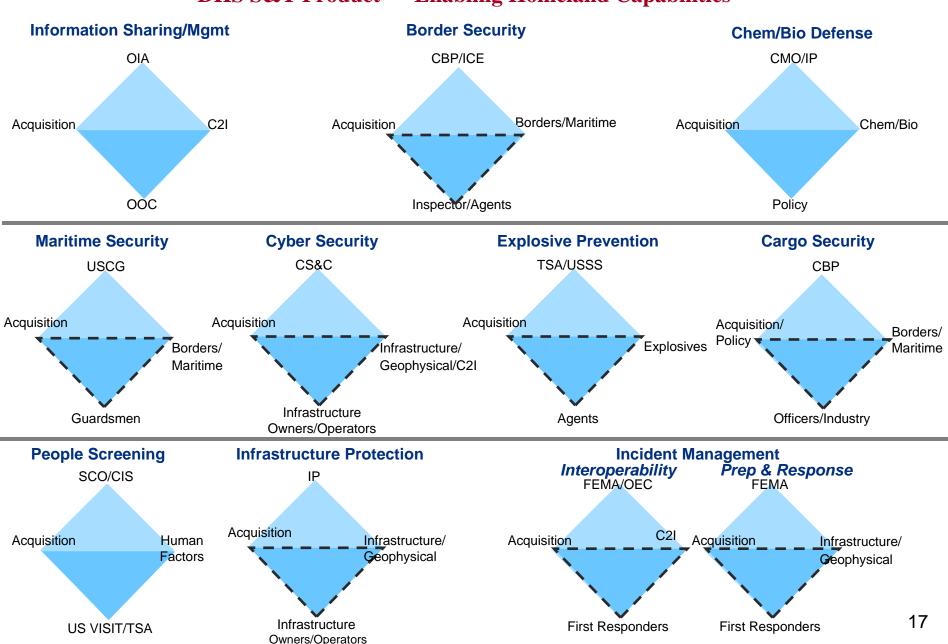
"The Committee is pleased with the rapid progress S&T appears to be making toward resolving past deficiencies."

"The new Under Secretary has restructured the directorate's programs, worked to obligate resources in a timely fashion, and instituted a capable budget office able to deliver timely, accurate, and comprehensible documents."



DHS Requirements/Capability Capstone Integrated Product Teams

DHS S&T Product – "Enabling Homeland Capabilities"



Capstone IPTs defined requirements and customer capability gaps NOW to Fill Those Gaps, Project IPTs Need to Engage

Information Sharing/Mgmt

- Information Fusion and Visualization to Support the Common Operating Picture (COP)
- Network Identity Management
- Cross-Agency Information Sharing

Border Security

- Border Officer Tools and Safety
- Sensor and Data Fusion
- Border / Maritime Domain Awareness Technologies

Chem/Bio Defense

- Agrodefense
- Biodefense
- · Chemical Defense

(50)

(42)

Maritime Security

- Border Officer
 Tools and Safety
- Sensor and Data Fusion
- Border / Maritime Domain Awareness Technologies

(32)

(10)

Cyber Security

- Research Tools & Technology
- Information Infrastructure Protection

(19)

 Next Generation Technologies

(12)

Explosive Prevention

- Standoff Detection
- Homemade Explosives
- Checked Baggage
- Check Point
- Response
- Canine explosive detection
- Blast Mitigation
- Standoff Projectile Mitigation

(45)

Cargo Security

- Container Security
- Cargo Security
- Cargo Inspection

(15)

.

 Codified Technology Transition Agreements

The Capstone

Execution Arm

Schedule and

Requirements

Detailed S&T

Parameters

Coordinated

Alignment

Performance

Programmatic

Detailed Customer

People Screening

- Biometrics
- Credentialing
- Hostile Intent
- Group Violent Intent Modeling

Infrastructure Protection

- Analysis & Decision Support Systems
- Advanced Infrastructure Architecture & Systems Design
- Detection & Sensor Systems
- Response, Recovery and Reconstitution (10)

Incident Management

InteroperabilityAdvanced communication

Digital voice

communication

• Seamless data exchange

Prep & Response

- First Responder Equipment
- Common Operating Picture
 & Situational Awareness
- Incident Modeling, Mapping & Simulation

(7)

Red number indicates # of projects reviewed

256 Total

(14)

To Deliver Technology on Schedule with Requisite Performance

Rarely Seen Photo of a Capstone IPT Meeting

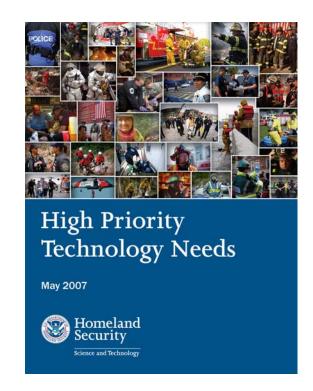


Integrated Product Team (IPT) Initial Outcome High Priority Technology Needs

- 11 Capstone IPTs have identified 77 High Priority Technology Needs for DHS components and their customers
- Identified in brochure and posted at www.hsarpabaa.com
- Baseline established for conducting an iterative, dynamic IPT process on an annual cycle aligned with DHS funding and acquisition processes

IPT Next Steps:

- Focus on delivering product to customers
- Detail proposed technology solutions
- Clarify deliverable and transition plans
- Develop Technology Transition Agreements to establish customer requirements and technical specifications



Customer Focused...Output Oriented

Maritime Security IPT: Representative Technology Needs

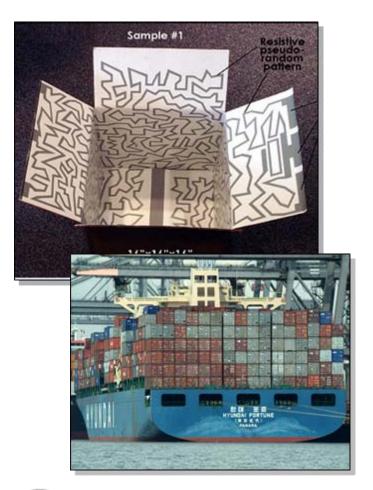
- Wide-area surveillance from the coast to beyond the horizon; port and inland waterways region - detect, ID, and track
- Data fusion and automated tools for command center operations
- Vessel compliance through non-lethal compliance methods
- Enhanced capability to continuously track contraband on ships or containers
- Improved ballistic personal protective equipment for officer safety
- Improved WMD detection equipment for officer safety; improved screening capability for WMD for maritime security checkpoints

S&T Lead Division: Border/Maritime





Cargo Security IPT: Representative Technology Needs

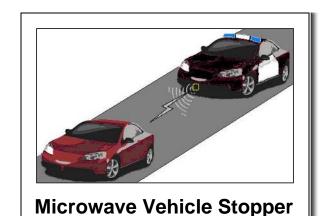


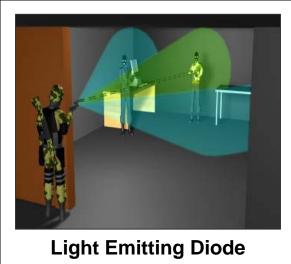
- Enhanced screening and examination by nonintrusive inspection
- Increased information fusion, anomaly detection, Automatic Target Recognition capability
- Detect and identify WMD materials and contraband
- Capability to screen 100% of air cargo
- Test the feasibility of seal security; detection of intrusion
- Track domestic high-threat cargo
- Harden air cargo conveyances and containers
- Positive ID of cargo and detection of intrusion or unauthorized access

S&T Lead Division: Border/Maritime



Border Officer Tools and Safety



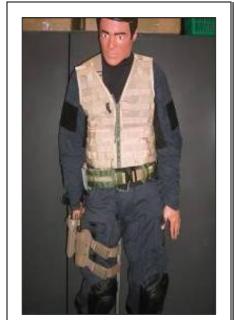


Incapacitator









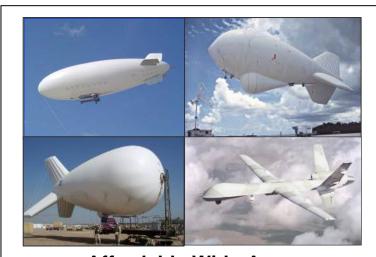
Officer Safety Load **Carriage System**



Maritime Security



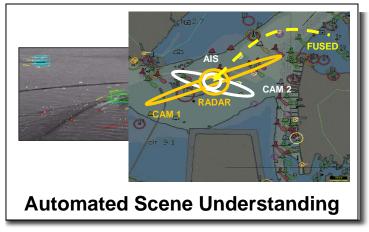
Port and Coastal RADAR Improvement

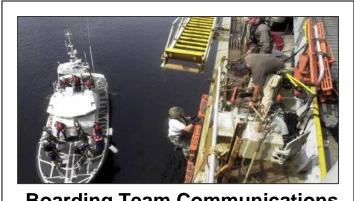


Affordable Wide-Area Surveillance (WAS)









Boarding Team Communications

Maritime Biometric Identification System:

Handheld Biometric System Pilot in the Mona Pass

Pilot Description

Real-world operational pilot of Coast Guard maritime mobile biometrics technologies in the Mona Pass. The pilot will identify strengths and shortfalls associated with the use of mobile biometrics. The pilot will:

- assess feasibility and utility of ship-to-shore communications for the biometric device,
- conduct operational testing and evaluation,
- collect performance metrics, and
- produce a technology development roadmap to guide procurement and acquisitions supporting Coast Guard operations.

DOMINICAN REPUBLS. PUERTO RICO

Planned Pilot/Deliverables/Transitions

- Conduct program coordination and requirements gathering Q2 FY08 to Q1 FY09
- Participate in relevant working groups Q2 FY08 to Q1 FY09
- Report on selection criteria for 2 additional handheld biometric collection devices for field testing – Q2 FY08
- Deliver system performance reports on fielded devices Q2 FY08 to Q1 FY09
- Deliver hand quality study Q1 FY09
- Deliver detailed transition plan Q1 FY09

S&T and Homeland Security Payoff

- Timely identification of interdicted immigrants to determine if they are on a watch or wanted list
- Results of pilot will inform S&T's FY09 Mobile Biometric transition project of specific real-world operational shortfalls that exist with the use of mobile biometrics devices
- Customer(s) USCG with lessons learned for CBP, US-VISIT

| | FY07 | FY08 | FY09 | FY10 | FY11 | FY12 | FY13 | |
|------------------------|--------|-----------|--------------------|------|------|------|------------|--------------------------------------|
| Total Funding (\$K) | \$ 723 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | CostSched |
| Deliverables/Demos - 🔷 | | ** | \rightarrow | | | | | Tech |
| Transitions / TRL - | | | | | | | | |



Homeland Security Act of 2002

HSARPA will....

"Support basic and applied homeland Security research to promote revolutionary changes in technologies; advance the development, testing and evaluation, and deployment of critical homeland security technologies; and accelerate the prototyping and deployment of technologies that would address homeland security vulnerabilities."

EVERY TRULY GREAT ACCOMPLISHMENT IS AT FIRST

(FORTUNE COOKIE)

IMPOSSIBLE!



Innovation/HSARPA

HIPS and HITS

Homeland Innovative Prototypical Solutions (HIPS) are designed to deliver *prototype-level demonstrations* of game-changing technologies in two to five years. Projects are moderate to high risk, with high payoff

High Impact Technology Solutions (HITS) are designed to provide *proof-of-concept* answers within one to three years that could result in high-payoff technology breakthroughs. While these projects are at considerable risk for failure, they offer the potential for significant gains in capability





HURRICANE & STORM SURGE MITIGATION

FY08 4Q - Storm surge mitigation system concept demonstration at the Army Corps of Engineers, Vicksburg, MS



LEVEE STRENGTHENING

FY.08 Planned Demonstration Timeline FY08 4Q - New survey methods demonstration using a variety of geophysical sensors on multiple platforms and address weak levees at the Army Corps of Engineers, Vicksburg, MS



FY08 4Q - Liquid explosives field demonstration of a screening prototype for TSA 3-1-1 bags in a coin size tub at Los Alamos National Laboratory, NM



RESILIENT TUNNEL

FY08 3Q - Trial prototype inflatable plug device at the West Virginia Memorial Tunnel

REG

FY08 2&4Q - Laboratory demonstrations of fault limiting superconducting cable at Oak Ridge National Laboratory, TN



FAST M2

FY08 1Q - Non-invasive sensor demonstration, validation and metrics at MIT Draper Laboratory



TUNNEL DETECT

FY08 3Q - Field experiments for improved airborne wide area surveillance system to increase the accuracy of detection

CHLOE

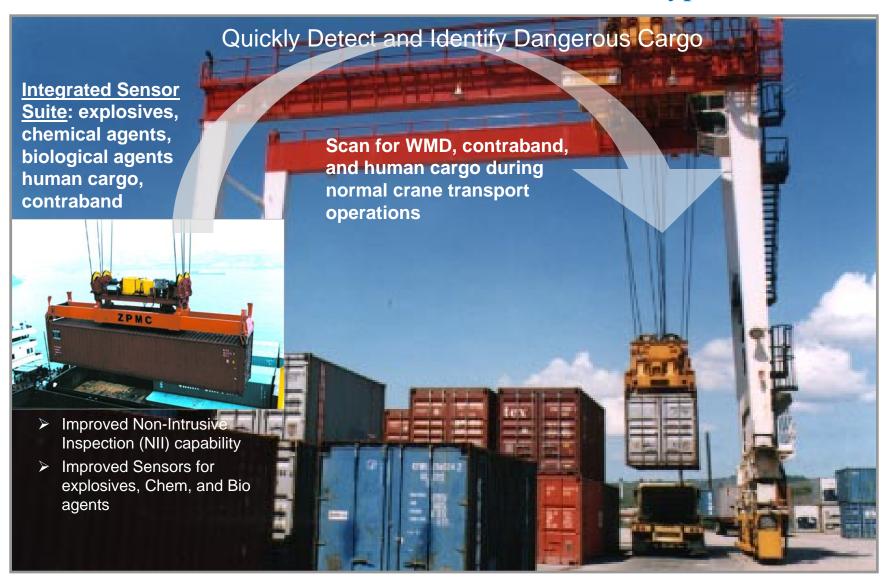
FY08 1Q - Live-Fire Counter-Manpads **Detection demonstration** at White Sands Missile Range



High Impact Technology Solutions Hirs Science Wifechnology Portolio

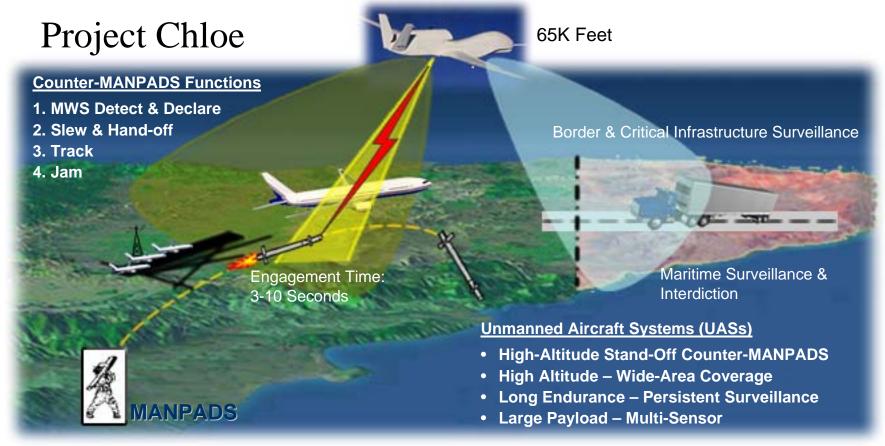
SAFECON – Safe Container

Office of Innovation - Homeland Innovative Prototypical Solutions



Counter-MANPADS/Persistent Surveillance

Office of Innovation - Homeland Innovative Prototypical Solutions



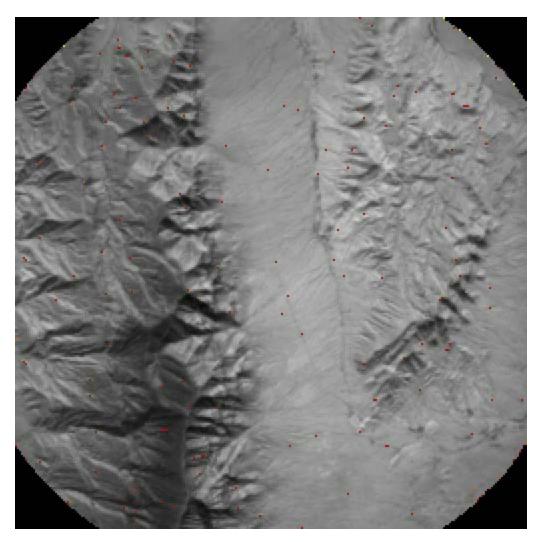
Operational Characteristics

- Real-time sensor fusion/dissemination
- Multi-user / border surveillance requirements
- Commercial Aircraft MANPADS protection

- Automatic target detection/recognition
- Persistence (24/7, all-weather coverage)

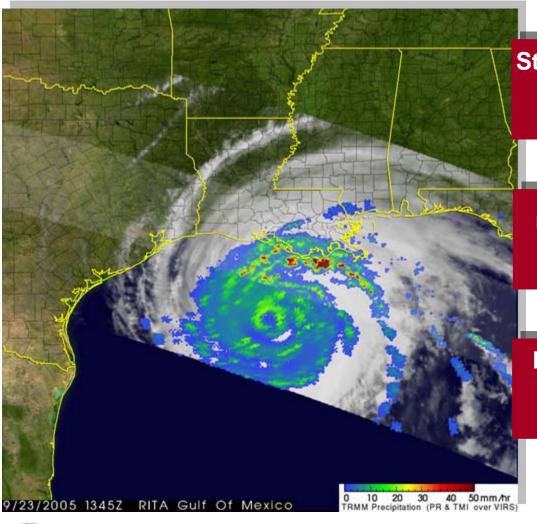


Chloe: Live Fire Missile Testing





Homeland Innovative Prototypical Solutions Storm Surge Mitigation (SSM)



Strategic use of underwater blasts to mitigate storm surge?

Use of drop-In structures to limit surge?

Rerouting of flood waters to limit damage to Critical infrastructure?



Homeland Innovative Prototypical Solutions

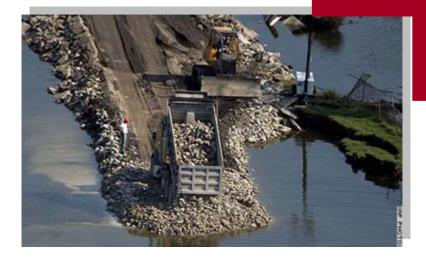
Levee Strengthening and Rapid Repair

Pre-emptive mapping of weak levees

Pre-Flood Deployment of Protective
And Rapid Repair Supplies to
Problem Locations

Drop-in structures lofted by aircraft





Float-in structure guided by cables

Explosively Emplaced Support Structures

Roll-out protective coverings such as articulated concrete mats











The Washington Post

Sept. 30-Oct. 3, 2007

LEFT OF BOOM 'You can't armor your way THE STRUGGLE TO DEFEAT ROADSIDE BOMBS out of this problem'

LEFT OF BOOM

'There was a two-year learning curve . . . and a lot of people died in those two years'

LETT OF BOOM

The single most effective weapon against our deployed forces'

LEFT OF BOOM

THE STRUGGLE TO DEFEAT ROADSIDE BOMBS

'If you don't go after the network, you're never going to stop these guys. Never.'

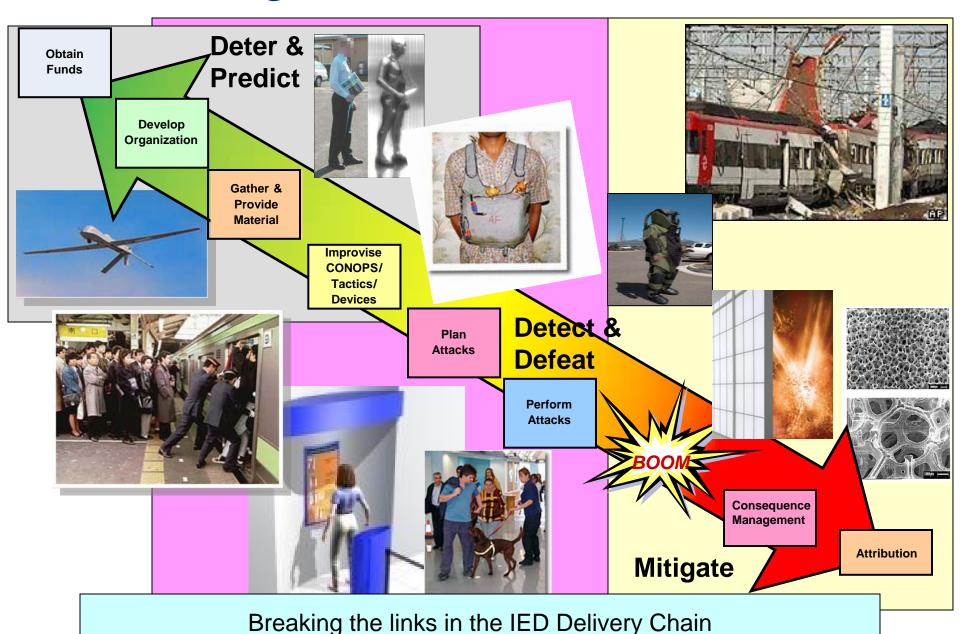








Countering the IED Threat



Basic Research Portfolio

Discovery and Invention to Enable Future Capabilities



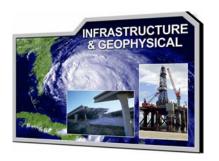




- Brings the capabilities, talent and resources of the Homeland Security Centers of Excellence, DOE National Laboratories and DHS Labs to bear to address the long-term R&D needs for DHS in sciences of enduring relevance
- This type of focused, protracted research investment has potential to lead to paradigm shifts in the nation's homeland security capabilities







COE Alignment

S&T DIVISIONS

Command, Infrastructure/ Control & **Explosives** Chemical/Biological **Borders/Maritime Human Factors** Geophysical Interoperability NATIONAL CENTER FOR **NFW IDS-UACs NEW** National START FOOD PROTECTION AND DEFENSE A HOMELAND SECURITY CENTER OF EXCELLENCE **National** Center for **Border Security** Center for **RVACs** FAZD CENTER PACER **Explosives** & Immigration NATIONAL CENTER FOR FOREIGN ANIMA **Detection & NEW National NEW** Counter-Consolidated Center for **National** measures **CCI Center** Maritime Domain Center for **Gulf Coast** Awareness and Island & Natural Remote/Extreme Disaster & Consolidated **Environment Port Security** Chem/Bio Center







DHS / DOE Laboratory Alignment

| | S&T DIVISIONS | | | | | | | | |
|------|-----------------------------------|--|--|---------------------|---------------------------|-----------------------------------|--|--|--|
| | Explosives | Chemical/Biological | Command, Control & Interoperability | Borders/Maritime | Human Factors | Infrastructure/ Geophysical | | | |
| DOE | LANL PNNL SNL NTS INL | LLNL SNL ANL LANL PNNL LBNL SRNL | LANL LLNL PNNL ORNL NTS INL LBNL | LLNL SRNL BNL | ANL BNL ORNL SNL | ORNL ANL INL BNL LBNL | | | |
| DHS | | PIADC NBACC | | | | | | | |
| NASA | | | NASA | NASA | NASA | | | | |





DHS S&T Laboratories



Environmental Measurements Laboratory



National
Biodefense
Analysis and
Countermeasures
Center (NBACC)

Transportation Security Laboratory



Plum Island Animal Disease Center





... DHS S&T has four Labs and access to 10 DOE National Labs

The New York Times

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THURSDAY, OCTOBER 25, 2007









Project SAFE:

Enabling Technology to Protect Communities from Catastrophic Fires



Doing Business with DHS S&T

Broad Agency Announcements

Current Solicitations

- Document validator
- Biometric detector
- Cyber Security R&D
- Unified Incident Command & Decision Support, Phase II
- RFI SAFE Container
- Home Made Explosives
- Emerging Counter-MANPADS Technologies Assessment

For more about BAAs, visit <u>www.FedBizOpps.gov</u> and <u>www.hsarpabaa.com</u>









7CCCI-1SOLUTIONS

- Mission: rapidly address technology gaps identified by Federal, State, Local, and Tribal first responders
- Field prototypical solutions in 12 months
- Cost commensurate with proposal but less than \$1M per project
- Solution should meet 80% of identified requirements
- Provide a web-based mechanism for Emergency Responders to relay their capability gaps (<u>www.dhs.gov/techsolutions</u>)
- Gaps addressed with existing technology, spiral development, rapid prototyping
- Emergency Responders partner with DHS from start to finish

Rapid Technology Development

Target: Solutions Fielded within 1 year, at ~<\$1M

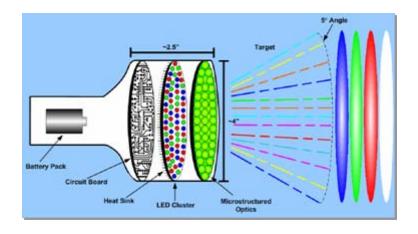


From "Don't TAZE me" to..."DAZE me."

LED Incapacitator: "The Dazzler"

- Light-emitting diode tool provides nonlethal means of subduing people who pose a security threat at ports of entry and other locations
- Emits colorful, ultra-bright pulsing light that disorients and temporarily blinds subjects with reactions that range from vertigo to nausea
- Customers and End Users: Border Patrol, Federal Protective Services, FAMs, USCG, ICE, State and local law enforcement, etc.
- Testing underway at Penn State
- A joint project of SBIR and Border/Maritime Security Division







DHS S&T Stakeholders Conferences

- International Security and National Resilience (ISNR) conference, London, December 3-5, 2007. Visit www.isnrlondon.com
- S&T Stakeholders Conference West with first responder focus, Los Angeles, January 14-17, 2008
- Stakeholders Conference,
 Washington, DC, May 2008







DHS S&T Innovation in the News...

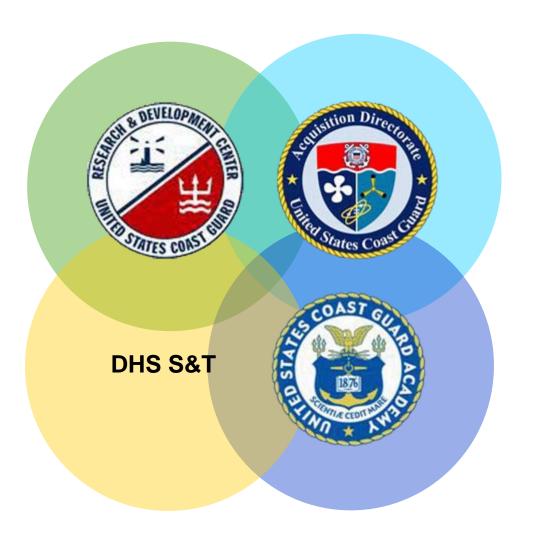


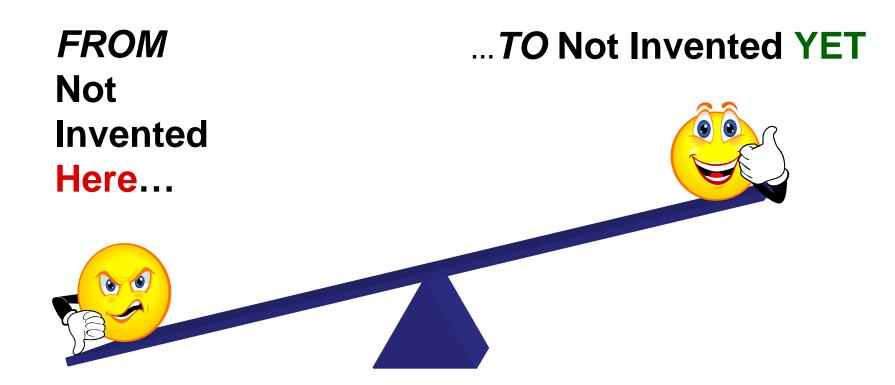
New brown-water Navy?



MC1 GEORGE LABIDOU/NAVY

"The competition between Littoral Combat Ship designs continues."





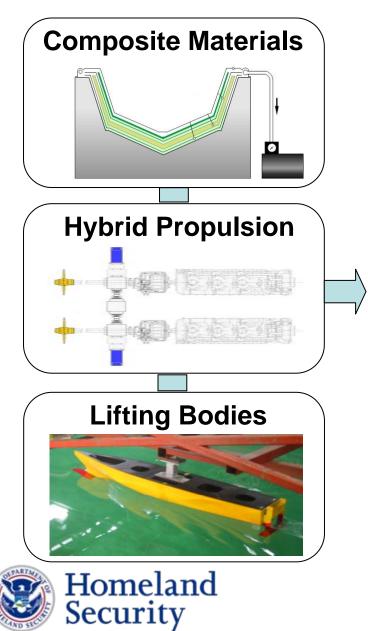


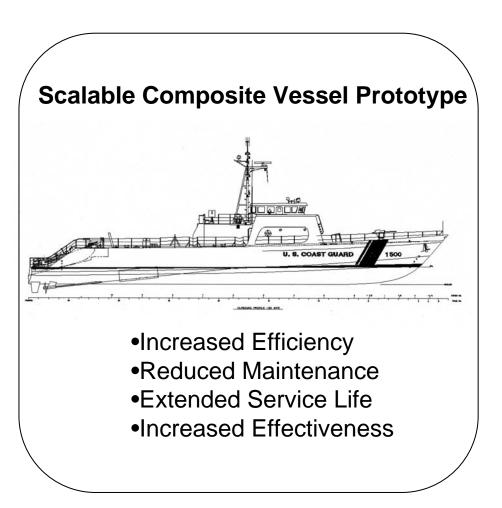






Future Patrol Boat Technologies







FROM SCIENCE...SECURITY

Explosives

Chemical/Biological

Command, Control, & Interoperability



Borders/Maritime

Human Factors

Infrastructure/Geophysical







FROM TECHNOLOGY...TRUST

Back-Up Slides





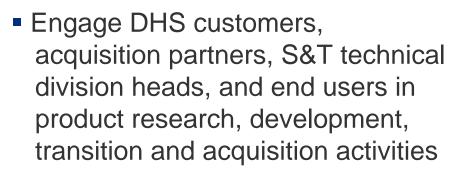
Product Transition Portfolio

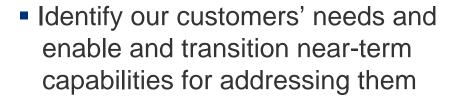
Enabling Capabilities, Supporting Mission Critical Needs of DHS



Integrated Product Teams (IPTs)

 11 Capstone IPTs form the centerpiece of the S&T's customer-driven approach to product transition















Innovation Portfolio

High Risk, High Gain, Game Changers for Leap-Ahead Results



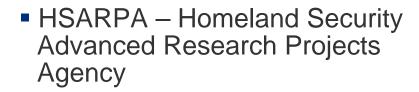
BIOLOGICAL













R GEOPHYSICAL

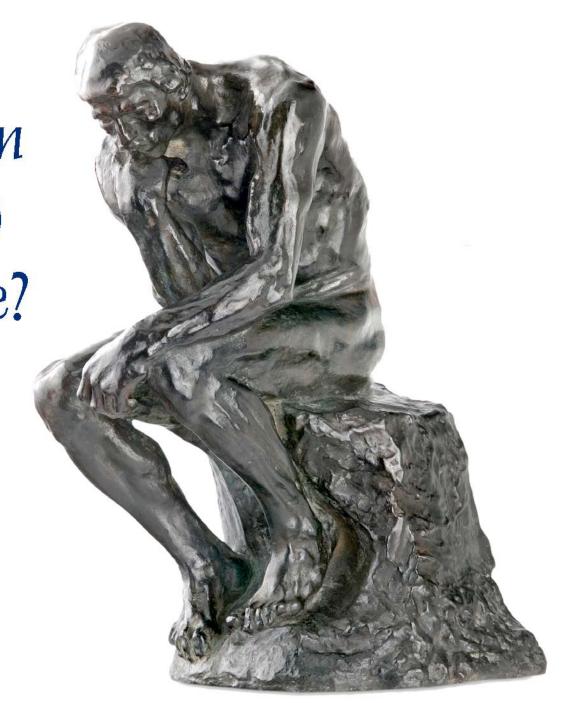
- "Homeworks" 1% of budget highest risk, highest pay-off
- Small Business Innovation Research program
- Visit www.FedBizOpps.gov, www.hsarpabaa.com and www.sbir.dhs.gov







Are You Suffering from Not Invented Yet Syndrome?



Hurricane and Storm Surge Mitigation





Research Program Objectives:

- Produce methods that minimize the damage potential and threat to human safety associated with storm surge and wave events
- Provide guidance on and demonstrate surge and wave attenuation techniques on both the regional and local scale
- Develop improved tools and application approaches that enable better disaster preparedness, planning, and risk management.



Hurricane and Storm Surge Mitigation





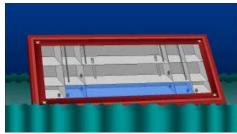
Surge and wave reduction at the regional scale using natural landscape features to reduce and redistribute surge. Develop guidance on:

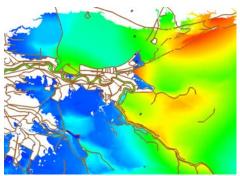
- Landscape planning and utilizing engineered structures in combination with wetlands, barrier islands, lakes and other natural features for maximum surge and wave reduction benefit.
- Vegetation cover with greatest surge reduction potential and assess survivability of various types to determine possible range of application



Hurricane and Storm Surge Mitigation







Surge and wave interdiction at the local scale using innovative engineered structures placed in a strategic location (i.e. navigation channel, river, or other natural constriction) to reduce storm surge from entering vulnerable areas.

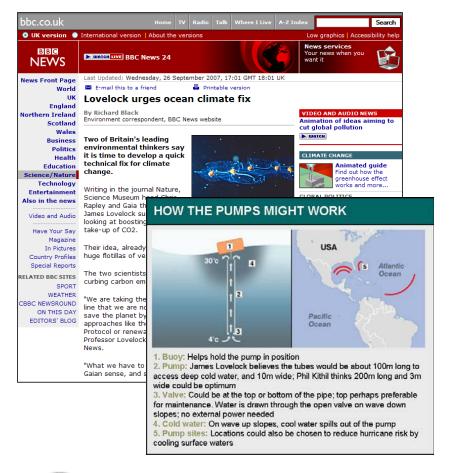
Inflatable of water filled schemes for rapid deployment

Reduce risk through improved tools and applications that enable better disaster preparedness, planning, and risk management

- Advance numerical modeling capabilities to predict surge, waves, flooding
- Surge interdiction decision support system



Plumbing the ocean depths for approaches to mitigating the impacts of hurricanes...







Future Attribute Screening Technology Mobile Module (FAST M2)

Office of Innovation - Homeland Innovative Prototypical Solutions



Systems

- Queue management
- Behavioral profiling
- Rapid risk assessment
- Screening methodologies

Operational Characteristics

- Discover screening methods for intent
- Privacy protection for all participants
- •Simple to operate and use

Functions

- Identity verification
- Attribute measurement
- Risk determination
- Behavior focused screening



Scalable Composite Vessel Prototype (SCVP)

Project Description:

~150' Scalable Composite Vessel Prototype

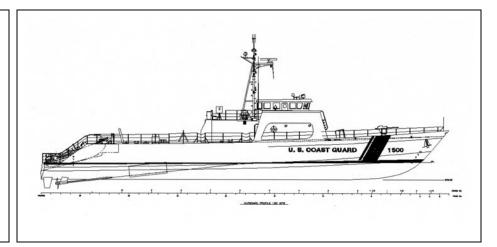
30+kts Stern Launched 7m RHIB

25mm Gun 5+ day endurance

Advanced Composite Construction

Goals:

- •Mitigate production risk of monolithic adv. composite hull
- Develop and certify production procedures / cost data
- •Demonstrate emerging stability/propulsion technologies
- Optimization of stern launch and recovery design
- Potential for immediate transition into FRC production line



Technical Information and Challenges:

Advanced composite production process overcomes many of the past drawbacks of composite ship construction (inconsistent material properties, high material waste, long production time, environmental hazards) but, advanced process has yet to be developed/demonstrated on this scale.

Provider:

Competitive BAA

Payoff:

- Strength/Weight/Durability: Extremely high strength to weight ratio. Advanced process provides consistent material properties and high durability.
- Reduced Life-cycle Costs: No corrosion. Reduced cost/manpower for dry-docking and preservation.
- Reduced Production Cost: Re-usable mold accelerates series production and could yield reduced unit cost.

Intended Customer for transition:

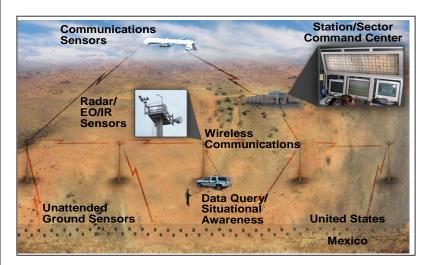
US Coast Guard

| _ | FY08 | FY09 | FY10 |
|---------------------------------|------------|------------|------------|
| Funding (\$M) DHS (S&T) USCG | \$8 \$8 | \$8 \$8 | \$0 \$0 |
| Deliverables/Demos - | | | • |

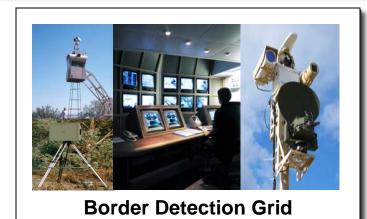




BorderWatch



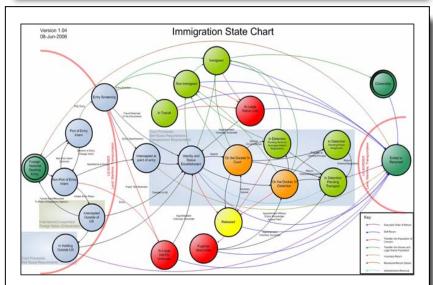
Border Net







Sensor/Data Fusion and Decision Aids



Secure Border Initiative (SBI) Systems Engineering and Modeling & Simulation