Contrasting CMMI and the PMBOK

Systems Engineering Conference October 2005

Wayne Sherer U.S. Army ARDEC Sandy Thrasher, PMP Anteon Corporation

Overview

- Purpose
- Considerations for Comparison
- Similarities Between CMMI and PMBOK
- "Grey" Areas
- How PMBOK Supplements CMMI
- How CMMI Supplements PMBOK
- Conclusions



Purpose

- Contrast process requirements contained in CMMI and the PMBOK
- Overview
 - PMBOK provides additional project management processes for CMMI Organizations
 - CMMI provides a process management structure and Systems and Software Engineering Best Practices
 - Combining them will result in better and more complete project management of engineering projects

Considerations for Comparison

Coverage

- CMMI
 - Addresses Project Management of engineering endeavors
 - Addresses a larger organization composed of engineering projects
- PMBOK
 - Addresses Project Management without addressing the type of project or directly addressing the larger organization
- The depth of coverage varies between the documents

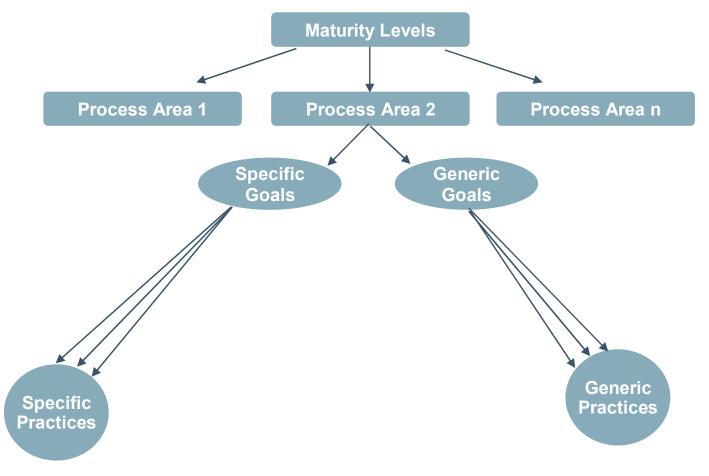
Structure

- It should be noted that while both have a project management focus, the structure of these documents is different
 - PMBOK supports training Project Managers for Project Management Professional (PMP) certification
 - CMMI supports organizational process improvement for achievement of maturity/capability levels

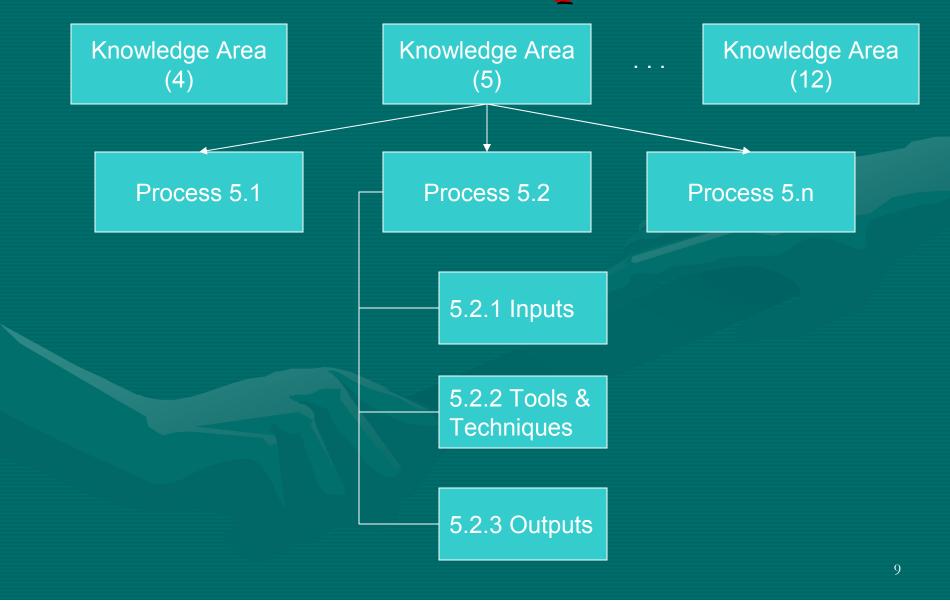




Model Components in the Staged Representation



PMBOK Components



Similarities Between CMMI and PMBOK

Processes Addressed by Both

- Requirements Management or Scope Control
- Project Planning
- Managing and Controlling Project Execution
- Quality Assurance
- Supplier Management
- Risk Management
- Measurement



- The following are implied or partly addressed by PMBOK
 - Configuration Management
 - Causal Analysis
 - Generic Practices
- The following is partly addressed by CMMI
 - Human Resource Management
- The following are definition or context differences
 - Verification and Validation Definitions in both documents are basically reversed
 - Risk In PMBOK, risk is an uncertainty and can be positive or negative
 - Procurement Management PMBOK considers buyer and seller points of view
 - Progressive Elaboration Vs. Establish and Maintain

- Project Charter
- More guidance and details on
 - planning,
 - management and control,
 - Human Resource Management,
 - Quality Assurance,
 - Risk, and
 - contracting
- Close Project + Accepted Deliverables

Project Charter

- Issued by sponsor external to the project organization
- Provides reasons for selecting a project
- Formally authorizes existence of a project
- Identifies and gives authority to project manager

More guidance and details on planning

 Additional Planning Documents (Scope Management Plan, Schedule Management Plan, Cost Management Plan, Staffing Management Plan, Communications Management Plan, Procurement Management Plan)

 Project Time Management (Activity Definition, Activity Sequencing, Activity Resource Estimating, Activity Duration Estimating, Schedule Development, and several possible support tools)

- More guidance and details on management and control
 - Performance measurement analysis and forecasting using earned value calculations - formulas and examples are provided
 - Integrated change control details

- More guidance and details on Human Resource Management
 - Human resource planning
 - Acquiring the project team
 - Developing the project team
 - Managing the project team

- More guidance and details on Quality Assurance
 Quality Planning
 - Considers Cost of Quality
 - Suggests tools with descriptions: Design of Experiments, Cost-Benefit Analysis, Benchmarking
 - Quality Control
 - Suggests tools with descriptions and some examples: cause and effect diagram, control charts, flowcharting, histogram, Pareto chart, run chart, scatter diagram, statistical sampling, defect repair review
 - Links outputs back into other processes

- More guidance and details on risk

 Risk planning and budgeting
 Example risk parameters
 More information on how to identify risks
 Qualitative and quantitative risk analysis
 - Risk response planning

- More guidance and details on contracting or Procurement Management
 - Considers buyer and seller
 - Request seller responses (solicitation)
 - Considerations for evaluation
 - Includes contract closure and payment

Close Project + Accepted Deliverables

 Part of Project Management Plan
 Administrative closure procedures
 Contract closure procedures
 Formal acceptance of product

- Engineering Best Practices
 Organizational Process Management
 Data Management
- Decision Analysis

Engineering Best Practices

- Requirements Elicitation
- Requirements Decomposition & Design
- Requirements Traceability
- Manage Interfaces
- Planning and Environment for Integration, Verification, and Validation
- Product Integration

- Organizational Process Management

 Process Needs (drivers & improvements)
 Process Asset Library
 Process Training
 Quantitative Quality and Process Performance Objectives
 - Process Innovation and Deployment

- Data Management
 - Planning for Data Management
 - Monitoring Data Management

Decision Analysis

 Formal Decision Analysis and Resolution with expectations on how to structure the decision process

Conclusions

Conclusions

- CMMI and the PMBOK
 - Can support each other and
 - Supplement each other
- Implementing PMBOK can help CMMI organizations support and maintain their Project Management Professionals (PMP)
 - Implementing CMMI can help PMBOK based organizations structure their Process Management and provides engineering best practices

The Mappings Are Available

Link to -

https://bscw.sei.cmu.edu/pub/bscw.cgi/0/79783

- Click on "Comparisons of CMMI & Other Standards/References"
- Then click on "CMMI and PMBOK"

 There will be three files, start with "CMMI and PMBoK Mappings"

Contact Information

S. Wayne Sherer	Sandy Thrasher, PMP
Chief, Process Improvement and Management	Program Manager
Strategic Management & Process Office ARDEC Enterprise Management AMSRD-AAR-EMS, B352 Picatinny, NJ 07806-5000	Anteon Corporation 2770-H East Gunter Park Drive Montgomery, AL 36109
Phone: (973) 724-3531	Phone: (334) 260-3329
Email: wsherer@pica.army.mil	Email: <u>sthrasher@anteon.com</u>