Fast-tracking NEPA Documents within the Department of Defense

presented by

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What Delays a Project?

Does the NEPA process itself slow decisionmaking and delay a project?

or

Is there a natural decision-making process that is responsible for project delays?

and

Are their ways to speed up NEPA documents?

Methodology

- Personal Experience
- Interviews with Key DoD staff and key DoD consultants (free flowing, no fixed questions)
- Survey of 1600+ DoD and consultant staff

Survey

- Surveyed target: those experienced or impacted by the DoD NEPA process (950 mailed surveys; 750 electronic surveys)
- Those surveyed could respond in several ways:
 - Return survey via postage paid envelope
 - Fax survey
 - Fill out and submit web-based form
- 44 Questions
 - 7 Informational
 - 26 Agree / Disagree statements
 - (e.g. "I always consult my agency guidelines in preparing my EA/EIS")
 - 8 multiple choice
 - (e.g. "On my projects the project description has been finalized with no further changes at...")
 - 1 ranking and 2 fill-in the blank

Response and Analysis

- Surveys initially sent to 1700
 - Expected response rate: 1-3%
 - Actual response rate: 6-8%
 - Response approximately the same for web-based versus hard-copy survey
- Survey Bias
 - Those surveyed were not randomly selected
 - Response was voluntary (responders selfselected whether to respond)
 - Survey should be regarded as qualitative



Characteristics of those who Responded

Employer

80 % Federal Government

4 % State/Local Government

16 % Consultant

Role

46 % NEPA project manager

12 % NEPA resource author

27% Agency NEPA officer or Agency reviewer

15 % Non NEPA professional (e.g. project engineer)

Characteristics of those who Responded

Project Experience

- 38% Air space
- 40% BRAC
- 42% Family housing
- 82% Military construction
- 61% Range
- 37% Waterfront

Experience Level

- 14 % <3 years
- 29 % 3-10 years
- 57 % > 10 years

Opinions of those surveyed

- They consult their agency guidelines but not necessarily CEQ implementing regulations or 40 mostasked questions
- NEPA leads to a better project because it facilitates internal discussion that might otherwise not happen
- Internal reviewers sometimes wait to comment until later in the process.
- NEPA improves agency decision-making
- They do early internal scoping with our interdisciplinary members to identify possible issues and problems
- NEPA documents are too long



Delays (Survey)

"What percent of your projects were delayed?"

- 43% (95% CI 37.3 to 48.78)
- Why? (top ranked reason)
 - Decision-makers changed project (33%)
 - Coordination with ESA & other natural resource regs, (21%)
 - Poor document; needed to be re-done (13%)
 - Alternatives changed or added (9%)
 - Special studies other than bio or cultural (7%)
 - Lacked or lost funding (6%)
 - Coordination with NHPA or other cultural resource regulations (5%)
 - Project was challenged in court (2%)
 - Air conformity issues (2%)
 - Implemented more public involvement (2%)
 - We determined that the project was not cost-effective (1%)

Top ranked reason for project delay

Total Sample	Proponents	Agency NEPA	NEPA PM	NEPA Resource
		Officer / Reviewer		Author
Decision-makers changed project (33%)	Coordination with ESA (29%)	Decision-makers changed project (44%)	Decision-makers changed project (44%)	Decision-makers changed project (38%)
Coordination with ESA (21%)	Poor document, (23%)	Coordination with ESA (22%)	Coordination with ESA (19%)	Coordination with ESA (19%)
Poor document, needed to be redone (13%)	Decision-makers changed project (18%)	Poor document, (19)%)	Alternatives changed or added (14%)	Alternatives changed or added (13%)
Alternatives changed or added (9%)				,
NOTEs:			Special studies other than bio and cultural (10%) Poor Document (9%)	



Top 3 ranked reasons for project delays

Total Sample	Proponents	Agency NEPA Officer / Reviewer	NEPA PM	NEPA Resource Author
Alternatives changed or added	Coordination with ESA	Decision-makers changed project	Alternatives changed or added	Decision-makers changed project
Decision-makers changed project	Poor document	Alternatives changed or added	Decision-makers changed project	Coordination with ESA
Coordination with ESA	Decision-makers changed project	Tie Coordination with ESA Poor document	Coordination with ESA	Alternatives changed or added
(Tie) Coordination with NHRP Special studies			Special studies other than bio and cultural	

Reasons for Delay

Project proponents and NEPA professionals disagree on root causes

Decision-makers changing project (or changing alternatives)
vs.
Poor document

- All agree that Coordination with ESA and other natural resources regulations is important
- Changing or adding alternatives



Delays

 Considering anything you deem relevant, which of the following is more likely to account for a delay in a project?

- 14% NEPA process
- 84% Factors outside of the NEPA process

Other reasons explored

- Failure to use the Purpose and Need Statement to better define project and alternatives (disagree)
 - Responders felt strongly that they used P&N to guide project
- Failure to consult internal agency guidelines (disagree)
 - Responders felt strongly that they consulted internal guidelines but not always CEQ implementing regulations or 40 Questions
- Documents are too long (agree)
 - Responders felt that documents were too long
- Internal reviewers wait to comment either until later iterations or until the process is well underway.
 - Early comment and buy-in would speed projects

Survey Does NEPA lead to better projects?

Responders felt that NEPA leads to a better project because

- we better define the project description and any alternatives early in the process.
- NEPA facilitates internal discussion and analysis that might otherwise not happen

"Without changing NEPA, the following suggestions would lead to adequate NEPA documents that are produced more quickly:"

Over 225 comments

Internal DoD issues	1 hours	
Internal Coordination	13%	<1%
Length	12%	Air
Planning, start earlier; integrate	11%	Automate process
Review, internal	10%	 Cumulative
Training	5%	 Guidances
Alternatives	4%	Format
Legal Sufficiency	4%	• GIS
CatEx	3%	 Impact analysis
Contracting	2%	 Mitigation
Funding	2%	• Permits
Programmatic	2%	 Personnel turnover
External to DoD		Process (fill in the blank EA)
Consultation (USFWS, SHPO)	13%	 Regulatory (DoD
Public	6%	exemptions)
Review by agency (not consultation)	4%	• Web
	15.00 (MV)	



Ways to fast-track projects

Early on

- Better and earlier internal planning
- Earlier coordination with outside agencies

Throughout the process

- Shorter on-topic documents
- Begin analysis at the appropriate time
- Reviewers should comment earlier, and should focus on critical issues
- Be willing to accept some risk

1. Better and earlier internal planning

- Project proponents need to include NEPA in their planning: Involve environmental and NEPA staff earlier
 - Environmental constraint maps
 - Identification of deal breakers
 - Cheaper, quicker project
- Develop complete and final project description earlier
- Get buy-off from all internal staff
- Anticipate public and agency concerns

2. Earlier coordination with outside agencies

- Meet with USFWS, SHPO and others early in the process
- Identify "hot buttons" for regulators
- Identify concerns; fix what you have to
- Keep the lines of communication open

Early recognition and solutions for show-stoppers

3. Shorter on-topic documents

- Documents are too long
- Affected Environment
 - Focus on relevant issues; eliminate extraneous discussions
- Impact analysis
 - Limit scope of analysis to relevant areas
- Internal Review
 - Be willing to ignore some internal review comments

4. Begin analysis at the appropriate time

- Once project description is 100% complete
- Once alternatives are better defined
- Start too soon: will need to rewrite several times ("poor document syndrome")
- Start too late: critical path issues; eliminate internal feedback loop

5. Reviewers should comment earlier, and should focus on critical issues

- Leaving reviews to later in the process is more likely to lead to delays
- Authors should involve reviewers at project inception
- Non essential comments increase cost
- Completely eliminate word-smithing



6. Be willing to accept some risk

- Use the appropriate level of documentation (CX? EA?)
- Acceptance of less than perfect; non critical errors: "Let a draft be a draft"
- Bullet-proofing documents

A final thought

If you start NEPA earlier it is likely to

- Bring greater value to a project,
- Shorten the process and
- May even cost you less

